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PYRAZOLE DERIVATIVES FOR THE INHIBITION OF CDK' S AND GSK' S

Abstract:

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The invention provides compounds of the formula (I), or salts, tautomers, N-oxides or solvates thereof wherein: R<sub>1</sub> is selected from: (a) 2,6-dichlorophenyl; (b) 2,6-difluorophenyl; (c) a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; (d) a group R<sub>0</sub>; (e) a group R<sub>a</sub>; (f) a group R<sub>b</sub>; (g) a group R<sub>c</sub>; (h) a group R<sub>d</sub>; and (i) 2,6-difluorophenylamino ; wherein R<sub>0</sub>?, r R> Ilaa, T Rj I1bD, T R) I1cC, r R> lida, r R 2zaa, r R>22bD and RJ are as defined in the claims. The compounds have activity as inhibitors of cdk kinase (such as cdk1 or cdk2) and glycogen synthase kinase-3 activity. Data supplied from the esp@cenet database - Worldwide

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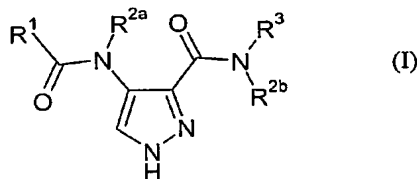
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(54) Title: PYRAZOLE DERIVATIVES FOR THE INHIBITION OF CDK'S AND GSK'S



(57) Abstract: The invention provides compounds of the formula (I) or a salt, tautomer, solvate or N-oxides thereof; wherein: R<sup>1</sup> is selected from (a) 2,6-dichlorophenyl; (b) 2,6-difluorophenyl; (c) a 2,3,6-trisubstituted phenyl group wherein the substituents are fluorine, chlorine, methyl or methoxy; and (d) a group R<sup>0</sup> wherein R<sup>0</sup> is a 3-12 membered carbocyclic or heterocyclic group; or optionally substituted C<sub>1-8</sub> hydrocarbyl; R<sup>2a</sup> and R<sup>2b</sup> are each hydrogen or methyl; and R<sup>3</sup> is as defined in the claims. The compounds have activity as inhibitors of Cyclin Dependent Kinases (CDK) and Glycogen Synthase Kinases (GSK) kinases and are useful in the treatment or prophylaxis of disease states or conditions mediated by the kinases.

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## PYRAZOLE DERIVATIVES FOR THE INHIBITION OF CDK'S AND GSK'S

This invention relates to pyrazole compounds that inhibit or modulate the activity of Cyclin Dependent Kinases (CDK) and Glycogen Synthase Kinases (GSK) kinases, to the use of the compounds in the treatment or prophylaxis of disease states or  
5 conditions mediated by the kinases, and to novel compounds having kinase inhibitory or modulating activity. Also provided are pharmaceutical compositions containing the compounds and novel chemical intermediates.

### Background of the Invention

Protein kinases constitute a large family of structurally related enzymes that are  
10 responsible for the control of a wide variety of signal transduction processes within the cell (Hardie, G. and Hanks, S. (1995) *The Protein Kinase Facts Book. I and II*, Academic Press, San Diego, CA). The kinases may be categorized into families by the substrates they phosphorylate (e.g., protein-tyrosine, protein-serine/threonine, lipids, etc.). Sequence motifs have been identified that generally correspond to each  
15 of these kinase families (e.g., Hanks, S.K., Hunter, T., *FASEB J.*, 9:576-596 (1995); Knighton, *et al.*, *Science*, 253:407-414 (1991); Hiles, *et al.*, *Cell*, 70:419-429 (1992); Kunz, *et al.*, *Cell*, 73:585-596 (1993); Garcia-Bustos, *et al.*, *EMBO J.*, 13:2352-2361 (1994)).

Protein kinases may be characterized by their regulation mechanisms. These  
20 mechanisms include, for example, autophosphorylation, transphosphorylation by other kinases, protein-protein interactions, protein-lipid interactions, and protein-polynucleotide interactions. An individual protein kinase may be regulated by more than one mechanism.

Kinases regulate many different cell processes including, but not limited to,  
25 proliferation, differentiation, apoptosis, motility, transcription, translation and other signalling processes, by adding phosphate groups to target proteins. These phosphorylation events act as molecular on/off switches that can modulate or regulate the target protein biological function. Phosphorylation of target proteins occurs in response to a variety of extracellular signals (hormones,

neurotransmitters, growth and differentiation factors, etc.), cell cycle events, environmental or nutritional stresses, etc. The appropriate protein kinase functions in signalling pathways to activate or inactivate (either directly or indirectly), for example, a metabolic enzyme, regulatory protein, receptor, cytoskeletal protein, ion channel or pump, or transcription factor. Uncontrolled signalling due to defective control of protein phosphorylation has been implicated in a number of diseases, including, for example, inflammation, cancer, allergy/asthma, disease and conditions of the immune system, disease and conditions of the central nervous system, and angiogenesis.

#### 10 Cyclin Dependent Kinases

The process of eukaryotic cell division may be broadly divided into a series of sequential phases termed G1, S, G2 and M. Correct progression through the various phases of the cell cycle has been shown to be critically dependent upon the spatial and temporal regulation of a family of proteins known as cyclin dependent kinases (cdks) and a diverse set of their cognate protein partners termed cyclins. Cdk1 is cdc2 (also known as cdk1) homologous serine-threonine kinase proteins that are able to utilise ATP as a substrate in the phosphorylation of diverse polypeptides in a sequence dependent context. Cyclins are a family of proteins characterised by a homology region, containing approximately 100 amino acids, termed the "cyclin box" which is used in binding to, and defining selectivity for, specific cdk partner proteins.

Modulation of the expression levels, degradation rates, and activation levels of various cdks and cyclins throughout the cell cycle leads to the cyclical formation of a series of cdk/cyclin complexes, in which the cdks are enzymatically active. The formation of these complexes controls passage through discrete cell cycle checkpoints and thereby enables the process of cell division to continue. Failure to satisfy the pre-requisite biochemical criteria at a given cell cycle checkpoint, *i.e.* failure to form a required cdk/cyclin complex, can lead to cell cycle arrest and/or cellular apoptosis. Aberrant cellular proliferation, as manifested in cancer, can often be attributed to loss of correct cell cycle control. Inhibition of cdk enzymatic

activity therefore provides a means by which abnormally dividing cells can have their division arrested and/or be killed. The diversity of cdks, and cdk complexes, and their critical roles in mediating the cell cycle, provides a broad spectrum of potential therapeutic targets selected on the basis of a defined biochemical rationale.

- 5 Progression from the G1 phase to the S phase of the cell cycle is primarily regulated by cdk2, cdk3, cdk4 and cdk6 via association with members of the D and E type cyclins. The D-type cyclins appear instrumental in enabling passage beyond the G1 restriction point, where as the cdk2/cyclin E complex is key to the transition from the G1 to S phase. Subsequent progression through S phase and entry into G2 is  
10 thought to require the cdk2/cyclin A complex. Both mitosis, and the G2 to M phase transition which triggers it, are regulated by complexes of cdk1 and the A and B type cyclins.

- During G1 phase Retinoblastoma protein (Rb), and related pocket proteins such as p130, are substrates for cdk(2, 4, & 6)/cyclin complexes. Progression through G1  
15 is in part facilitated by hyperphosphorylation, and thus inactivation, of Rb and p130 by the cdk(4/6)/cyclin-D complexes. Hyperphosphorylation of Rb and p130 causes the release of transcription factors, such as E2F, and thus the expression of genes necessary for progression through G1 and for entry into S-phase, such as the gene for cyclin E. Expression of cyclin E facilitates formation of the cdk2/cyclin E  
20 complex which amplifies, or maintains, E2F levels via further phosphorylation of Rb. The cdk2/cyclin E complex also phosphorylates other proteins necessary for DNA replication, such as NPAT, which has been implicated in histone biosynthesis. G1 progression and the G1/S transition are also regulated via the mitogen stimulated Myc pathway, which feeds into the cdk2/cyclin E pathway. Cdk2 is also  
25 connected to the p53 mediated DNA damage response pathway via p53 regulation of p21 levels. p21 is a protein inhibitor of cdk2/cyclin E and is thus capable of blocking, or delaying, the G1/S transition. The cdk2/cyclin E complex may thus represent a point at which biochemical stimuli from the Rb, Myc and p53 pathways are to some degree integrated. Cdk2 and/or the cdk2/cyclin E complex therefore

represent good targets for therapeutics designed at arresting, or recovering control of, the cell cycle in aberrantly dividing cells.

The exact role of cdk3 in the cell cycle is not clear. As yet no cognate cyclin partner has been identified, but a dominant negative form of cdk3 delayed cells in G1, thereby suggesting that cdk3 has a role in regulating the G1/S transition.

Although most cdks have been implicated in regulation of the cell cycle there is evidence that certain members of the cdk family are involved in other biochemical processes. This is exemplified by cdk5 which is necessary for correct neuronal development and which has also been implicated in the phosphorylation of several neuronal proteins such as Tau, NUDE-1, synapsin1, DARPP32 and the Munc18/Syntaxin1A complex. Neuronal cdk5 is conventionally activated by binding to the p35/p39 proteins. Cdk5 activity can, however, be deregulated by the binding of p25, a truncated version of p35. Conversion of p35 to p25, and subsequent deregulation of cdk5 activity, can be induced by ischemia, excitotoxicity, and  $\beta$ -amyloid peptide. Consequently p25 has been implicated in the pathogenesis of neurodegenerative diseases, such as Alzheimer's, and is therefore of interest as a target for therapeutics directed against these diseases.

Cdk7 is a nuclear protein that has cdc2 CAK activity and binds to cyclin H. Cdk7 has been identified as component of the TFIIH transcriptional complex which has RNA polymerase II C-terminal domain (CTD) activity. This has been associated with the regulation of HIV-1 transcription via a Tat-mediated biochemical pathway. Cdk8 binds cyclin C and has been implicated in the phosphorylation of the CTD of RNA polymerase II. Similarly the cdk9/cyclin-T1 complex (P-TEFb complex) has been implicated in elongation control of RNA polymerase II. PTEF-b is also required for activation of transcription of the HIV-1 genome by the viral transactivator Tat through its interaction with cyclin T1. Cdk7, cdk8, cdk9 and the P-TEFb complex are therefore potential targets for anti-viral therapeutics.

At a molecular level mediation of cdk/cyclin complex activity requires a series of stimulatory and inhibitory phosphorylation, or dephosphorylation, events. Cdk

phosphorylation is performed by a group of cdk activating kinases (CAKs) and/or kinases such as wee1, Myt1 and Mik1. Dephosphorylation is performed by phosphatases such as cdc25(a & c), pp2a, or KAP.

- Cdk/cyclin complex activity may be further regulated by two families of
- 5 endogenous cellular proteinaceous inhibitors: the Kip/Cip family, or the INK family. The INK proteins specifically bind cdk4 and cdk6. p16<sup>ink4</sup> (also known as MTS1) is a potential tumour suppressor gene that is mutated, or deleted, in a large number of primary cancers. The Kip/Cip family contains proteins such as
- 10 p21<sup>Cip1, Waf1</sup>, p27<sup>Kip1</sup> and p57<sup>kip2</sup>. As discussed previously p21 is induced by p53 and is able to inactivate the cdk2/cyclin(E/A) and cdk4/cyclin(D1/D2/D3) complexes. Atypically low levels of p27 expression have been observed in breast, colon and prostate cancers. Conversely over expression of cyclin E in solid tumours has been shown to correlate with poor patient prognosis. Over expression of cyclin D1 has been associated with oesophageal, breast, squamous, and non-small cell lung
- 15 carcinomas.

- The pivotal roles of cdks, and their associated proteins, in co-ordinating and driving the cell cycle in proliferating cells have been outlined above. Some of the biochemical pathways in which cdks play a key role have also been described. The development of monotherapies for the treatment of proliferative disorders, such as
- 20 cancers, using therapeutics targeted generically at cdks, or at specific cdks, is therefore potentially highly desirable. Cdk inhibitors could conceivably also be used to treat other conditions such as viral infections, autoimmune diseases and neuro-degenerative diseases, amongst others. Cdk targeted therapeutics may also provide clinical benefits in the treatment of the previously described diseases when
- 25 used in combination therapy with either existing, or new, therapeutic agents. Cdk targeted anticancer therapies could potentially have advantages over many current antitumour agents as they would not directly interact with DNA and should therefore reduce the risk of secondary tumour development.

#### Glycogen Synthase Kinase

Glycogen Synthase Kinase-3 (GSK3) is a serine-threonine kinase that occurs as two ubiquitously expressed isoforms in humans (GSK3 $\alpha$  & beta GSK3 $\beta$ ). GSK3 has been implicated as having roles in embryonic development, protein synthesis, cell proliferation, cell differentiation, microtubule dynamics, cell motility and cellular apoptosis. As such GSK3 has been implicated in the progression of disease states such as diabetes, cancer, Alzheimer's disease, stroke, epilepsy, motor neuron disease and/or head trauma. Phylogenetically GSK3 is most closely related to the cyclin dependent kinases (CDKs).

The consensus peptide substrate sequence recognised by GSK3 is (Ser/Thr)-X-X-X-(pSer/pThr), where X is any amino acid (at positions (n+1), (n+2), (n+3)) and pSer and pThr are phospho-serine and phospho-threonine respectively (n+4). GSK3 phosphorylates the first serine, or threonine, at position (n). Phospho-serine, or phospho-threonine, at the (n+4) position appear necessary for priming GSK3 to give maximal substrate turnover. Phosphorylation of GSK3 $\alpha$  at Ser21, or GSK3 $\beta$  at Ser9, leads to inhibition of GSK3. Mutagenesis and peptide competition studies have led to the model that the phosphorylated N-terminus of GSK3 is able to compete with phospho-peptide substrate (S/TXXXpS/pT) via an autoinhibitory mechanism. There are also data suggesting that GSK3 $\alpha$  and GSK3 $\beta$  may be subtly regulated by phosphorylation of tyrosines 279 and 216 respectively. Mutation of these residues to a Phe caused a reduction in *in vivo* kinase activity. The X-ray crystallographic structure of GSK3 $\beta$  has helped to shed light on all aspects of GSK3 activation and regulation.

GSK3 forms part of the mammalian insulin response pathway and is able to phosphorylate, and thereby inactivate, glycogen synthase. Upregulation of glycogen synthase activity, and thereby glycogen synthesis, through inhibition of GSK3, has thus been considered a potential means of combating type II, or non-insulin-dependent diabetes mellitus (NIDDM): a condition in which body tissues become resistant to insulin stimulation. The cellular insulin response in liver, adipose, or muscle tissues, is triggered by insulin binding to an extracellular insulin receptor. This causes the phosphorylation, and subsequent recruitment to the



plasma membrane, of the insulin receptor substrate (IRS) proteins. Further phosphorylation of the IRS proteins initiates recruitment of phosphoinositide-3 kinase (PI3K) to the plasma membrane where it is able to liberate the second messenger phosphatidylinositol 3,4,5-trisphosphate (PIP<sub>3</sub>). This facilitates co-localisation of 3-phosphoinositide-dependent protein kinase 1 (PDK1) and protein kinase B (PKB or Akt) to the membrane, where PDK1 activates PKB. PKB is able to phosphorylate, and thereby inhibit, GSK3 $\alpha$  and/or GSK3 $\beta$  through phosphorylation of Ser9, or Ser21, respectively. The inhibition of GSK3 then triggers upregulation of glycogen synthase activity. Therapeutic agents able to inhibit GSK3 may thus be able to induce cellular responses akin to those seen on insulin stimulation. A further *in vivo* substrate of GSK3 is the eukaryotic protein synthesis initiation factor 2B (eIF2B). eIF2B is inactivated via phosphorylation and is thus able to suppress protein biosynthesis. Inhibition of GSK3, e.g. by inactivation of the "mammalian target of rapamycin" protein (mTOR), can thus upregulate protein biosynthesis. Finally there is some evidence for regulation of GSK3 activity via the mitogen activated protein kinase (MAPK) pathway through phosphorylation of GSK3 by kinases such as mitogen activated protein kinase activated protein kinase 1 (MAPKAP-K1 or RSK). These data suggest that GSK3 activity may be modulated by mitogenic, insulin and/or amino acid stimuli.

It has also been shown that GSK3 $\beta$  is a key component in the vertebrate Wnt signalling pathway. This biochemical pathway has been shown to be critical for normal embryonic development and regulates cell proliferation in normal tissues. GSK3 becomes inhibited in response to Wnt stimuli. This can lead to the dephosphorylation of GSK3 substrates such as Axin, the adenomatous polyposis coli (APC) gene product and  $\beta$ -catenin. Aberrant regulation of the Wnt pathway has been associated with many cancers. Mutations in APC, and/or  $\beta$ -catenin, are common in colorectal cancer and other tumours.  $\beta$ -catenin has also been shown to be of importance in cell adhesion. Thus GSK3 may also modulate cellular adhesion processes to some degree. Apart from the biochemical pathways already described there are also data implicating GSK3 in the regulation of cell division via phosphorylation of cyclin-D1, in the phosphorylation of transcription factors such

as c-Jun, CCAAT/enhancer binding protein  $\alpha$  (C/EBP $\alpha$ ), c-Myc and/or other substrates such as Nuclear Factor of Activated T-cells (NFATc), Heat Shock Factor-1 (HSF-1) and the c-AMP response element binding protein (CREB). GSK3 also appears to play a role, albeit tissue specific, in regulating cellular apoptosis.

5 The role of GSK3 in modulating cellular apoptosis, via a pro-apoptotic mechanism, may be of particular relevance to medical conditions in which neuronal apoptosis can occur. Examples of these are head trauma, stroke, epilepsy, Alzheimer's and motor neuron diseases, progressive supranuclear palsy, corticobasal degeneration, and Pick's disease. *In vitro* it has been shown that GSK3 is able to hyper-

10 phosphorylate the microtubule associated protein Tau. Hyperphosphorylation of Tau disrupts its normal binding to microtubules and may also lead to the formation of intra-cellular Tau filaments. It is believed that the progressive accumulation of these filaments leads to eventual neuronal dysfunction and degeneration. Inhibition of Tau phosphorylation, through inhibition of GSK3, may thus provide a means of

15 limiting and/or preventing neurodegenerative effects.

#### Diffuse Large B-cell Lymphomas (DLBCL)

Cell cycle progression is regulated by the combined action of cyclins, cyclin-dependent kinases (CDKs), and CDK-inhibitors (CDKi), which are negative cell cycle regulators. p27KIP1 is a CDKi key in cell cycle regulation, whose

20 degradation is required for G1/S transition. In spite of the absence of p27KIP1 expression in proliferating lymphocytes, some aggressive B-cell lymphomas have been reported to show an anomalous p27KIP1 staining. An abnormally high expression of p27KIP1 was found in lymphomas of this type. Analysis of the clinical relevance of these findings showed that a high level of p27KIP1 expression

25 in this type of tumour is an adverse prognostic marker, in both univariate and multivariate analysis. These results show that there is abnormal p27KIP1 expression in Diffuse Large B-cell Lymphomas (DLBCL), with adverse clinical significance, suggesting that this anomalous p27KIP1 protein may be rendered non-functional through interaction with other cell cycle regulator proteins. (Br. J.

30 Cancer. 1999 Jul;80(9):1427-34. p27KIP1 is abnormally expressed in Diffuse Large

B-cell Lymphomas and is associated with an adverse clinical outcome. Saez A, Sanchez E, Sanchez-Beato M, Cruz MA, Chacon I, Munoz E, Camacho FI, Martinez-Montero JC, Mollejo M, Garcia JF, Piris MA. Department of Pathology, Virgen de la Salud Hospital, Toledo, Spain.)

5    Chronic Lymphocytic Leukemia

B-Cell chronic lymphocytic leukaemia (CLL) is the most common leukaemia in the Western hemisphere, with approximately 10,000 new cases diagnosed each year (Parker SL, Tong T, Bolden S, Wingo PA: Cancer statistics, 1997. Ca. Cancer. J. Clin. 47:5, (1997)). Relative to other forms of leukaemia, the overall prognosis of  
10    CLL is good, with even the most advanced stage patients having a median survival of 3 years.

The addition of fludarabine as initial therapy for symptomatic CLL patients has led to a higher rate of complete responses (27% v 3%) and duration of progression-free survival (33 v 17 months) as compared with previously used alkylator-based  
15    therapies. Although attaining a complete clinical response after therapy is the initial step toward improving survival in CLL, the majority of patients either do not attain complete remission or fail to respond to fludarabine. Furthermore, all patients with CLL treated with fludarabine eventually relapse, making its role as a single agent  
20    purely palliative (Rai KR, Peterson B, Elias L, Shepherd L, Hines J, Nelson D, Cheson B, Kolitz J, Schiffer CA: A randomized comparison of fludarabine and chlorambucil for patients with previously untreated chronic lymphocytic leukemia. A CALGB SWOG, CTG/NCI-C and ECOG Inter-Group Study. Blood 88:141a, 1996 (abstr 552, suppl 1). Therefore, identifying new agents with novel mechanisms  
25    of action that complement fludarabine's cytotoxicity and abrogate the resistance induced by intrinsic CLL drug-resistance factors will be necessary if further advances in the therapy of this disease are to be realized.

The most extensively studied, uniformly predictive factor for poor response to therapy and inferior survival in CLL patients is aberrant p53 function, as characterized by point mutations or chromosome 17p13 deletions. Indeed, virtually

no responses to either alkylator or purine analog therapy have been documented in multiple single institution case series for those CLL patients with abnormal p53 function. Introduction of a therapeutic agent that has the ability to overcome the drug resistance associated with p53 mutation in CLL would potentially be a major  
5 advance for the treatment of the disease.

Flavopiridol and CYC 202, inhibitors of cyclin-dependent kinases induce in vitro apoptosis of malignant cells from B-cell chronic lymphocytic leukemia (B-CLL).

Flavopiridol exposure results in the stimulation of caspase 3 activity and in caspase-dependent cleavage of p27(kip1), a negative regulator of the cell cycle, which is  
10 overexpressed in B-CLL (Blood. 1998 Nov 15;92(10):3804-16 Flavopiridol induces apoptosis in chronic lymphocytic leukemia cells via activation of caspase-3 without evidence of bcl-2 modulation or dependence on functional p53. Byrd JC, Shinn C, Waselenko JK, Fuchs EJ, Lehman TA, Nguyen PL, Flinn IW, Diehl LF, Sausville E, Grever MR).

15 **Prior Art**

WO 02/34721 from Du Pont discloses a class of indeno [1,2-c]pyrazol-4-ones as inhibitors of cyclin dependent kinases.

WO 01/81348 from Bristol Myers Squibb describes the use of 5-thio-, sulphinyl- and sulphonylpyrazolo[3,4-b]-pyridines as cyclin dependent kinase inhibitors.

20 WO 00/62778 also from Bristol Myers Squibb discloses a class of protein tyrosine kinase inhibitors.

WO 01/72745A1 from Cyclacel describes 2-substituted 4-heteroaryl-pyrimidines and their preparation, pharmaceutical compositions containing them and their use as inhibitors of cyclin-dependant kinases (CDKs) and hence their use in the treatment  
25 of proliferative disorders such as cancer, leukaemia, psoriasis and the like.

WO 99/21845 from Agouron describes 4-aminothiazole derivatives for inhibiting cyclin-dependent kinases (CDKs), such as CDK1, CDK2, CDK4, and CDK6. The

invention is also directed to the therapeutic or prophylactic use of pharmaceutical compositions containing such compounds and to methods of treating malignancies and other disorders by administering effective amounts of such compounds.

5 WO 01/53274 from Agouron discloses as CDK kinase inhibitors a class of compounds which can comprise an amide-substituted benzene ring linked to an N-containing heterocyclic group.

WO 01/98290 (Pharmacia & Upjohn) discloses a class of 3-aminocarbonyl-2-carboxamido thiophene derivatives as protein kinase inhibitors.

10 WO 01/53268 and WO 01/02369 from Agouron disclose compounds that mediate or inhibit cell proliferation through the inhibition of protein kinases such as cyclin dependent kinase or tyrosine kinase. The Agouron compounds have an aryl or heteroaryl ring attached directly or through a CH=CH or CH=N group to the 3-position of an indazole ring.

15 WO 00/39108 and WO 02/00651 (both to Du Pont Pharmaceuticals) describe heterocyclic compounds that are inhibitors of trypsin-like serine protease enzymes, especially factor Xa and thrombin. The compounds are stated to be useful as anticoagulants or for the prevention of thromboembolic disorders.

20 US 2002/0091116 (Zhu *et al.*), WO 01/19798 and WO 01/64642 each disclose diverse groups of heterocyclic compounds as inhibitors of Factor Xa. Some 1-substituted pyrazole carboxamides are disclosed and exemplified.

US 6,127,382, WO 01/70668, WO 00/68191, WO 97/48672, WO 97/19052 and WO 97/19062 (all to Allergan) each describe compounds having retinoid-like activity for use in the treatment of various hyperproliferative diseases including cancers.

25 WO 02/070510 (Bayer) describes a class of amino-dicarboxylic acid compounds for use in the treatment of cardiovascular diseases. Although pyrazoles are mentioned generically, there are no specific examples of pyrazoles in this document.

WO 97/03071 (Knoll AG) discloses a class of heterocyclyl-carboxamide derivatives for use in the treatment of central nervous system disorders. Pyrazoles are mentioned generally as examples of heterocyclic groups but no specific pyrazole compounds are disclosed or exemplified.

- 5 WO 97/40017 (Novo Nordisk) describes compounds that are modulators of protein tyrosine phosphatases.

- WO 03/020217 (Univ. Connecticut) discloses a class of pyrazole 3-carboxamides as cannabinoid receptor modulators for treating neurological conditions. It is stated (page 15) that the compounds can be used in cancer chemotherapy but it is not  
10 made clear whether the compounds are active as anti-cancer agents or whether they are administered for other purposes.

- WO 01/58869 (Bristol Myers Squibb) discloses cannabinoid receptor modulators that can be used *inter alia* to treat a variety of diseases. The main use envisaged is the treatment of respiratory diseases, although reference is made to the treatment of  
15 cancer.

WO 01/02385 (Aventis Crop Science) discloses 1-(quinoline-4-yl)-1H-pyrazole derivatives as fungicides. 1-Unsubstituted pyrazoles are disclosed as synthetic intermediates.

- WO 2004/039795 (Fujisawa) discloses amides containing a 1-substituted pyrazole  
20 group as inhibitors of apolipoprotein B secretion. The compounds are stated to be useful in treating such conditions as hyperlipidemia.

WO 2004/000318 (Cellular Genomics) discloses various amino-substituted monocycles as kinase modulators. None of the exemplified compounds are pyrazoles.

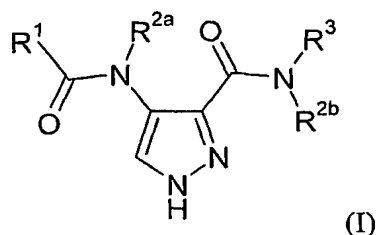
- 25 Our earlier co-pending application WO 2005/012256, which was published after the priority date of the present application, discloses 3,4-disubstituted pyrazole compounds as inhibitors of CDK and GSK-3 kinases.

**Summary of the Invention**

The invention provides compounds that have cyclin dependent kinase inhibiting or modulating activity and glycogen synthase kinase-3 (GSK3) inhibiting or modulating activity, and which it is envisaged will be useful in preventing or  
 5 treating disease states or conditions mediated by the kinases.

Thus, for example, it is envisaged that the compounds of the invention will be useful in alleviating or reducing the incidence of cancer.

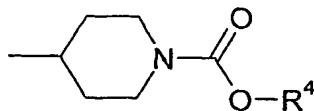
In a first aspect, the invention provides a compound of the formula (I):



- 10 or a salt, tautomer, solvate or N-oxides thereof;  
 wherein:  
 R<sup>1</sup> is selected from:  
 (a) 2,6-dichlorophenyl;  
 (b) 2,6-difluorophenyl;  
 15 (c) a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; and  
 .. (d) a group R<sup>0</sup> wherein R<sup>0</sup> is a carbocyclic or heterocyclic group having from 3 to 12 ring members; or a C<sub>1-8</sub> hydrocarbyl group optionally substituted by one or more substituents selected from fluorine, hydroxy, cyano; C<sub>1-4</sub> hydrocarbyloxy,  
 20 amino, mono- or di-C<sub>1-4</sub> hydrocarbylamino, and carbocyclic or heterocyclic groups having from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may optionally be replaced by an atom or group selected from O, S, NH, SO, SO<sub>2</sub>;  
 R<sup>2a</sup> and R<sup>2b</sup> are each hydrogen or methyl;  
 25 and wherein:

A. when  $R^1$  is (a) 2,6-dichlorophenyl and  $R^{2a}$  and  $R^{2b}$  are both hydrogen; then  $R^3$  can be:

(i) a group



5 where  $R^4$  is  $C_{1-4}$  alkyl; and

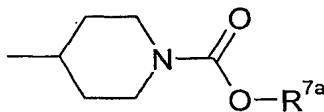
B. when  $R^1$  is (b) 2,6-difluorophenyl and  $R^{2a}$  and  $R^{2b}$  are both hydrogen; then  $R^3$  can be:

(ii) an N-substituted 4-piperidyl group wherein the N-substituent is  $C_{1-4}$  alkoxy carbonyl; and

10 C. when  $R^1$  is (c) a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; and  $R^{2a}$  and  $R^{2b}$  are both hydrogen; then  $R^3$  can be selected from groups (i) and (iii) as defined herein;

D. when  $R^1$  is (d), a group  $R^0$ , where  $R^0$  is a carbocyclic or heterocyclic group  
 15 having from 3 to 12 ring members; or a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from fluorine, hydroxy, cyano;  $C_{1-4}$  hydrocarbyloxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, and carbocyclic or heterocyclic groups having from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may optionally be replaced by an atom or  
 20 group selected from O, S, NH, SO,  $SO_2$ ; then  $R^3$  can be:

(iii) a group



where  $R^{7a}$  is selected from:

- unsubstituted  $C_{1-4}$  hydrocarbyl other than  $C_{1-4}$  alkyl;
- 25 •  $C_{1-4}$  hydrocarbyl substituted by one or more substituents chosen from  $C_{3-6}$  cycloalkyl, fluorine, chlorine, methylsulphonyl, acetoxy, cyano, methoxy; and a group  $NR^5R^6$ ; and



- 5
- a group  $-(CH_2)_n-R^8$  where n is 0 or 1 and  $R^8$  is selected from  $C_{3-6}$  cycloalkyl; oxa- $C_{4-6}$  cycloalkyl; phenyl optionally substituted by one or more substituents selected from fluorine, chlorine, methoxy, cyano, methyl and trifluoromethyl; an aza-bicycloalkyl group; and a 5-membered heteroaryl group containing one or two heteroatom ring members selected from O, N and S and being optionally substituted by methyl, methoxy, fluorine, chlorine, or a group  $NR^5R^6$ ; but excluding the compound 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid tert-butyl ester.

10 The invention also provides *inter alia*:

- A compound of the formula (I) or any sub-groups or examples thereof as defined herein for use in the prophylaxis or treatment of a disease state or condition mediated by a cyclin dependent kinase or glycogen synthase kinase-3.
- 15 • A method for the prophylaxis or treatment of a disease state or condition mediated by a cyclin dependent kinase or glycogen synthase kinase-3, which method comprises administering to a subject in need thereof a compound of the formula (I) or any sub-groups or examples thereof as defined herein.
- 20 • A method for alleviating or reducing the incidence of a disease state or condition mediated by a cyclin dependent kinase or glycogen synthase kinase-3, which method comprises administering to a subject in need thereof a compound of the formula (I) or any sub-groups or examples thereof as defined herein.
- 25 • A method for treating a disease or condition comprising or arising from abnormal cell growth in a mammal, which method comprises administering to the mammal a compound of the formula (I) or any sub-groups or

examples thereof as defined herein in an amount effective in inhibiting abnormal cell growth.

- 5       • A method for alleviating or reducing the incidence of a disease or condition comprising or arising from abnormal cell growth in a mammal, which method comprises administering to the mammal a compound of the formula (I) or any sub-groups or examples thereof as defined herein in an amount effective in inhibiting abnormal cell growth.
- 10       • A method for treating a disease or condition comprising or arising from abnormal cell growth in a mammal, the method comprising administering to the mammal a compound of the formula (I) or any sub-groups or examples thereof as defined herein in an amount effective to inhibit a cdk kinase (such as cdk1 or cdk2) or glycogen synthase kinase-3 activity.
- 15       • A method for alleviating or reducing the incidence of a disease or condition comprising or arising from abnormal cell growth in a mammal, the method comprising administering to the mammal a compound of the formula (I) or any sub-groups or examples thereof as defined herein in an amount effective to inhibit a cdk kinase (such as cdk1 or cdk2) or glycogen synthase kinase-3 activity.
- 20       • A method of inhibiting a cyclin dependent kinase or glycogen synthase kinase-3, which method comprises contacting the kinase with a kinase-inhibiting compound of the formula (I) or any sub-groups or examples thereof as defined herein.
- 25       • A method of modulating a cellular process (for example cell division) by inhibiting the activity of a cyclin dependent kinase or glycogen synthase kinase-3 using a compound of the formula (I) or any sub-groups or examples thereof as defined herein.

- A compound of the formula (I) or any sub-groups or examples thereof as defined herein for use in the prophylaxis or treatment of a disease state as described herein.
- 5 • The use of a compound of the formula (I) or any sub-groups or examples thereof as defined herein for the manufacture of a medicament, wherein the medicament is for any one or more of the uses defined herein.
- A pharmaceutical composition comprising a compound of the formula (I) or any sub-groups or examples thereof as defined herein and a pharmaceutically acceptable carrier.
- 10 • A pharmaceutical composition comprising a compound of the formula (I) or any sub-groups or examples thereof as defined herein and a pharmaceutically acceptable carrier in a form suitable for oral administration.
- 15 • A pharmaceutical composition for administration in an aqueous solution form, the pharmaceutical composition comprising a compound of the formula (I) or any sub-groups or examples thereof as defined herein in the form of a salt having a solubility in water of greater than 25 mg/ml, typically greater than 50 mg/ml and preferably greater than 100 mg/ml.
- 20 • A compound of the formula (I) or any sub-groups or examples thereof as defined herein for use in medicine.
- 25 • A method for the diagnosis and treatment of a disease state or condition mediated by a cyclin dependent kinase, which method comprises (i) screening a patient to determine whether a disease or condition from which the patient is or may be suffering is one which would be susceptible to treatment with a compound having activity against cyclin dependent kinases; and (ii) where it is indicated that the disease or condition from which the patient is thus susceptible, thereafter administering to the patient a

compound of the formula (I) or any sub-groups or examples thereof as defined herein.

- 5       • The use of a compound of the formula (I) or any sub-groups or examples thereof as defined herein for the manufacture of a medicament for the treatment or prophylaxis of a disease state or condition in a patient who has been screened and has been determined as suffering from, or being at risk of suffering from, a disease or condition which would be susceptible to treatment with a compound having activity against cyclin dependent kinase.
- 10       • A compound of the formula (I) or any sub-groups or examples thereof as defined herein for use in inhibiting tumour growth in a mammal.
- A compound of the formula (I) or any sub-groups or examples thereof as defined herein for use in inhibiting the growth of tumour cells (e.g. in a mammal).
- 15       • A method of inhibiting tumour growth in a mammal (e.g. a human), which method comprises administering to the mammal (e.g. a human) an effective tumour growth-inhibiting amount of a compound of the formula (I) or any sub-groups or examples thereof as defined herein.
- 20       • A method of inhibiting the growth of tumour cells (e.g. tumour cells present in a mammal such as a human), which method comprises contacting the tumour cells with an effective tumour cell growth-inhibiting amount of a compound of the formula (I) or any sub-groups or examples thereof as defined herein.
- A compound as defined herein for any of the uses and methods set forth above, and as described elsewhere herein.

## 25   General Preferences and Definitions

In this section, as in all other sections of this application, unless the context indicates otherwise, references to a compound of formula (I) includes all subgroups

of formula (I) as defined herein and the term 'subgroups' includes all preferences, embodiments, examples and particular compounds defined herein.

Moreover, a reference to a compound of formula (I) and sub-groups thereof includes ionic forms, salts, solvates, isomers, tautomers, N-oxides, esters, prodrugs, isotopes and protected forms thereof, as discussed below:- preferably, the salts or tautomers or isomers or N-oxides or solvates thereof:- and more preferably, the salts or tautomers or N-oxides or solvates thereof.

The following general preferences and definitions shall apply to each of  $R^1$  to  $R^8$ , and their various sub-groups, sub-definitions, examples and embodiments unless the context indicates otherwise.

Any references to formula (I) herein shall also be taken to refer to and any sub-group of compounds within formula (I) and any preferences and examples thereof unless the context requires otherwise.

References to "carbocyclic" and "heterocyclic" groups as used herein shall, unless the context indicates otherwise, include both aromatic and non-aromatic ring systems. Thus, for example, the term "carbocyclic and heterocyclic groups" includes within its scope aromatic, non-aromatic, unsaturated, partially saturated and fully saturated carbocyclic and heterocyclic ring systems. In general, such groups may be monocyclic or bicyclic and may contain, for example, 3 to 12 ring members, more usually 5 to 10 ring members. Examples of monocyclic groups are groups containing 3, 4, 5, 6, 7, and 8 ring members, more usually 3 to 7, and preferably 5 or 6 ring members. Examples of bicyclic groups are those containing 8, 9, 10, 11 and 12 ring members, and more usually 9 or 10 ring members.

The carbocyclic or heterocyclic groups can be aryl or heteroaryl groups having from 5 to 12 ring members, more usually from 5 to 10 ring members. The term "aryl" as used herein refers to a carbocyclic group having aromatic character and the term "heteroaryl" is used herein to denote a heterocyclic group having aromatic character. The terms "aryl" and "heteroaryl" embrace polycyclic (e.g. bicyclic) ring systems wherein one or more rings are non-aromatic, provided that at least one ring

is aromatic. In such polycyclic systems, the group may be attached by the aromatic ring, or by a non-aromatic ring. The aryl or heteroaryl groups can be monocyclic or bicyclic groups and can be unsubstituted or substituted with one or more substituents, for example one or more groups R<sup>15</sup> as defined herein.

- 5 The term “non-aromatic group” embraces unsaturated ring systems without aromatic character, partially saturated and fully saturated carbocyclic and heterocyclic ring systems. The terms “unsaturated” and “partially saturated” refer to rings wherein the ring structure(s) contains atoms sharing more than one valence bond i.e. the ring contains at least one multiple bond e.g. a C=C, C≡C or N=C bond.
- 10 The terms “fully saturated” and “saturated” refer to rings where there are no multiple bonds between ring atoms. Saturated carbocyclic groups include cycloalkyl groups as defined below. Partially saturated carbocyclic groups include cycloalkenyl groups as defined below, for example cyclopentenyl, cycloheptenyl and cyclooctenyl. A further example of a cycloalkenyl group is cyclohexenyl.
- 15 Examples of heteroaryl groups are monocyclic and bicyclic groups containing from five to twelve ring members, and more usually from five to ten ring members. The heteroaryl group can be, for example, a five membered or six membered monocyclic ring or a bicyclic structure formed from fused five and six membered rings or two fused six membered rings or, by way of a further example, two fused
- 20 five membered rings. Each ring may contain up to about four heteroatoms typically selected from nitrogen, sulphur and oxygen. Typically the heteroaryl ring will contain up to 4 heteroatoms, more typically up to 3 heteroatoms, more usually up to 2, for example a single heteroatom. In one embodiment, the heteroaryl ring contains at least one ring nitrogen atom. The nitrogen atoms in the heteroaryl rings
- 25 can be basic, as in the case of an imidazole or pyridine, or essentially non-basic as in the case of an indole or pyrrole nitrogen. In general the number of basic nitrogen atoms present in the heteroaryl group, including any amino group substituents of the ring, will be less than five.

Examples of five membered heteroaryl groups include but are not limited to pyrrole, furan, thiophene, imidazole, furazan, oxazole, oxadiazole, oxatriazole, isoxazole, thiazole, isothiazole, pyrazole, triazole and tetrazole groups.

Examples of six membered heteroaryl groups include but are not limited to  
5 pyridine, pyrazine, pyridazine, pyrimidine and triazine.

A bicyclic heteroaryl group may be, for example, a group selected from:

- a) a benzene ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring heteroatoms;
- b) a pyridine ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring  
10 heteroatoms;
- c) a pyrimidine ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;
- d) a pyrrole ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring heteroatoms;
- 15 e) a pyrazole ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;
- f) a pyrazine ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;
- g) an imidazole ring fused to a 5- or 6-membered ring containing 1 or 2 ring  
20 heteroatoms;
- h) an oxazole ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;
- i) an isoxazole ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;
- 25 j) a thiazole ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;

- k) an isothiazole ring fused to a 5- or 6-membered ring containing 1 or 2 ring heteroatoms;
- l) a thiophene ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring heteroatoms;
- 5 m) a furan ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring heteroatoms;
- n) a cyclohexyl ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring heteroatoms; and
- 10 o) a cyclopentyl ring fused to a 5- or 6-membered ring containing 1, 2 or 3 ring heteroatoms.

One sub-group of bicyclic heteroaryl groups consists of groups (a) to (e) and (g) to (o) above.

Particular examples of bicyclic heteroaryl groups containing a five membered ring fused to another five membered ring include but are not limited to imidazothiazole  
15 (e.g. imidazo[2,1-b]thiazole) and imidazoimidazole (e.g. imidazo[1,2-a]imidazole).

Particular examples of bicyclic heteroaryl groups containing a six membered ring fused to a five membered ring include but are not limited to benzfuran, benzthiophene, benzimidazole, benzoxazole, isobenzoxazole, benzisoxazole, benzthiazole, benzisothiazole, isobenzofuran, indole, isoindole, indolizine, indoline,  
20 isoindoline, purine (e.g., adenine, guanine), indazole, pyrazolopyrimidine (e.g. pyrazolo[1,5-a]pyrimidine), triazolopyrimidine (e.g. [1,2,4]triazolo[1,5-a]pyrimidine), benzodioxole and pyrazolopyridine (e.g. pyrazolo[1,5-a]pyridine) groups.

Particular examples of bicyclic heteroaryl groups containing two fused six  
25 membered rings include but are not limited to quinoline, isoquinoline, chroman, thiochroman, chromene, isochromene, chroman, isochroman, benzodioxan, quinolizine, benzoxazine, benzodiazine, pyridopyridine, quinoxaline, quinazoline, cinnoline, phthalazine, naphthyridine and pteridine groups.



One sub-group of heteroaryl groups comprises pyridyl, pyrrolyl, furanyl, thienyl, imidazolyl, oxazolyl, oxadiazolyl, oxatriazolyl, isoxazolyl, thiazolyl, isothiazolyl, pyrazolyl, pyrazinyl, pyridazinyl, pyrimidinyl, triazinyl, triazolyl, tetrazolyl, quinolinyl, isoquinolinyl, benzfuranyl, benzthienyl, chromanyl, thiochromanyl, benzimidazolyl, benzoxazolyl, benzisoxazole, benzthiazolyl and benzisothiazole, isobenzofuranyl, indolyl, isoindolyl, indoliziny, indoliny, isoindoliny, puriny (e.g., adenine, guanine), indazolyl, benzodioxolyl, chromenyl, isochromenyl, isochromanyl, benzodioxanyl, quinoliziny, benzoxazinyl, benzodiaziny, pyridopyridiny, quinoxaliny, quinazoliny, cinnoliny, phthalazinyl, naphthyridiny and pteridiny groups.

Examples of polycyclic aryl and heteroaryl groups containing an aromatic ring and a non-aromatic ring include tetrahydronaphthalene, tetrahydroisoquinoline, tetrahydroquinoline, dihydrobenzthiene, dihydrobenzofuran, 2,3-dihydro-benzo[1,4]dioxine, benzo[1,3]dioxole, 4,5,6,7-tetrahydrobenzofuran, indoline and indane groups.

Examples of carbocyclic aryl groups include phenyl, naphthyl, indenyl, and tetrahydronaphthyl groups.

Examples of non-aromatic heterocyclic groups include unsubstituted or substituted (by one or more groups  $R^{15}$ ) heterocyclic groups having from 3 to 12 ring members, typically 4 to 12 ring members, and more usually from 5 to 10 ring members. Such groups can be monocyclic or bicyclic, for example, and typically have from 1 to 5 heteroatom ring members (more usually 1,2,3 or 4 heteroatom ring members) typically selected from nitrogen, oxygen and sulphur.

When sulphur is present, it may, where the nature of the adjacent atoms and groups permits, exist as  $-S-$ ,  $-S(O)-$  or  $-S(O)_2-$ .

The heterocyclic groups can contain, for example, cyclic ether moieties (e.g. as in tetrahydrofuran and dioxane), cyclic thioether moieties (e.g. as in tetrahydrothiophene and dithiane), cyclic amine moieties (e.g. as in pyrrolidine), cyclic amide moieties (e.g. as in pyrrolidone), cyclic thioamides, cyclic thioesters,

cyclic ester moieties (e.g. as in butyrolactone), cyclic sulphones (e.g. as in sulpholane and sulfolene), cyclic sulfoxides, cyclic sulphonamides and combinations thereof (e.g. morpholine and thiomorpholine and its S-oxide and S,S-dioxide). Further examples of heterocyclic groups are those containing a cyclic urea moiety (e.g. as in imidazolidin-2-one),

In one sub-set of heterocyclic groups, the heterocyclic groups contain cyclic ether moieties (e.g. as in tetrahydrofuran and dioxane), cyclic thioether moieties (e.g. as in tetrahydrothiophene and dithiane), cyclic amine moieties (e.g. as in pyrrolidine), cyclic sulphones (e.g. as in sulpholane and sulfolene), cyclic sulfoxides, cyclic sulphonamides and combinations thereof (e.g. thiomorpholine).

Examples of monocyclic non-aromatic heterocyclic groups include 5-, 6- and 7-membered monocyclic heterocyclic groups. Particular examples include morpholine, piperidine (e.g. 1-piperidinyl, 2-piperidinyl, 3-piperidinyl and 4-piperidinyl), pyrrolidine (e.g. 1-pyrrolidinyl, 2-pyrrolidinyl and 3-pyrrolidinyl), pyrrolidone, pyran (2H-pyran or 4H-pyran), dihydrothiophene, dihydropyran, dihydrofuran, dihydrothiazole, tetrahydrofuran, tetrahydrothiophene, dioxane, tetrahydropyran (e.g. 4-tetrahydro pyranyl), imidazoline, imidazolidinone, oxazoline, thiazoline, 2-pyrazoline, pyrazolidine, piperazine, and N-alkyl piperazines such as N-methyl piperazine. Further examples include thiomorpholine and its S-oxide and S,S-dioxide (particularly thiomorpholine). Still further examples include azetidine, piperidone, piperazone, and N-alkyl piperidines such as N-methyl piperidine.

One preferred sub-set of non-aromatic heterocyclic groups consists of saturated groups such as azetidine, pyrrolidine, piperidine, morpholine, thiomorpholine, thiomorpholine S,S-dioxide, piperazine, N-alkyl piperazines, and N-alkyl piperidines.

Another sub-set of non-aromatic heterocyclic groups consists of pyrrolidine, piperidine, morpholine, thiomorpholine, thiomorpholine S,S-dioxide, piperazine and N-alkyl piperazines such as N-methyl piperazine.

One particular sub-set of heterocyclic groups consists of pyrrolidine, piperidine, morpholine and N-alkyl piperazines (e.g. N-methyl piperazine), and optionally thiomorpholine.

- 5 Examples of non-aromatic carbocyclic groups include cycloalkane groups such as cyclohexyl and cyclopentyl, cycloalkenyl groups such as cyclopentenyl, cyclohexenyl, cycloheptenyl and cyclooctenyl, as well as cyclohexadienyl, cyclooctatetraene, tetrahydronaphthenyl and decalanyl.

Preferred non-aromatic carbocyclic groups are monocyclic rings and most preferably saturated monocyclic rings.

- 10 Typical examples are three, four, five and six membered saturated carbocyclic rings, e.g. optionally substituted cyclopentyl and cyclohexyl rings.

- One sub-set of non-aromatic carbocyclic groups includes unsubstituted or substituted (by one or more groups R<sup>15</sup>) monocyclic groups and particularly saturated monocyclic groups, e.g. cycloalkyl groups. Examples of such cycloalkyl groups  
15 include cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl and cycloheptyl; more typically cyclopropyl, cyclobutyl, cyclopentyl and cyclohexyl, particularly cyclohexyl.

- Further examples of non-aromatic cyclic groups include bridged ring systems such as bicycloalkanes and azabicycloalkanes although such bridged ring systems are  
20 generally less preferred. By "bridged ring systems" is meant ring systems in which two rings share more than two atoms, see for example *Advanced Organic Chemistry*, by Jerry March, 4<sup>th</sup> Edition, Wiley Interscience, pages 131-133, 1992. Examples of bridged ring systems include bicyclo[2.2.1]heptane, aza-bicyclo[2.2.1]heptane, bicyclo[2.2.2]octane, aza-bicyclo[2.2.2]octane,  
25 bicyclo[3.2.1]octane and aza-bicyclo[3.2.1]octane. A particular example of a bridged ring system is the 1-aza-bicyclo[2.2.2]octan-3-yl group.

Where reference is made herein to carbocyclic and heterocyclic groups, the carbocyclic or heterocyclic ring can, unless the context indicates otherwise, be

unsubstituted or substituted by one or more substituent groups  $R^{15}$  selected from halogen, hydroxy, trifluoromethyl, cyano, nitro, carboxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, carbocyclic and heterocyclic groups having from 3 to 12 ring members; a group  $R^a-R^b$  wherein  $R^a$  is a bond, O, CO,  $X^1C(X^2)$ ,  $C(X^2)X^1$ ,  
 5  $X^1C(X^2)X^1$ , S, SO,  $SO_2$ ,  $NR^c$ ,  $SO_2NR^c$  or  $NR^cSO_2$ ; and  $R^b$  is selected from hydrogen, carbocyclic and heterocyclic groups having from 3 to 12 ring members, and a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from hydroxy, oxo, halogen, cyano, nitro, carboxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, carbocyclic and heterocyclic groups having from 3 to 12  
 10 ring members and wherein one or more carbon atoms of the  $C_{1-8}$  hydrocarbyl group may optionally be replaced by O, S, SO,  $SO_2$ ,  $NR^c$ ,  $X^1C(X^2)$ ,  $C(X^2)X^1$  or  $X^1C(X^2)X^1$ ;

$R^c$  is selected from hydrogen and  $C_{1-4}$  hydrocarbyl; and

$X^1$  is O, S or  $NR^c$  and  $X^2$  is =O, =S or = $NR^c$ .

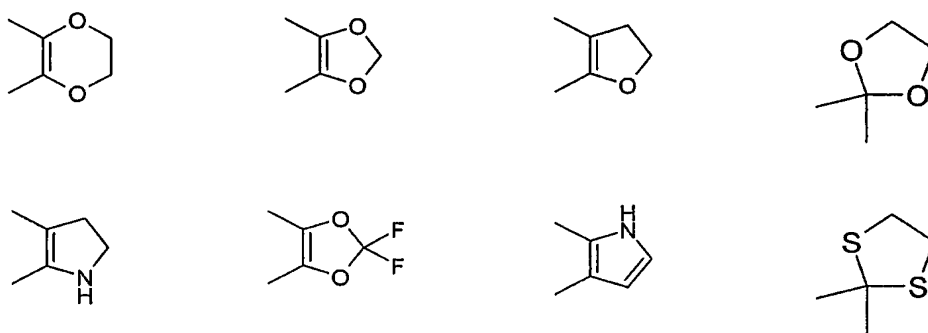
15 Where the substituent group  $R^{15}$  comprises or includes a carbocyclic or heterocyclic group, the said carbocyclic or heterocyclic group may be unsubstituted or may itself be substituted with one or more further substituent groups  $R^{15}$ . In one sub-group of compounds of the formula (I), such further substituent groups  $R^{15}$  may include carbocyclic or heterocyclic groups, which are typically not themselves further  
 20 substituted. In another sub-group of compounds of the formula (I), the said further substituents do not include carbocyclic or heterocyclic groups but are otherwise selected from the groups listed above in the definition of  $R^{15}$ .

The substituents  $R^{15}$  may be selected such that they contain no more than 20 non-hydrogen atoms, for example, no more than 15 non-hydrogen atoms, e.g. no more  
 25 than 12, or 11, or 10, or 9, or 8, or 7, or 6, or 5 non-hydrogen atoms.

Where the carbocyclic and heterocyclic groups have a pair of substituents on the same or adjacent ring atoms, the two substituents may be linked so as to form a cyclic group. Thus, two adjacent groups  $R^{15}$ , together with the carbon atoms or heteroatoms to which they are attached may form a 5-membered heteroaryl ring or  
 30 a 5- or 6-membered non-aromatic carbocyclic or heterocyclic ring, wherein the said

heteroaryl and heterocyclic groups contain up to 3 heteroatom ring members selected from N, O and S. For example, an adjacent pair of substituents on adjacent carbon atoms of a ring may be linked via one or more heteroatoms and optionally substituted alkylene groups to form a fused oxa-, dioxo-, aza-, diaza- or oxa-aza-  
5 cycloalkyl group.

Examples of such linked substituent groups include:



Examples of halogen substituents include fluorine, chlorine, bromine and iodine. Fluorine and chlorine are particularly preferred.

In the definition of the compounds of the formula (I) above and as used hereinafter,  
10 the term “hydrocarbyl” is a generic term encompassing aliphatic, alicyclic and aromatic groups having an all-carbon backbone and consisting of carbon and hydrogen atoms, except where otherwise stated.

In certain cases, as defined herein, one or more of the carbon atoms making up the carbon backbone may be replaced by a specified atom or group of atoms.

15 Examples of hydrocarbyl groups include alkyl, cycloalkyl, cycloalkenyl, carbocyclic aryl, alkenyl, alkynyl, cycloalkylalkyl, cycloalkenylalkyl, and carbocyclic aralkyl, aralkenyl and aralkynyl groups. Such groups can be unsubstituted or, where stated, substituted by one or more substituents as defined herein. The examples and preferences expressed below apply to each of the  
20 hydrocarbyl substituent groups or hydrocarbyl-containing substituent groups

referred to in the various definitions of substituents for compounds of the formula (I) unless the context indicates otherwise.

The prefix "C<sub>x-y</sub>" (where x and y are integers) as used herein refers to the number of carbon atoms in a given group. Thus, a C<sub>1-4</sub> hydrocarbyl group contains from 1 to 4 carbon atoms, and a C<sub>3-6</sub> cycloalkyl group contains from 3 to 6 carbon atoms, and so on.

Preferred non-aromatic hydrocarbyl groups are saturated groups such as alkyl and cycloalkyl groups.

Generally by way of example, the hydrocarbyl groups can have up to eight carbon atoms, unless the context requires otherwise. Within the sub-set of hydrocarbyl groups having 1 to 8 carbon atoms, particular examples are C<sub>1-6</sub> hydrocarbyl groups, such as C<sub>1-4</sub> hydrocarbyl groups (e.g. C<sub>1-3</sub> hydrocarbyl groups or C<sub>1-2</sub> hydrocarbyl groups or C<sub>2-3</sub> hydrocarbyl groups or C<sub>2-4</sub> hydrocarbyl groups), specific examples being any individual value or combination of values selected from C<sub>1</sub>, C<sub>2</sub>, C<sub>3</sub>, C<sub>4</sub>, C<sub>5</sub>, C<sub>6</sub>, C<sub>7</sub> and C<sub>8</sub> hydrocarbyl groups.

The term "alkyl" covers both straight chain and branched chain alkyl groups. Examples of alkyl groups include methyl, ethyl, propyl, isopropyl, n-butyl, isobutyl, tert-butyl, n-pentyl, 2-pentyl, 3-pentyl, 2-methyl butyl, 3-methyl butyl, and n-hexyl and its isomers. Within the sub-set of alkyl groups having 1 to 8 carbon atoms, particular examples are C<sub>1-6</sub> alkyl groups, such as C<sub>1-4</sub> alkyl groups (e.g. C<sub>1-3</sub> alkyl groups or C<sub>1-2</sub> alkyl groups or C<sub>2-3</sub> alkyl groups or C<sub>2-4</sub> alkyl groups).

Examples of cycloalkyl groups are those derived from cyclopropane, cyclobutane, cyclopentane, cyclohexane and cycloheptane. Within the sub-set of cycloalkyl groups the cycloalkyl group will have from 3 to 8 carbon atoms, particular examples being C<sub>3-6</sub> cycloalkyl groups.

Examples of alkenyl groups include, but are not limited to, ethenyl (vinyl), 1-propenyl, 2-propenyl (allyl), isopropenyl, butenyl, buta-1,4-dienyl, pentenyl, and hexenyl. Within the sub-set of alkenyl groups the alkenyl group will have 2 to 8

carbon atoms, particular examples being C<sub>2-6</sub> alkenyl groups, such as C<sub>2-4</sub> alkenyl groups.

Examples of cycloalkenyl groups include, but are not limited to, cyclopropenyl, cyclobutenyl, cyclopentenyl, cyclopentadienyl and cyclohexenyl. Within the sub-  
5 set of cycloalkenyl groups the cycloalkenyl groups have from 3 to 8 carbon atoms, and particular examples are C<sub>3-6</sub> cycloalkenyl groups.

Examples of alkynyl groups include, but are not limited to, ethynyl and 2-propynyl (propargyl) groups. Within the sub-set of alkynyl groups having 2 to 8 carbon atoms, particular examples are C<sub>2-6</sub> alkynyl groups, such as C<sub>2-4</sub> alkynyl groups.

10 Examples of carbocyclic aryl groups include substituted and unsubstituted phenyl groups.

Examples of cycloalkylalkyl, cycloalkenylalkyl, carbocyclic aralkyl, aralkenyl and aralkynyl groups include phenethyl, benzyl, styryl, phenylethynyl, cyclohexylmethyl, cyclopentylmethyl, cyclobutylmethyl, cyclopropylmethyl and  
15 cyclopentenylmethyl groups.

When present, and where stated, a hydrocarbyl group can be optionally substituted by one or more substituents selected from hydroxy, oxo, alkoxy, carboxy, halogen, cyano, nitro, amino, mono- or di-C<sub>1-4</sub> hydrocarbylamino, and monocyclic or bicyclic carbocyclic and heterocyclic groups having from 3 to 12 (typically 3 to 10  
20 and more usually 5 to 10) ring members. Preferred substituents include halogen such as fluorine. Thus, for example, the substituted hydrocarbyl group can be a partially fluorinated or perfluorinated group such as difluoromethyl or trifluoromethyl. In one embodiment preferred substituents include monocyclic carbocyclic and heterocyclic groups having 3-7 ring members, more usually 3, 4, 5  
25 or 6 ring members.

Where stated, one or more carbon atoms of a hydrocarbyl group may optionally be replaced by O, S, SO, SO<sub>2</sub>, NR<sup>c</sup>, X<sup>1</sup>C(X<sup>2</sup>), C(X<sup>2</sup>)X<sup>1</sup> or X<sup>1</sup>C(X<sup>2</sup>)X<sup>1</sup> (or a sub-group thereof) wherein X<sup>1</sup> and X<sup>2</sup> are as hereinbefore defined, provided that at least one

carbon atom of the hydrocarbyl group remains. For example, 1, 2, 3 or 4 carbon atoms of the hydrocarbyl group may be replaced by one of the atoms or groups listed, and the replacing atoms or groups may be the same or different. In general, the number of linear or backbone carbon atoms replaced will correspond to the number of linear or backbone atoms in the group replacing them. Examples of groups in which one or more carbon atom of the hydrocarbyl group have been replaced by a replacement atom or group as defined above include ethers and thioethers (C replaced by O or S), amides, esters, thioamides and thioesters (C-C replaced by  $X^1C(X^2)$  or  $C(X^2)X^1$ ), sulphones and sulfoxides (C replaced by SO or SO<sub>2</sub>), amines (C replaced by NR<sup>6</sup>). Further examples include ureas, carbonates and carbamates (C-C-C replaced by  $X^1C(X^2)X^1$ ).

Where an amino group has two hydrocarbyl substituents, they may, together with the nitrogen atom to which they are attached, and optionally with another heteroatom such as nitrogen, sulphur, or oxygen, link to form a ring structure of 4 to 7 ring members, more usually 5 to 6 ring members.

The term "aza-cycloalkyl" as used herein refers to a cycloalkyl group in which one of the carbon ring members has been replaced by a nitrogen atom. Thus examples of aza-cycloalkyl groups include piperidine and pyrrolidine. The term "oxa-cycloalkyl" as used herein refers to a cycloalkyl group in which one of the carbon ring members has been replaced by an oxygen atom. Thus examples of oxa-cycloalkyl groups include tetrahydrofuran and tetrahydropyran. In an analogous manner, the terms "diaz-cycloalkyl", "diox-cycloalkyl" and "aza-oxa-cycloalkyl" refer respectively to cycloalkyl groups in which two carbon ring members have been replaced by two nitrogen atoms, or by two oxygen atoms, or by one nitrogen atom and one oxygen atom. Thus, in an oxa-C<sub>4-6</sub> cycloalkyl group, there will be from 3 to 5 carbon ring members and an oxygen ring member. For example, an oxa-cyclohexyl group is a tetrahydropyranyl group.

The definition "R<sup>a</sup>-R<sup>b</sup>" as used herein, either with regard to substituents present on a carbocyclic or heterocyclic moiety, or with regard to other substituents present at other locations on the compounds of the formula (I), includes *inter alia* compounds



wherein  $R^a$  is selected from a bond, O, CO, OC(O), SC(O),  $NR^cC(O)$ , OC(S), SC(S),  $NR^cC(S)$ , OC( $NR^c$ ), SC( $NR^c$ ),  $NR^cC(NR^c)$ , C(O)O, C(O)S, C(O) $NR^c$ , C(S)O, C(S)S, C(S)  $NR^c$ , C( $NR^c$ )O, C( $NR^c$ )S, C( $NR^c$ ) $NR^c$ , OC(O)O, SC(O)O,  $NR^cC(O)O$ , OC(S)O, SC(S)O,  $NR^cC(S)O$ , OC( $NR^c$ )O, SC( $NR^c$ )O,  $NR^cC(NR^c)O$ , OC(O)S, SC(O)S,  $NR^cC(O)S$ , OC(S)S, SC(S)S,  $NR^cC(S)S$ , OC( $NR^c$ )S, SC( $NR^c$ )S,  $NR^cC(NR^c)S$ , OC(O) $NR^c$ , SC(O) $NR^c$ ,  $NR^cC(O)NR^c$ , OC(S) $NR^c$ , SC(S)  $NR^c$ ,  $NR^cC(S)NR^c$ , OC( $NR^c$ ) $NR^c$ , SC( $NR^c$ ) $NR^c$ ,  $NR^cC(NR^c)NR^c$ , S, SO, SO<sub>2</sub>,  $NR^c$ , SO<sub>2</sub> $NR^c$  and  $NR^cSO_2$  wherein  $R^c$  is as hereinbefore defined.

The moiety  $R^b$  can be hydrogen or it can be a group selected from carbocyclic and heterocyclic groups having from 3 to 12 ring members (typically 3 to 10 and more usually from 5 to 10), and a C<sub>1-8</sub> hydrocarbyl group optionally substituted as hereinbefore defined. Examples of hydrocarbyl, carbocyclic and heterocyclic groups are as set out above.

When  $R^a$  is O and  $R^b$  is a C<sub>1-8</sub> hydrocarbyl group,  $R^a$  and  $R^b$  together form a hydrocarbyloxy group. Preferred hydrocarbyloxy groups include saturated hydrocarbyloxy such as alkoxy (e.g. C<sub>1-6</sub> alkoxy, more usually C<sub>1-4</sub> alkoxy such as ethoxy and methoxy, particularly methoxy), cycloalkoxy (e.g. C<sub>3-6</sub> cycloalkoxy such as cyclopropyloxy, cyclobutyloxy, cyclopentyloxy and cyclohexyloxy) and cycloalkyloxy (e.g. C<sub>3-6</sub> cycloalkyl-C<sub>1-2</sub> alkoxy such as cyclopropylmethoxy).

The hydrocarbyloxy groups can be substituted by various substituents as defined herein. For example, the alkoxy groups can be substituted by halogen (e.g. as in difluoromethoxy and trifluoromethoxy), hydroxy (e.g. as in hydroxyethoxy), C<sub>1-2</sub> alkoxy (e.g. as in methoxyethoxy), hydroxy-C<sub>1-2</sub> alkyl (as in hydroxyethoxyethoxy) or a cyclic group (e.g. a cycloalkyl group or non-aromatic heterocyclic group as hereinbefore defined). Examples of alkoxy groups bearing a non-aromatic heterocyclic group as a substituent are those in which the heterocyclic group is a saturated cyclic amine such as morpholine, piperidine, pyrrolidine, piperazine, C<sub>1-4</sub>-alkyl-piperazines, C<sub>3-7</sub>-cycloalkyl-piperazines, tetrahydropyran or tetrahydrofuran and the alkoxy group is a C<sub>1-4</sub> alkoxy group, more typically a C<sub>1-3</sub> alkoxy group such as methoxy, ethoxy or n-propoxy.

Alkoxy groups may be substituted by a monocyclic group such as pyrrolidine, piperidine, morpholine and piperazine and N-substituted derivatives thereof such as N-benzyl, N-C<sub>1-4</sub> acyl and N-C<sub>1-4</sub> alkoxy carbonyl. Particular examples include pyrrolidinoethoxy, piperidinoethoxy and piperazinoethoxy.

- 5 When R<sup>a</sup> is a bond and R<sup>b</sup> is a C<sub>1-8</sub> hydrocarbyl group, examples of hydrocarbyl groups R<sup>a</sup>-R<sup>b</sup> are as hereinbefore defined. The hydrocarbyl groups may be saturated groups such as cycloalkyl and alkyl and particular examples of such groups include methyl, ethyl and cyclopropyl. The hydrocarbyl (e.g. alkyl) groups can be substituted by various groups and atoms as defined herein. Examples of
- 10 substituted alkyl groups include alkyl groups substituted by one or more halogen atoms such as fluorine and chlorine (particular examples including bromoethyl, chloroethyl and trifluoromethyl), or hydroxy (e.g. hydroxymethyl and hydroxyethyl), C<sub>1-8</sub> acyloxy (e.g. acetoxymethyl and benzyloxymethyl), amino and mono- and dialkylamino (e.g. aminoethyl, methylaminoethyl,
- 15 dimethylaminomethyl, dimethylaminoethyl and *tert*-butylaminomethyl), alkoxy (e.g. C<sub>1-2</sub> alkoxy such as methoxy – as in methoxyethyl), and cyclic groups such as cycloalkyl groups, aryl groups, heteroaryl groups and non-aromatic heterocyclic groups as hereinbefore defined).

- Particular examples of alkyl groups substituted by a cyclic group are those wherein
- 20 the cyclic group is a saturated cyclic amine such as morpholine, piperidine, pyrrolidine, piperazine, C<sub>1-4</sub>-alkyl-piperazines, C<sub>3-7</sub>-cycloalkyl-piperazines, tetrahydropyran or tetrahydrofuran and the alkyl group is a C<sub>1-4</sub> alkyl group, more typically a C<sub>1-3</sub> alkyl group such as methyl, ethyl or n-propyl. Specific examples of alkyl groups substituted by a cyclic group include pyrrolidinomethyl,
- 25 pyrrolidinopropyl, morpholinomethyl, morpholinoethyl, morpholinopropyl, piperidinylmethyl, piperazinomethyl and N-substituted forms thereof as defined herein.

Particular examples of alkyl groups substituted by aryl groups and heteroaryl groups include benzyl and pyridylmethyl groups.

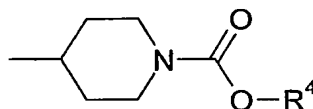
When  $R^a$  is  $SO_2NR^c$ ,  $R^b$  can be, for example, hydrogen or an optionally substituted  $C_{1-8}$  hydrocarbyl group, or a carbocyclic or heterocyclic group. Examples of  $R^a-R^b$  where  $R^a$  is  $SO_2NR^c$  include aminosulphonyl,  $C_{1-4}$  alkylaminosulphonyl and di- $C_{1-4}$  alkylaminosulphonyl groups, and sulphonamides formed from a cyclic amino group  
 5 such as piperidine, morpholine, pyrrolidine, or an optionally N-substituted piperazine such as N-methyl piperazine.

Examples of groups  $R^a-R^b$  where  $R^a$  is  $SO_2$  include alkylsulphonyl, heteroarylsulphonyl and arylsulphonyl groups, particularly monocyclic aryl and heteroaryl sulphonyl groups. Particular examples include methylsulphonyl,  
 10 phenylsulphonyl and toluenesulphonyl.

When  $R^a$  is  $NR^c$ ,  $R^b$  can be, for example, hydrogen or an optionally substituted  $C_{1-8}$  hydrocarbyl group, or a carbocyclic or heterocyclic group. Examples of  $R^a-R^b$  where  $R^a$  is  $NR^c$  include amino,  $C_{1-4}$  alkylamino (e.g. methylamino, ethylamino, propylamino, isopropylamino, *tert*-butylamino), di- $C_{1-4}$  alkylamino (e.g.  
 15 dimethylamino and diethylamino) and cycloalkylamino (e.g. cyclopropylamino, cyclopentylamino and cyclohexylamino).

#### Specific Embodiments of and Preferences for $R^0$ to $R^8$ and $R^{15}$

In one embodiment,  $R^1$  is (a), 2,6-dichlorophenyl,  $R^{2a}$  and  $R^{2b}$  are both hydrogen; and  $R^3$  is (i) a group:



20

where  $R^4$  is  $C_{1-4}$  alkyl; but excluding the compound 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid *tert*-butyl ester.

The  $C_{1-4}$  alkyl group may be as set out in the General Preferences and Definitions  
 25 section above. Thus, it can be a  $C_1$ ,  $C_2$ ,  $C_3$  or  $C_4$  alkyl group. Particular  $C_{1-4}$  alkyl

groups are methyl, ethyl, *i*-propyl, *n*-butyl and *i*-butyl groups. The term “alkyl” covers both straight chain and branched chain alkyl groups.

Within the group of C<sub>1-4</sub> alkyl groups are the sub-groups of:

- C<sub>1-3</sub> alkyl groups;
- 5 • C<sub>1-2</sub> alkyl groups;
- C<sub>2-3</sub> alkyl groups; and
- C<sub>2-4</sub> alkyl groups.

Particular examples of C<sub>1-4</sub> alkyl groups are:

- methyl;
- 10 • ethyl;
- *n*-propyl;
- *i*-propyl;
- *n*-butyl;
- *i*-butyl; and
- 15 • *tert*-butyl groups.

One particular sub-group is C<sub>1-3</sub> alkyl. Within this sub-group are found methyl, ethyl, *n*-propyl and *i*-propyl groups.

A further sub-group of C<sub>1-4</sub> alkyl groups consists of methyl, ethyl, *i*-propyl and *i*-butyl groups.

- 20 Another sub-group of C<sub>1-4</sub> alkyl groups consists of methyl, ethyl, *i*-propyl, *n*-butyl, *i*-butyl and *tert*-butyl groups.

One particular group is a methyl group.

Other particular groups R<sup>4</sup> are ethyl and isopropyl.

- 25 In another embodiment, R<sup>1</sup> is (b) 2,6-difluorophenyl, R<sup>2a</sup> and R<sup>2b</sup> are both hydrogen and R<sup>3</sup> is:

(ii) an N-substituted 4-piperidiny group wherein the N-substituent is C<sub>1-4</sub> alkoxy carbonyl.

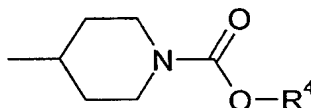
In a further embodiment, R<sup>1</sup> is (c) a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; and R<sup>2a</sup> and R<sup>2b</sup> are both hydrogen; and R<sup>3</sup> is selected from groups (i) and (iii) as defined herein.

Typically the 2,3,6-trisubstituted phenyl group has a fluorine, chlorine, methyl or methoxy group in the 2-position. The 2,3,6-trisubstituted phenyl group preferably has at least two substituents present that are chosen from fluorine and chlorine. A methoxy group, when present, is preferably located at the 2-position or 6-position, and more preferably the 2-position, of the phenyl group.

Particular examples of 2,3,6-trisubstituted phenyl groups are 2,3,6-trichlorophenyl, 2,3,6-trifluorophenyl, 2,3-difluoro-6-chlorophenyl, 2,3-difluoro-6-methoxyphenyl, 2,3-difluoro-6-methylphenyl, 3-chloro-2,6-difluorophenyl, 3-methyl-2,6-difluorophenyl, 2-chloro-3,6-difluorophenyl, 2-fluoro-3-methyl-6-chlorophenyl, 2-chloro-3-methyl-6-fluorophenyl, 2-chloro-3-methoxy-6-fluorophenyl and 2-methoxy-3-fluoro-6-chlorophenyl groups.

More particular examples are 2,3-difluoro-6-methoxyphenyl, 3-chloro-2,6-difluorophenyl, and 2-chloro-3,6-difluorophenyl groups.

In one sub-group of compounds wherein R<sup>1</sup> is a 2,3,6-trisubstituted phenyl group as defined herein, R<sup>3</sup> is (i) a group:

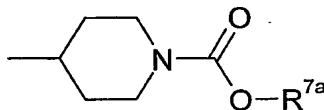


where R<sup>4</sup> is a C<sub>1-4</sub> alkyl group as defined herein.

In this sub-group of compounds, examples of C<sub>1-4</sub> alkyl groups include methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl and *tert*-butyl. Particular C<sub>1-4</sub> alkyl

groups include methyl, ethyl, isopropyl and *tert*-butyl, and one preferred C<sub>1-4</sub> alkyl group is isopropyl.

In another sub-group of compounds wherein R<sup>1</sup> is a 2,3,6-trisubstituted phenyl group as defined herein, R<sup>3</sup> is (iii) a group:



5

where R<sup>7a</sup> is as defined herein.

Within this embodiment, when R<sup>7a</sup> is unsubstituted C<sub>1-4</sub> hydrocarbyl other than C<sub>1-4</sub> alkyl, particular hydrocarbyl groups are unsubstituted C<sub>2-4</sub> alkenyl groups such as vinyl and 2-propenyl. A preferred group R<sup>7a</sup> is vinyl.

- 10 Examples of substituted C<sub>1-4</sub> hydrocarbyl groups are C<sub>1-4</sub> hydrocarbyl groups substituted by one or more substituents chosen from C<sub>3-6</sub> cycloalkyl, fluorine, chlorine, methylsulphonyl, acetoxy, cyano, methoxy; and a group NR<sup>5</sup>R<sup>6</sup>. The C<sub>1-4</sub> hydrocarbyl groups can be, for example, substituted methyl groups, 1-substituted ethyl groups and 2-substituted ethyl groups. Preferred groups R<sup>7a</sup> include 2-
- 15 substituted ethyl groups, for example 2-substituted ethyl groups wherein the 2-substituent is a single substituent such as methoxy.

- When the substituted C<sub>1-4</sub> hydrocarbyl groups are substituted by NR<sup>5</sup>R<sup>6</sup>, examples of NR<sup>5</sup>R<sup>6</sup> include dimethylamino and heterocyclic rings selected from morpholine, piperidine, piperazine, N-methylpiperazine, pyrrolidine and thiazolidine. Particular
- 20 heterocyclic rings include morpholinyl, 4-methylpiperazinyl and pyrrolidinyl.

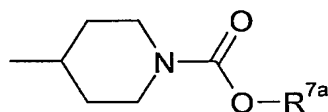
When R<sup>7a</sup> is a group  $-(CH_2)_n-R^8$  where n is 0 or 1, R<sup>8</sup> can be a C<sub>3-6</sub> cycloalkyl group such as cyclopropyl, cyclopentyl, or an oxa-C<sub>4-6</sub> cycloalkyl group such as tetrahydrofuranlyl and tetrahydropyranlyl. In one sub-group of compounds, n is 0 and in another sub-group of compounds, n is 1.

- 25 Alternatively, when R<sup>7a</sup> is a group  $-(CH_2)_n-R^8$  where n is 0 or 1, R<sup>8</sup> can be phenyl optionally substituted by one or more substituents selected from fluorine, chlorine,

methoxy, cyano, methyl and trifluoromethyl. In one sub-group of compounds, n is 0 and the optionally substituted phenyl group is attached directly to the oxygen atom of the carbamate. In another sub-group of compounds, n is 1 and hence the optionally substituted phenyl group forms part of a benzyl group. Particular  
 5 examples of a group  $-(CH_2)_n-R^8$  where  $R^8$  is a phenyl group are unsubstituted phenyl, 4-fluorophenyl and benzyl.

In another alternative, when  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where n is 0 or 1,  $R^8$  can be a 5-membered heteroaryl group containing one or two heteroatom ring members selected from O, N and S and being optionally substituted by methyl, methoxy,  
 10 fluorine, chlorine, or a group  $NR^5R^6$ . Examples of heteroaryl groups are as set out above in the General Preferences and Definitions section. One particular heteroaryl group is a thiazole group, more particularly a 5-thiazole group, preferably when n is 1.

In another embodiment of the invention,  $R^1$  is (d), a group  $R^0$ , where  $R^0$  is a  
 15 carbocyclic or heterocyclic group having from 3 to 12 ring members; or a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from fluorine, hydroxy, cyano;  $C_{1-4}$  hydrocarbyloxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, and carbocyclic or heterocyclic groups having from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may  
 20 optionally be replaced by an atom or group selected from O, S, NH, SO,  $SO_2$ ; and  $R^3$  is (iii) a group:



where  $R^{7a}$  and its preferences and examples are as defined herein.

25 Thus, for example, within this group of compounds, when  $R^{7a}$  is unsubstituted  $C_{1-4}$  hydrocarbyl other than  $C_{1-4}$  alkyl, particular hydrocarbyl groups are unsubstituted  $C_{1-4}$  alkenyl groups such as unsubstituted  $C_{2-4}$  alkenyl groups for example vinyl and 2-propenyl. A preferred group  $R^{7a}$  is vinyl.

Examples of substituted  $C_{1-4}$  hydrocarbyl groups are  $C_{1-4}$  hydrocarbyl groups substituted by one or more substituents chosen from  $C_{3-6}$  cycloalkyl, fluorine, chlorine, methylsulphonyl, acetoxy, cyano, methoxy; and a group  $NR^5R^6$ . The  $C_{1-4}$  hydrocarbyl groups can be, for example, substituted methyl groups, 1-substituted ethyl groups and 2-substituted ethyl groups. Preferred groups  $R^{7a}$  include 2-substituted ethyl groups, for example 2-substituted ethyl groups wherein the 2-substituent is a single substituent such as methoxy.

When the substituted  $C_{1-4}$  hydrocarbyl groups are substituted by  $NR^5R^6$ , examples of  $NR^5R^6$  include dimethylamino and heterocyclic rings selected from morpholine, piperidine, piperazine, N-methylpiperazine, pyrrolidine and thiazolidine. Particular heterocyclic rings include morpholinyl, 4-methylpiperazinyl and pyrrolidine.

When  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where  $n$  is 0 or 1,  $R^8$  can be a  $C_{3-6}$  cycloalkyl group such as cyclopropyl, cyclopentyl, or an oxa- $C_{4-6}$  cycloalkyl group such as tetrahydrofuranyl and tetrahydropyranyl. In one sub-group of compounds,  $n$  is 0 and in another sub-group of compounds,  $n$  is 1.

Alternatively, when  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where  $n$  is 0 or 1,  $R^8$  can be phenyl optionally substituted by one or more substituents selected from fluorine, chlorine, methoxy, cyano, methyl and trifluoromethyl. In one sub-group of compounds,  $n$  is 0 and the optionally substituted phenyl group is attached directly to the oxygen atom of the carbamate. In another sub-group of compounds,  $n$  is 1 and hence the optionally substituted phenyl group forms part of a benzyl group. Particular examples of a group  $-(CH_2)_n-R^8$  where  $R^8$  is a phenyl group are unsubstituted phenyl, 4-fluorophenyl and benzyl.

In another alternative, when  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where  $n$  is 0 or 1,  $R^8$  can be a 5-membered heteroaryl group containing one or two heteroatom ring members selected from O, N and S and being optionally substituted by methyl, methoxy, fluorine, chlorine, or a group  $NR^5R^6$ . Examples of heteroaryl groups are as set out above in the General Preferences and Definitions section. One particular heteroaryl



group is a thiazole group, more particularly a 5-thiazole group, preferably when n is 1.

In the foregoing embodiments, examples, groups and sub-groups in which  $R^1$  is  $R^0$ , examples of carbocyclic or heterocyclic groups  $R^0$  having from 3 to 12 ring members; and optionally substituted  $C_{1-8}$  hydrocarbyl groups are as set out above in the General Preferences and Definitions section.

More particularly, in one embodiment,  $R^0$  is an aryl or heteroaryl group.

When  $R^0$  is a heteroaryl group, particular heteroaryl groups include monocyclic heteroaryl groups containing up to three heteroatom ring members selected from O, S and N, and bicyclic heteroaryl groups containing up to 2 heteroatom ring members selected from O, S and N and wherein both rings are aromatic.

Examples of such groups include furanyl (e.g. 2-furanyl or 3-furanyl), indolyl (e.g. 3-indolyl, 6-indolyl), 2,3-dihydro-benzo[1,4]dioxinyl (e.g. 2,3-dihydro-benzo[1,4]dioxin-5-yl), pyrazolyl (e.g. pyrazole-5-yl), pyrazolo[1,5-a]pyridinyl (e.g. pyrazolo[1,5-a]pyridine-3-yl), oxazolyl (e.g. ), isoxazolyl (e.g. isoxazol-4-yl), pyridyl (e.g. 2-pyridyl, 3-pyridyl, 4-pyridyl), quinolinyl (e.g. 2-quinolinyl), pyrrolyl (e.g. 3-pyrrolyl), imidazolyl and thienyl (e.g. 2-thienyl, 3-thienyl).

One sub-group of heteroaryl groups  $R^0$  consists of furanyl (e.g. 2-furanyl or 3-furanyl), indolyl, oxazolyl, isoxazolyl, pyridyl, quinolinyl, pyrrolyl, imidazolyl and thienyl.

A preferred sub-set of  $R^0$  heteroaryl groups includes 2-furanyl, 3-furanyl, pyrrolyl, imidazolyl and thienyl.

Preferred aryl groups  $R^0$  are phenyl groups.

The group  $R^0$  can be an unsubstituted or substituted carbocyclic or heterocyclic group in which one or more substituents can be selected from the group  $R^{15}$  as hereinbefore defined. In one embodiment, the substituents on  $R^0$  may be selected from the group  $R^{15a}$  consisting of halogen, hydroxy, trifluoromethyl, cyano, nitro,

carboxy, a group  $R^a-R^b$  wherein  $R^a$  is a bond, O, CO,  $X^3C(X^4)$ ,  $C(X^4)X^3$ ,  $X^3C(X^4)X^3$ , S, SO, or SO<sub>2</sub>, and  $R^b$  is selected from hydrogen and a C<sub>1-8</sub> hydrocarbyl group optionally substituted by one or more substituents selected from hydroxy, oxo, halogen, cyano, nitro, carboxy and monocyclic non-aromatic carbocyclic or heterocyclic groups having from 3 to 6 ring members; wherein one or more carbon atoms of the C<sub>1-8</sub> hydrocarbyl group may optionally be replaced by O, S, SO, SO<sub>2</sub>,  $X^3C(X^4)$ ,  $C(X^4)X^3$  or  $X^3C(X^4)X^3$ ;  $X^3$  is O or S; and  $X^4$  is =O or =S.

Where the carbocyclic and heterocyclic groups have a pair of substituents on the same or adjacent ring atoms, the two substituents may be linked so as to form a cyclic group. Thus, two adjacent groups  $R^{15}$ , together with the carbon atom(s) or heteroatom(s) to which they are attached may form a 5-membered heteroaryl ring or a 5- or 6-membered non-aromatic carbocyclic or heterocyclic ring, wherein the said heteroaryl and heterocyclic groups contain up to 3 heteroatom ring members selected from N, O and S. In particular the two adjacent groups  $R^{15}$ , together with the carbon atoms or heteroatoms to which they are attached, may form a 6-membered non-aromatic heterocyclic ring, containing up to 3, in particular 2, heteroatom ring members selected from N, O and S. More particularly the two adjacent groups  $R^{15}$  may form a 6-membered non-aromatic heterocyclic ring, containing 2 heteroatom ring members selected from N, or O, such as dioxan e.g. [1,4 dioxan]. In one embodiment  $R^1$  is a carbocyclic group e.g. phenyl having a pair of substituents on adjacent ring atoms linked so as to form a cyclic group e.g. to form 2,3-dihydro-benzo[1,4]dioxine.

More particularly, the substituents on  $R^0$  may be selected from halogen, hydroxy, trifluoromethyl, a group  $R^a-R^b$  wherein  $R^a$  is a bond or O, and  $R^b$  is selected from hydrogen and a C<sub>1-4</sub> hydrocarbyl group optionally substituted by one or more substituents selected from hydroxyl, halogen (preferably fluorine) and 5 and 6 membered saturated carbocyclic and heterocyclic groups (for example groups containing up to two heteroatoms selected from O, S and N, such as unsubstituted piperidine, pyrrolidino, morpholino, piperazino and N-methyl piperazino).

The group  $R^0$  may be substituted by more than one substituent. Thus, for example, there may be 1 or 2 or 3 or 4 substituents. In one embodiment, where  $R^0$  is a six membered ring (e.g. a carbocyclic ring such as a phenyl ring), there may be one, two or three substituents and these may be located at the 2-, 3-, 4- or 6-positions  
5 around the ring.

In one preferred group of compounds,  $R^0$  is a substituted phenyl group. By way of example, a substituted phenyl group  $R^0$  may be 2-monosubstituted, 3-monosubstituted, 2,6-disubstituted, 2,3-disubstituted, 2,4-disubstituted 2,5-disubstituted, 2,3,6-trisubstituted or 2,4,6-trisubstituted.

10 More particularly, in one particular group of compounds, a phenyl group  $R^0$  may be monosubstituted at the 2-position or disubstituted at positions 2- and 6- with substituents selected from fluorine, chlorine and  $R^a-R^b$ , where  $R^a$  is O and  $R^b$  is  $C_{1-4}$  alkyl (e.g. methyl or ethyl). In one preferred embodiment, the phenyl group is 2,6-disubstituted, wherein the substituents are selected from, for example, fluorine,  
15 chlorine, methyl, ethyl, trifluoromethyl, difluoromethoxy and methoxy, and particular examples of such substituted phenyl groups include 2-fluoro-6-trifluoromethylphenyl, 2,6-dichlorophenyl, 2,6-difluorophenyl, 2-chloro-6-methylphenyl, 2-fluoro-6-ethoxyphenyl, 2,6-dimethylphenyl, 2-methoxy-3-fluorophenyl, 2-fluoro-6-methoxyphenyl, 2-fluoro-3-methylphenyl and 2-chloro-6-  
20 bromophenyl. One particularly preferred 2,6-disubstituted group is 2,6-dichlorophenyl.

In another particular group of compounds, a phenyl group  $R^0$  may be trisubstituted  
at the 2-, 3- and 6-positions.

Typically the 2,3,6-trisubstituted phenyl group  $R^0$  has a fluorine, chlorine, methyl  
25 or methoxy group in the 2-position. The 2,3,6-trisubstituted phenyl group preferably has at least two substituents present that are chosen from fluorine and chlorine. A methoxy group, when present, is preferably located at the 2-position or 6-position, and more preferably the 2-position, of the phenyl group.

Particular examples of 2,3,6-trisubstituted phenyl groups  $R^0$  are 2,3,6-trichlorophenyl, 2,3,6-trifluorophenyl, 2,3-difluoro-6-chlorophenyl, 2,3-difluoro-6-methoxyphenyl, 2,3-difluoro-6-methylphenyl, 3-chloro-2,6-difluorophenyl, 3-methyl-2,6-difluorophenyl, 2-chloro-3,6-difluorophenyl, 2-fluoro-3-methyl-6-chlorophenyl, 2-chloro-3-methyl-6-fluorophenyl, 2-chloro-3-methoxy-6-fluorophenyl and 2-methoxy-3-fluoro-6-chlorophenyl groups.

More particular examples are 2,3-difluoro-6-methoxyphenyl, 3-chloro-2,6-difluorophenyl, and 2-chloro-3,6-difluorophenyl groups.

Particular examples of non-aromatic groups  $R^0$  include unsubstituted or substituted (by one or more groups  $R^{15}$ ) monocyclic cycloalkyl groups. Examples of such cycloalkyl groups include cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl and cycloheptyl; more typically cyclopropyl, cyclobutyl, cyclopentyl and cyclohexyl, particularly cyclohexyl.

Further examples of non-aromatic groups  $R^0$  include unsubstituted or substituted (by one or more groups  $R^{15}$ ) heterocyclic groups having from 3 to 12 ring members, typically 4 to 12 ring members, and more usually from 5 to 10 ring members. Such groups can be monocyclic or bicyclic, for example, and typically have from 1 to 5 heteroatom ring members (more usually 1,2,3 or 4 heteroatom ring members) typically selected from nitrogen, oxygen and sulphur.

When sulphur is present, it may, where the nature of the adjacent atoms and groups permits, exist as  $-S-$ ,  $-S(O)-$  or  $-S(O)_2-$ .

The heterocyclic groups can contain, for example, cyclic ether moieties (e.g. as in tetrahydrofuran and dioxane), cyclic thioether moieties (e.g. as in tetrahydrothiophene and dithiane), cyclic amine moieties (e.g. as in pyrrolidine), cyclic amides (e.g. as in pyrrolidone), cyclic esters (e.g. as in butyrolactone), cyclic thioamides and thioesters, cyclic sulphones (e.g. as in sulfolane and sulfolene), cyclic sulfoxides, cyclic sulphonamides and combinations thereof (e.g. morpholine and thiomorpholine and its S-oxide and S,S-dioxide).

In one sub-set of heterocyclic groups  $R^0$ , the heterocyclic groups contain cyclic ether moieties (e.g as in tetrahydrofuran and dioxane), cyclic thioether moieties (e.g. as in tetrahydrothiophene and dithiane), cyclic amine moieties (e.g. as in pyrrolidine), cyclic sulphones (e.g. as in sulpholane and sulpholene), cyclic  
5 sulphoxides, cyclic sulphonamides and combinations thereof (e.g. thiomorpholine).

Examples of monocyclic non-aromatic heterocyclic groups  $R^0$  include 5-, 6- and 7-membered monocyclic heterocyclic groups such as morpholine, piperidine (e.g. 1-piperidinyl, 2-piperidinyl 3-piperidinyl and 4-piperidinyl), pyrrolidine (e.g. 1-pyrrolidinyl, 2-pyrrolidinyl and 3-pyrrolidinyl), pyrrolidone, pyran (2H-pyran or  
10 4H-pyran), dihydrothiophene, dihydropyran, dihydrofuran, dihydrothiazole, tetrahydrofuran, tetrahydrothiophene, dioxane, tetrahydropyran (e.g. 4-tetrahydro pyranyl), imidazoline, imidazolidinone, oxazoline, thiazoline, 2-pyrazoline, pyrazolidine, piperazine, and N-alkyl piperazines such as N-methyl piperazine. Further examples include thiomorpholine and its S-oxide and S,S-dioxide  
15 (particularly thiomorpholine). Still further examples include N-alkyl piperidines such as N-methyl piperidine.

One sub-group of non-aromatic heterocyclic groups  $R^0$  includes unsubstituted or substituted (by one or more groups  $R^{15}$ ) 5-, 6- and 7-membered monocyclic  
20 heterocyclic groups such as morpholine, piperidine (e.g. 1-piperidinyl, 2-piperidinyl 3-piperidinyl and 4-piperidinyl), pyrrolidine (e.g. 1-pyrrolidinyl, 2-pyrrolidinyl and 3-pyrrolidinyl), pyrrolidone, piperazine, and N-alkyl piperazines such as N-methyl piperazine, wherein a particular sub-set consists of pyrrolidine, piperidine, morpholine, thiomorpholine and N-methyl piperazine.

In general, preferred non-aromatic heterocyclic groups include pyrrolidine,  
25 piperidine, morpholine, thiomorpholine, thiomorpholine S,S-dioxide, piperazine, N-alkyl piperazines, and N-alkyl piperidines.

Another particular sub-set of heterocyclic groups consists of pyrrolidine, piperidine, morpholine and N-alkyl piperazines, and optionally, N-methyl piperazine and thiomorpholine.

When  $R^0$  is a  $C_{1-8}$  hydrocarbyl group substituted by a carbocyclic or heterocyclic group, the carbocyclic and heterocyclic groups can be aromatic or non-aromatic and can be selected from the examples of such groups set out hereinabove. The substituted hydrocarbyl group is typically a saturated  $C_{1-4}$  hydrocarbyl group such as an alkyl group, preferably a  $CH_2$  or  $CH_2CH_2$  group. Where the substituted hydrocarbyl group is a  $C_{2-4}$  hydrocarbyl group, one of the carbon atoms and its associated hydrogen atoms may be replaced by a sulphonyl group, for example as in the moiety  $SO_2CH_2$ .

When the carbocyclic or heterocyclic group attached to the a  $C_{1-8}$  hydrocarbyl group is aromatic, examples of such groups include monocyclic aryl groups and monocyclic heteroaryl groups containing up to four heteroatom ring members selected from O, S and N, and bicyclic heteroaryl groups containing up to 2 heteroatom ring members selected from O, S and N and wherein both rings are aromatic.

Examples of such groups are set out in the "General Preferences and Definitions" section above.

Particular examples of such groups include furanyl (e.g. 2-furanyl or 3-furanyl), indolyl, oxazolyl, isoxazolyl, pyridyl, quinoliny, pyrrolyl, imidazolyl and thienyl. Particular examples of aryl and heteroaryl groups as substituents for a  $C_{1-8}$  hydrocarbyl group include phenyl, imidazolyl, tetrazolyl, triazolyl, indolyl, 2-furanyl, 3-furanyl, pyrrolyl and thienyl. Such groups may be substituted by one or more substituents  $R^{15}$  or  $R^{15a}$  as defined herein.

When  $R^0$  is a  $C_{1-8}$  hydrocarbyl group substituted by a non-aromatic carbocyclic or heterocyclic group, the non-aromatic or heterocyclic group may be a group selected from the lists of such groups set out hereinabove. For example, the non-aromatic group can be a monocyclic group having from 4 to 7 ring members, e.g. 5 to 7 ring members, and typically containing from 0 to 3, more typically 0, 1 or 2, heteroatom ring members selected from O, S and N. When the cyclic group is a carbocyclic group, it may additionally be selected from monocyclic groups having 3 ring

members. Particular examples include monocyclic cycloalkyl groups such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl and cycloheptyl, and 5-, 6- and 7-membered monocyclic heterocyclic groups such as morpholine, piperidine (e.g. 1-piperidinyl, 2-piperidinyl, 3-piperidinyl and 4-piperidinyl), pyrrolidine (e.g. 1-pyrrolidinyl, 2-pyrrolidinyl and 3-pyrrolidinyl), pyrrolidone, piperazine, and N-alkyl piperazines such as N-methyl piperazine. In general, preferred non-aromatic heterocyclic groups include pyrrolidine, piperidine, morpholine, thiomorpholine and N-methyl piperazine.

When  $R^0$  is an optionally substituted  $C_{1-8}$  hydrocarbyl group, the hydrocarbyl group may be as hereinbefore defined, and is preferably up to four carbon atoms in length, more usually up to three carbon atoms in length for example one or two carbon atoms in length.

In one embodiment, the hydrocarbyl group is saturated and may be acyclic or cyclic, for example acyclic. An acyclic saturated hydrocarbyl group (i.e. an alkyl group) may be a straight chain or branched alkyl group.

Examples of straight chain alkyl groups  $R^0$  include methyl, ethyl, propyl and butyl.

Examples of branched chain alkyl groups  $R^0$  include isopropyl, isobutyl, *tert*-butyl and 2,2-dimethylpropyl.

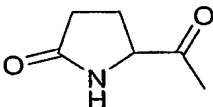
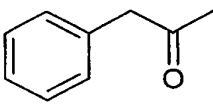
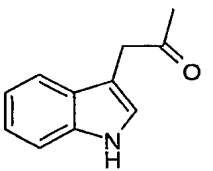
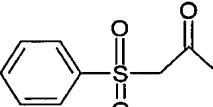
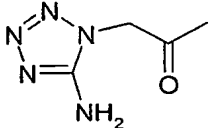
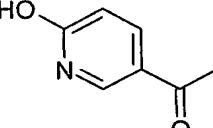
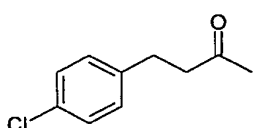
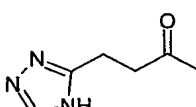
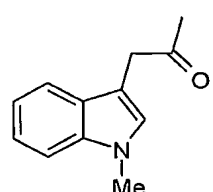
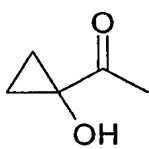
In one embodiment, the hydrocarbyl group is a linear saturated group having from 1-6 carbon atoms, more usually 1-4 carbon atoms, for example 1-3 carbon atoms, e.g. 1, 2 or 3 carbon atoms. When the hydrocarbyl group is substituted, particular examples of such groups are substituted (e.g. by a carbocyclic or heterocyclic group) methyl and ethyl groups.

A  $C_{1-8}$  hydrocarbyl group  $R^0$  can be optionally substituted by one or more substituents selected from halogen (e.g. fluorine), hydroxy,  $C_{1-4}$  hydrocarbyloxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, and carbocyclic or heterocyclic groups having from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may optionally be replaced by an atom or group selected from

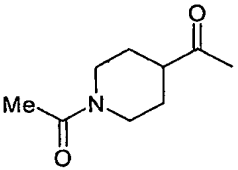
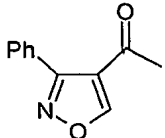
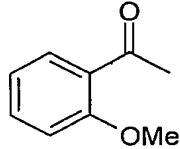
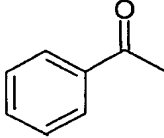
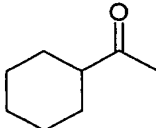
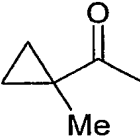
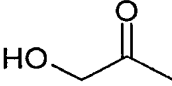
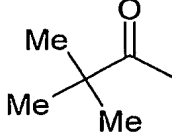
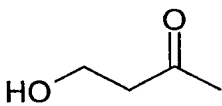
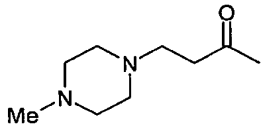
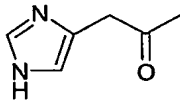
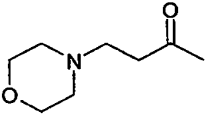
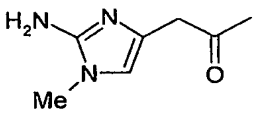
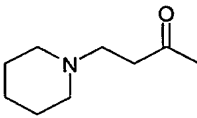
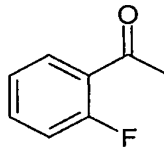
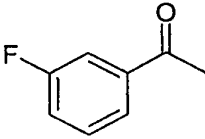
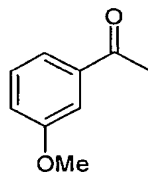
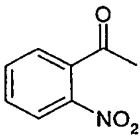
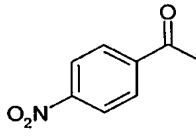
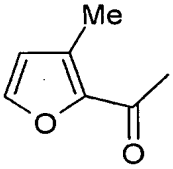
O, S, NH, SO, SO<sub>2</sub>. Particular substituents for the hydrocarbonyl group include hydroxy, chlorine, fluorine (e.g. as in trifluoromethyl), methoxy, ethoxy, amino, methylamino and dimethylamino, preferred substituents being hydroxy and fluorine.

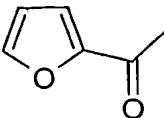
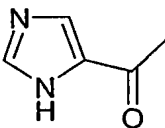
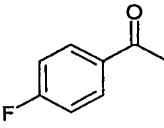
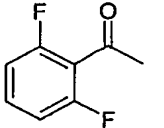
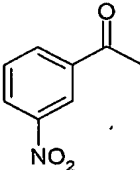
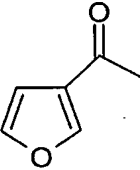
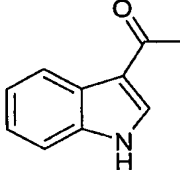
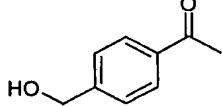
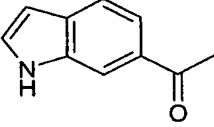
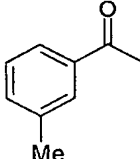
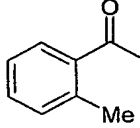
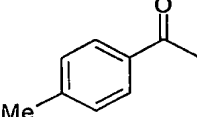
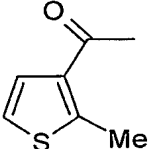
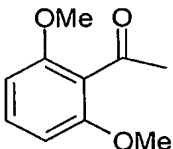
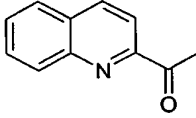
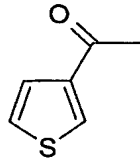
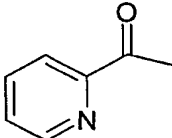
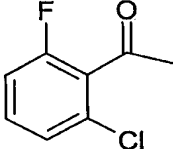
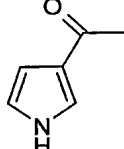
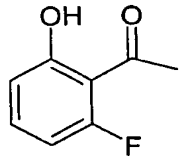
- 5 Particular groups R<sup>0</sup>-CO are the groups set out in Table 1 below.

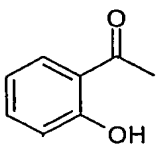
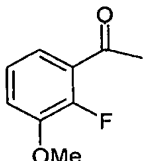
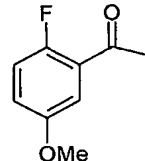
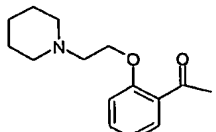
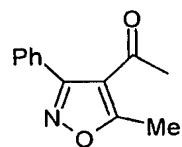
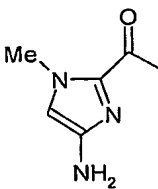
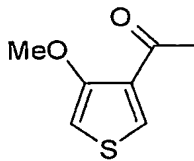
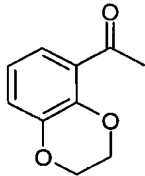
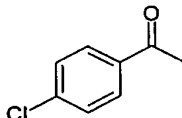
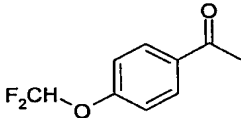
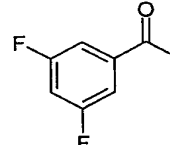
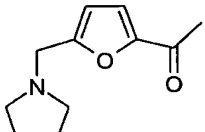
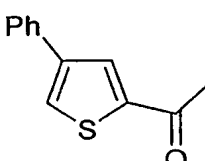
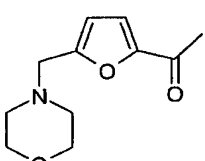
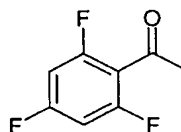
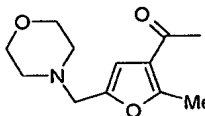
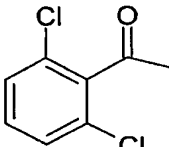
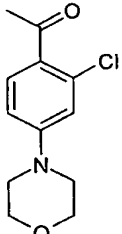
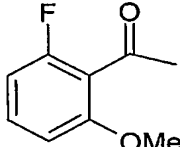
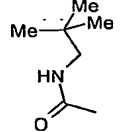
In Table 1, the point of attachment of the group to the nitrogen atom of the pyrazole-4-amino group is represented by the terminal single bond extending from the carbonyl group. Thus, by way of illustration, group B in the table is the trifluoroacetyl group, group D in the table is the phenylacetyl group and group I in the table is the 3-(4-chlorophenyl)propionyl group.

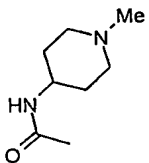
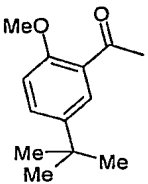
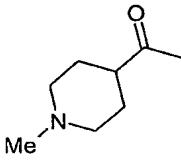
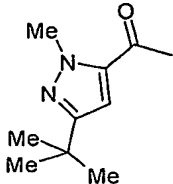
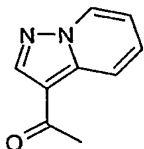
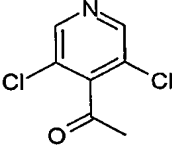
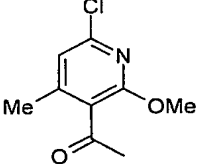
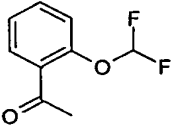
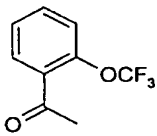
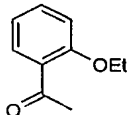
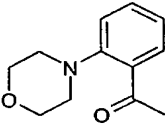
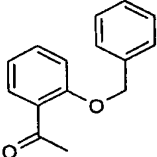
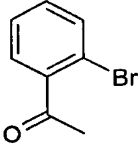
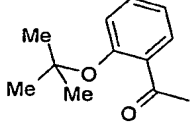
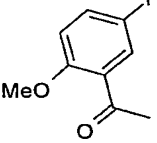
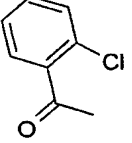
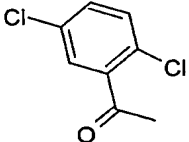
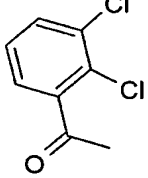
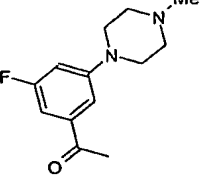
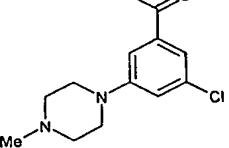
Table 1 – Examples of the group R <sup>0</sup> -CO			
$\text{CH}_3\text{-C(=O)-}$ A	$\text{CF}_3\text{-C(=O)-}$ B	 C	 D
 E	 F	 G	 H
 I	 J	 K	 L

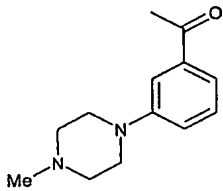
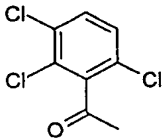
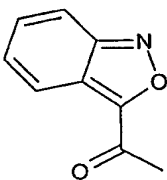
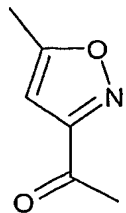
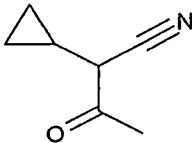
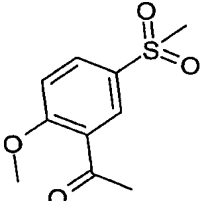
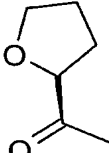
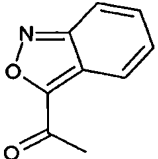
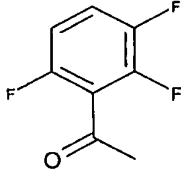
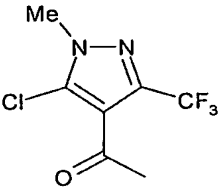
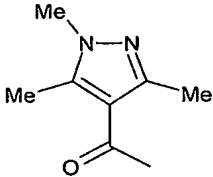
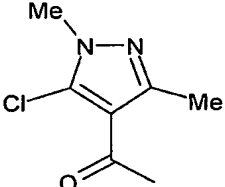
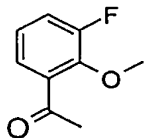
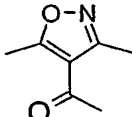
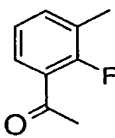
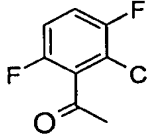
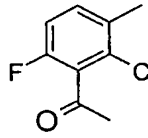
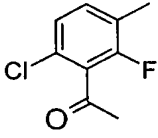
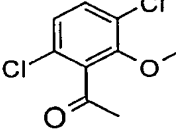
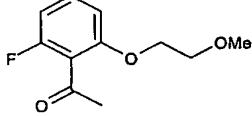
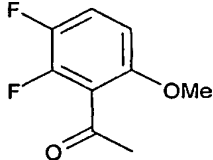
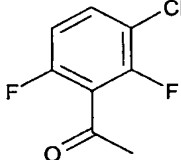
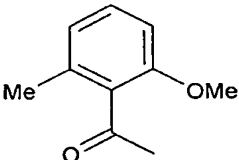
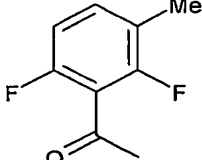


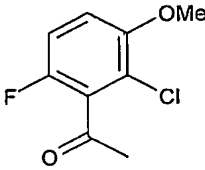
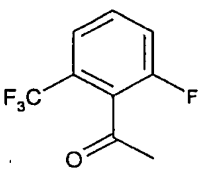
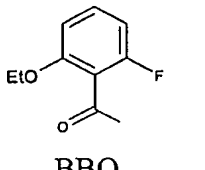
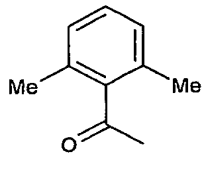
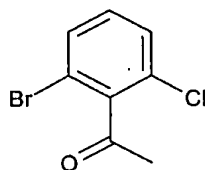
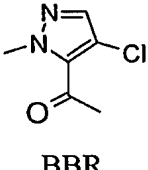
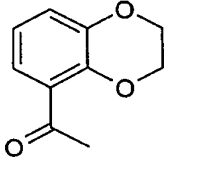
 M	 N	 O	 P
 Q	 R	 S	 T
 U	 V	 W	 X
 Y	 Z	 AA	 AB
 AC	 AD	 AE	 AF

 AG	 AH	 AI	 AJ
 AK	 AL	 AM	 AN
 AO	 AP	 AQ	 AR
 AS	 AT	 AU	 AV
 AW	 AX	 AY	 AZ

			
BA	BB	BC	BD
			
BE	BF	BG	BH
			
BI	BJ	BK	BL
			
BM	BN	BO	BP
			
BQ	BR	BS	BT

 BU	 BV	 BW	 BX
 BY	 BZ	 BAA	 BAB
 BAC	 BAD	 BAE	 BAF
 BAG	 BAH	 BAI	 BAJ
 BAK	 BAL	 BAM	 BAN

 BAO	 BAP	 BAQ	 BAR
 BAS	 BAT	 BAU	 BAV
 BAW	 BAX	 BAY	 BAZ
 BBA	 BBB	 BBC	 BBD
 BBE	 BBF	 BBG	 BBH
 BBK	 BBM	 BBP	 BBQ

BBI	BBJ	BBK	BBL
 BBM	 BBN	 BBO	 BBP
 BBQ	 BBR	 BBS	

Preferred groups  $R^0$ -CO include groups A to BS in Table 1 above.

More preferred groups  $R^0$ -CO- are AJ, AX, BQ, BS and BAI.

One particularly preferred sub-set of groups  $R^0$ -CO- consists of AJ, BQ and BS.

Another particularly preferred sub-set of groups  $R^0$ -CO- consists of AJ and BQ.

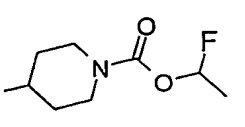
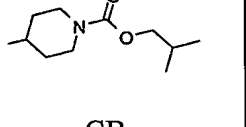
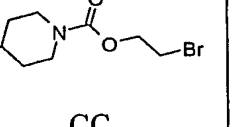
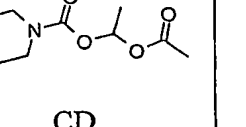
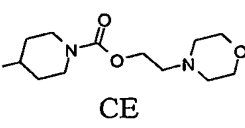
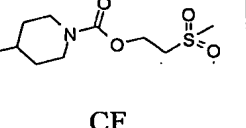
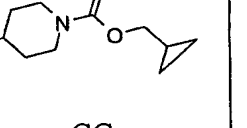
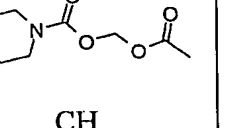
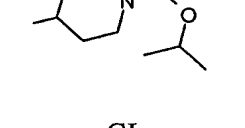
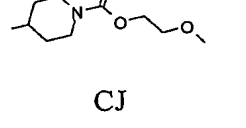
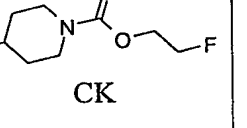
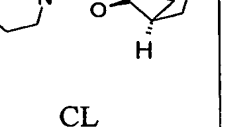
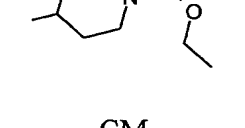
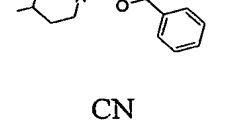
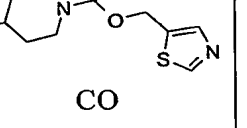
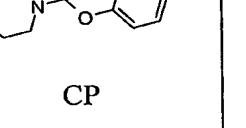
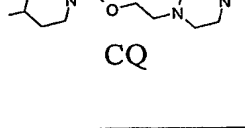
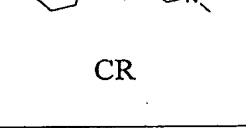
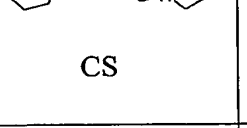
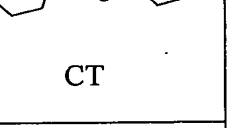
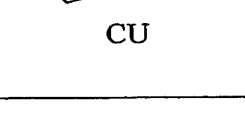
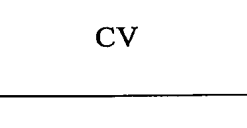
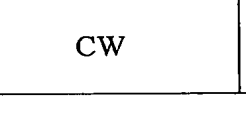
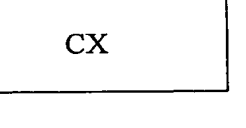
5 Another preferred sub-set of groups  $R^0$ -CO- consists of groups A to BBR.

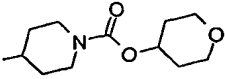
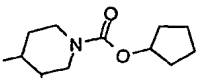
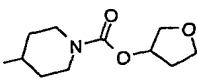
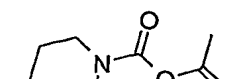
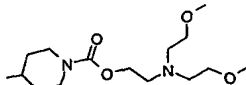
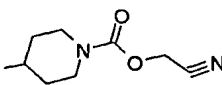
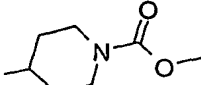
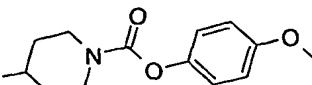
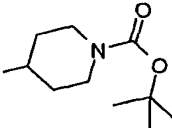
Another preferred sub-set of groups  $R^0$ -CO- consists of AJ, BQ, BBD, BBI and BBJ.

A further set of preferred groups includes BBD, BBI and BBJ.

10 Specific examples of the group  $R^3$  are set out in Table 2. In Table 2, the point of attachment of the group to the nitrogen atom of the pyrazole-3-carboxamide group is represented by the terminal single bond extending from the 4-position of the piperidine ring.

Table 2 – Examples of the Group  $R^3$

 CA	 CB	 CC	 CD
 CE	 CF	 CG	 CH
 CI	 CJ	 CK	 CL
 CM	 CN	 CO	 CP
 CQ	 CR	 CS	 CT
 CU	 CV	 CW	 CX

 CY	 CZ	 DA	 DB
 DC		 DD	 DE
 DF		 DG	

It will be appreciated that each of the examples of  $R^3$  in Table 2 other than examples CB, CI, CM, DE and DG above can be combined with each of the examples of  $R^0$ -CO in Table 1 unless the context indicates otherwise.

- 5 Furthermore, each of examples CB, CI, CM, DE and DG of  $R^3$  in Table 2 can be combined with each of examples AJ, BQ, BAP, BAW, BBD, BBE, BBF, BBG, BBI, BBJ, BBL and BBM in Table 1, except that BQ cannot be combined with DG.

Moreover, each of examples AJ, BQ, BAP, BAW, BBD, BBE, BBF, BBG, BBI, BBJ, BBL and BBM in Table 1 can be combined with each of the examples of  $R^3$  in

- 10 Table 2, except that BQ cannot be combined with DG.

All of the aforesaid combinations fall within the scope of this application and each combination represents a specific embodiment thereof.

- The various functional groups and substituents making up the compounds of the formula (I) are typically chosen such that the molecular weight of the compound of the formula (I) does not exceed 1000. More usually, the molecular weight of the
- 15



compound will be less than 750, for example less than 700, or less than 650, or less than 600, or less than 550. More preferably, the molecular weight is less than 525 and, for example, is 500 or less.

Particular compounds of the invention are as illustrated in the examples below.

5 Preferred compounds of the invention include:

4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid ethyl ester;

4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid isopropyl ester;

10 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid vinyl ester; and salts, solvates, tautomers and N-oxides thereof.

Salts, Solvates, Tautomers, Isomers, N-Oxides, Esters, Prodrugs and Isotopes

A reference to a compound of the formulae (I) and sub-groups thereof also includes ionic forms, salts, solvates, isomers, tautomers, N-oxides, esters, prodrugs, isotopes  
15 and protected forms thereof, for example, as discussed below; preferably, the salts or tautomers or isomers or N-oxides or solvates thereof; and more preferably, the salts or tautomers or N-oxides or solvates thereof

Many compounds of the formula (I) can exist in the form of salts, for example acid addition salts or, in certain cases salts of organic and inorganic bases such as  
20 carboxylate, sulphonate and phosphate salts. All such salts are within the scope of this invention, and references to compounds of the formula (I) include the salt forms of the compounds.

The salts of the present invention can be synthesized from the parent compound that contains a basic or acidic moiety by conventional chemical methods such as  
25 methods described in *Pharmaceutical Salts: Properties, Selection, and Use*, P. Heinrich Stahl (Editor), Camille G. Wermuth (Editor), ISBN: 3-90639-026-8, Hardcover, 388 pages, August 2002. Generally, such salts can be prepared by reacting the free acid or base forms of these compounds with the appropriate base

or acid in water or in an organic solvent, or in a mixture of the two; generally, nonaqueous media such as ether, ethyl acetate, ethanol, isopropanol, or acetonitrile are used.

- Acid addition salts may be formed with a wide variety of acids, both inorganic and organic. Examples of acid addition salts include salts formed with an acid selected from the group consisting of acetic, 2,2-dichloroacetic, adipic, alginic, ascorbic (e.g. L-ascorbic), L-aspartic, benzenesulphonic, benzoic, 4-acetamidobenzoic, butanoic, (+) camphoric, camphor-sulphonic, (+)-(1*S*)-camphor-10-sulphonic, capric, caproic, caprylic, cinnamic, citric, cyclamic, dodecylsulphuric, ethane-1,2-disulphonic, ethanesulphonic, 2-hydroxyethanesulphonic, formic, fumaric, galactaric, gentisic, glucoheptonic, D-gluconic, glucuronic (e.g. D-glucuronic), glutamic (e.g. L-glutamic),  $\alpha$ -oxoglutaric, glycolic, hippuric, hydrobromic, hydrochloric, hydriodic, isethionic, (+)-L-lactic, ( $\pm$ )-DL-lactic, lactobionic, maleic, malic, (-)-L-malic, malonic, ( $\pm$ )-DL-mandelic, methanesulphonic, naphthalene-2-sulphonic, naphthalene-1,5-disulphonic, 1-hydroxy-2-naphthoic, nicotinic, nitric, oleic, orotic, oxalic, palmitic, pamoic, phosphoric, propionic, L-pyroglutamic, salicylic, 4-amino-salicylic, sebacic, stearic, succinic, sulphuric, tannic, (+)-L-tartaric, thiocyanic, *p*-toluenesulphonic, undecylenic and valeric acids, as well as acylated amino acids and cation exchange resins.
- 20 One particular group of salts consists of salts formed from acetic, hydrochloric, hydriodic, phosphoric, nitric, sulphuric, citric, lactic, succinic, maleic, malic, isethionic, fumaric, benzenesulphonic, toluenesulphonic, methanesulphonic (mesylate), ethanesulphonic, naphthalenesulphonic, valeric, acetic, propanoic, butanoic, malonic, glucuronic and lactobionic acids.
- 25 One sub-group of salts consists of salts formed from hydrochloric, acetic, methanesulphonic, adipic, L-aspartic and DL-lactic acids.

Another sub-group of salts consists of the acetate, mesylate, ethanesulphonate, DL-lactate, adipate, D-glucuronate, D-gluconate and hydrochloride salts.

Preferred salts for use in the preparation of liquid (e.g. aqueous) compositions of the compounds of formulae (I) and sub-groups and examples thereof as described herein are salts having a solubility in a given liquid carrier (e.g. water) of greater than 10 mg/ml of the liquid carrier (e.g. water), more typically greater than 15  
5 mg/ml and preferably greater than 20 mg/ml.

In one embodiment of the invention, there is provided a pharmaceutical composition comprising an aqueous solution containing a compound of the formula (I) and sub-groups and examples thereof as described herein in the form of a salt in a concentration of greater than 10 mg/ml, typically greater than 15 mg/ml and  
10 preferably greater than 20 mg/ml.

If the compound is anionic, or has a functional group which may be anionic (e.g., -COOH may be  $\text{-COO}^-$ ), then a salt may be formed with a suitable cation. Examples of suitable inorganic cations include, but are not limited to, alkali metal ions such as  $\text{Na}^+$  and  $\text{K}^+$ , alkaline earth metal cations such as  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ , and  
15 other cations such as  $\text{Al}^{3+}$ . Examples of suitable organic cations include, but are not limited to, ammonium ion (i.e.,  $\text{NH}_4^+$ ) and substituted ammonium ions (e.g.,  $\text{NH}_3\text{R}^+$ ,  $\text{NH}_2\text{R}_2^+$ ,  $\text{NHR}_3^+$ ,  $\text{NR}_4^+$ ). Examples of some suitable substituted ammonium ions are those derived from: ethylamine, diethylamine, dicyclohexylamine, triethylamine, butylamine, ethylenediamine, ethanolamine, diethanolamine,  
20 piperazine, benzylamine, phenylbenzylamine, choline, meglumine, and tromethamine, as well as amino acids, such as lysine and arginine. An example of a common quaternary ammonium ion is  $\text{N}(\text{CH}_3)_4^+$ .

Where the compounds of the formula (I) contain an amine function, these may form quaternary ammonium salts, for example by reaction with an alkylating agent  
25 according to methods well known to the skilled person. Such quaternary ammonium compounds are within the scope of formula (I).

The salt forms of the compounds of the invention are typically pharmaceutically acceptable salts, and examples of pharmaceutically acceptable salts are discussed in Berge *et al.*, 1977, "Pharmaceutically Acceptable Salts," *J. Pharm. Sci.*, Vol. 66,

pp. 1-19. However, salts that are not pharmaceutically acceptable may also be prepared as intermediate forms which may then be converted into pharmaceutically acceptable salts. Such non-pharmaceutically acceptable salts forms, which may be useful, for example, in the purification or separation of the compounds of the invention, also form part of the invention.

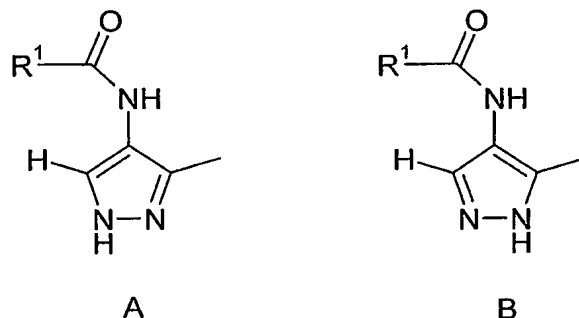
Compounds of the formula (I) containing an amine function may also form N-oxides. A reference herein to a compound of the formula (I) that contains an amine function also includes the N-oxide.

Where a compound contains several amine functions, one or more than one nitrogen atom may be oxidised to form an N-oxide. Particular examples of N-oxides are the N-oxides of a tertiary amine or a nitrogen atom of a nitrogen-containing heterocycle.

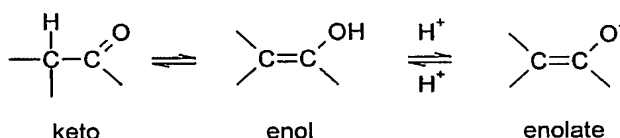
N-Oxides can be formed by treatment of the corresponding amine with an oxidizing agent such as hydrogen peroxide or a per-acid (e.g. a peroxycarboxylic acid), see for example *Advanced Organic Chemistry*, by Jerry March, 4<sup>th</sup> Edition, Wiley Interscience, pages. More particularly, N-oxides can be made by the procedure of L. W. Deady (*Syn. Comm.* 1977, 7, 509-514) in which the amine compound is reacted with *m*-chloroperoxybenzoic acid (MCPBA), for example, in an inert solvent such as dichloromethane.

Compounds of the formula (I) may exist in a number of different geometric isomeric, and tautomeric forms and references to compounds of the formula (I) include all such forms. For the avoidance of doubt, where a compound can exist in one of several geometric isomeric or tautomeric forms and only one is specifically described or shown, all others are nevertheless embraced by formula (I).

For example, in compounds of the formula (I) the pyrazole ring can exist in the two tautomeric forms A and B below. For simplicity, the general formula (I) illustrates form A but the formula is to be taken as embracing both tautomeric forms.



Other examples of tautomeric forms include, for example, keto-, enol-, and enolate-forms, as in, for example, the following tautomeric pairs: keto/enol (illustrated below), imine/enamine, amide/imino alcohol, amidine/amidine, nitroso/oxime, thioketone/enethiol, and nitro/aci-nitro.



Where compounds of the formula (I) contain one or more chiral centres, and can exist in the form of two or more optical isomers, references to compounds of the formula (I) include all optical isomeric forms thereof (e.g. enantiomers, epimers and diastereoisomers), either as individual optical isomers, or mixtures (e.g. racemic mixtures) or two or more optical isomers, unless the context requires otherwise.

The optical isomers may be characterised and identified by their optical activity (i.e. as + and – isomers, or *d* and *l* isomers) or they may be characterised in terms of their absolute stereochemistry using the “R and S” nomenclature developed by Cahn, Ingold and Prelog, see *Advanced Organic Chemistry* by Jerry March, 4<sup>th</sup> Edition, John Wiley & Sons, New York, 1992, pages 109-114, and see also Cahn, Ingold & Prelog, *Angew. Chem. Int. Ed. Engl.*, 1966, 5, 385-415.

Optical isomers can be separated by a number of techniques including chiral chromatography (chromatography on a chiral support) and such techniques are well known to the person skilled in the art.

As an alternative to chiral chromatography, optical isomers can be separated by forming diastereoisomeric salts with chiral acids such as (+)-tartaric acid, (-)-pyroglutamic acid, (-)-di-toluoyl-L-tartaric acid, (+)-mandelic acid, (-)-malic acid, and (-)-camphorsulphonic, separating the diastereoisomers by preferential  
5 crystallisation, and then dissociating the salts to give the individual enantiomer of the free base.

Where compounds of the formula (I) exist as two or more optical isomeric forms, one enantiomer in a pair of enantiomers may exhibit advantages over the other enantiomer, for example, in terms of biological activity. Thus, in certain  
10 circumstances, it may be desirable to use as a therapeutic agent only one of a pair of enantiomers, or only one of a plurality of diastereoisomers. Accordingly, the invention provides compositions containing a compound of the formula (I) having one or more chiral centres, wherein at least 55% (e.g. at least 60%, 65%, 70%, 75%, 80%, 85%, 90% or 95%) of the compound of the formula (I) is present as a single  
15 optical isomer (e.g. enantiomer or diastereoisomer). In one general embodiment, 99% or more (e.g. substantially all) of the total amount of the compound of the formula (I) may be present as a single optical isomer (e.g. enantiomer or diastereoisomer).

The compounds of the invention include compounds with one or more isotopic  
20 substitutions, and a reference to a particular element includes within its scope all isotopes of the element. For example, a reference to hydrogen includes within its scope  $^1\text{H}$ ,  $^2\text{H}$  (D), and  $^3\text{H}$  (T). Similarly, references to carbon and oxygen include within their scope respectively  $^{12}\text{C}$ ,  $^{13}\text{C}$  and  $^{14}\text{C}$  and  $^{16}\text{O}$  and  $^{18}\text{O}$ .

The isotopes may be radioactive or non-radioactive. In one embodiment of the  
25 invention, the compounds contain no radioactive isotopes. Such compounds are preferred for therapeutic use. In another embodiment, however, the compound may contain one or more radioisotopes. Compounds containing such radioisotopes may be useful in a diagnostic context.

Esters such as carboxylic acid esters and acyloxy esters of the compounds of formula (I) bearing a carboxylic acid group or a hydroxyl group are also embraced by Formula (I). Examples of esters are compounds containing the group -C(=O)OR, wherein R is an ester substituent, for example, a C<sub>1-7</sub> alkyl group, a C<sub>3-20</sub> heterocyclyl group, or a C<sub>5-20</sub> aryl group, preferably a C<sub>1-7</sub> alkyl group. Particular examples of ester groups include, but are not limited to, -C(=O)OCH<sub>3</sub>, -C(=O)OCH<sub>2</sub>CH<sub>3</sub>, -C(=O)OC(CH<sub>3</sub>)<sub>3</sub>, and -C(=O)OPh. Examples of acyloxy (reverse ester) groups are represented by -OC(=O)R, wherein R is an acyloxy substituent, for example, a C<sub>1-7</sub> alkyl group, a C<sub>3-20</sub> heterocyclyl group, or a C<sub>5-20</sub> aryl group, preferably a C<sub>1-7</sub> alkyl group. Particular examples of acyloxy groups include, but are not limited to, -OC(=O)CH<sub>3</sub> (acetoxy), -OC(=O)CH<sub>2</sub>CH<sub>3</sub>, -OC(=O)C(CH<sub>3</sub>)<sub>3</sub>, -OC(=O)Ph, and -OC(=O)CH<sub>2</sub>Ph.

Also encompassed by formula (I) are any polymorphic forms of the compounds, solvates (e.g. hydrates), complexes (e.g. inclusion complexes or clathrates with compounds such as cyclodextrins, or complexes with metals) of the compounds, and pro-drugs of the compounds. By "prodrugs" is meant for example any compound that is converted *in vivo* into a biologically active compound of the formula (I).

Some of the compounds of the formula (I) are themselves prodrugs of the corresponding compounds wherein R<sup>3</sup> is an unsubstituted piperidine group, for example the compound 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide which is disclosed in our earlier application WO 2005/012256. However, in addition, the compounds of formula (I) may be modified to give pro-drug forms that are converted *in vivo* back into compounds of the formula (I).

For example, some prodrugs are esters of the active compound (e.g., a physiologically acceptable metabolically labile ester). During metabolism, the ester group (-C(=O)OR) is cleaved to yield the active drug. Such esters may be formed by esterification, for example, of any of the carboxylic acid groups (-C(=O)OH) in

the parent compound, with, where appropriate, prior protection of any other reactive groups present in the parent compound, followed by deprotection if required.

Examples of such metabolically labile esters include those of the formula -  
C(=O)OR wherein R is:

- 5 C<sub>1-7</sub>alkyl  
(e.g., -Me, -Et, -nPr, -iPr, -nBu, -sBu, -iBu, -tBu);  
C<sub>1-7</sub>aminoalkyl  
(e.g., aminoethyl; 2-(N,N-diethylamino)ethyl; 2-(4-morpholino)ethyl); and  
acyloxy-C<sub>1-7</sub>alkyl
- 10 (e.g., acyloxymethyl;  
acyloxyethyl;  
pivaloyloxymethyl;  
acetoxymethyl;  
1-acetoxyethyl;
- 15 1-(1-methoxy-1-methyl)ethyl-carboxyloxyethyl;  
1-(benzoyloxy)ethyl; isopropoxy-carboxyloxymethyl;  
1-isopropoxy-carboxyloxyethyl; cyclohexyl-carboxyloxymethyl;  
1-cyclohexyl-carboxyloxyethyl;  
cyclohexyloxy-carboxyloxymethyl;
- 20 1-cyclohexyloxy-carboxyloxyethyl;  
(4-tetrahydropyranyloxy) carboxyloxymethyl;  
1-(4-tetrahydropyranyloxy)carboxyloxyethyl;  
(4-tetrahydropyranyl)carboxyloxymethyl; and  
1-(4-tetrahydropyranyl)carboxyloxyethyl).
- 25 Also, some prodrugs are activated enzymatically to yield the active compound, or a compound which, upon further chemical reaction, yields the active compound (for example, as in ADEPT, GDEPT, LIDEPT, etc.). For example, the prodrug may be a sugar derivative or other glycoside conjugate, or may be an amino acid ester derivative.

30 Biological Activity



The compounds of the formulae (I) and sub-groups thereof are inhibitors of cyclin dependent kinases. For example, compounds of the invention are inhibitors of cyclin dependent kinases, and in particular cyclin dependent kinases selected from CDK1, CDK2, CDK3, CDK4, CDK5, CDK6 and CDK9, and more particularly  
5 selected from CDK1, CDK2, CDK3, CDK4, CDK5 and CDK9.

Preferred compounds are compounds that inhibit one or more CDK kinases selected from CDK1, CDK2, CDK4 and CDK9, for example CDK1 and/or CDK2.

Compounds of the invention also have activity against glycogen synthase kinase-3 (GSK-3).

10 As a consequence of their activity in modulating or inhibiting CDK and glycogen synthase kinase, they are expected to be useful in providing a means of arresting, or recovering control of, the cell cycle in abnormally dividing cells. It is therefore anticipated that the compounds will prove useful in treating or preventing proliferative disorders such as cancers. It is also envisaged that the compounds of  
15 the invention will be useful in treating conditions such as viral infections, type II or non-insulin dependent diabetes mellitus, autoimmune diseases, head trauma, stroke, epilepsy, neurodegenerative diseases such as Alzheimer's, motor neurone disease, progressive supranuclear palsy, corticobasal degeneration and Pick's disease for example autoimmune diseases and neurodegenerative diseases.

20 One sub-group of disease states and conditions where it is envisaged that the compounds of the invention will be useful consists of viral infections, autoimmune diseases and neurodegenerative diseases.

CDKs play a role in the regulation of the cell cycle, apoptosis, transcription, differentiation and CNS function. Therefore, CDK inhibitors could be useful in the  
25 treatment of diseases in which there is a disorder of proliferation, apoptosis or differentiation such as cancer. In particular RB+ve tumours may be particularly sensitive to CDK inhibitors. RB-ve tumours may also be sensitive to CDK inhibitors.

Examples of cancers which may be inhibited include, but are not limited to, a carcinoma, for example a carcinoma of the bladder, breast, colon (e.g. colorectal carcinomas such as colon adenocarcinoma and colon adenoma), kidney, epidermis, liver, lung, for example adenocarcinoma, small cell lung cancer and non-small cell lung carcinomas, oesophagus, gall bladder, ovary, pancreas e.g. exocrine pancreatic carcinoma, stomach, cervix, thyroid, prostate, or skin, for example squamous cell carcinoma; a hematopoietic tumour of lymphoid lineage, for example leukemia, acute lymphocytic leukemia, chronic lymphocytic leukaemia, B-cell lymphoma (such as diffuse large B cell lymphoma), T-cell lymphoma, Hodgkin's lymphoma, non-Hodgkin's lymphoma, hairy cell lymphoma, or Burkett's lymphoma; a hematopoietic tumour of myeloid lineage, for example acute and chronic myelogenous leukemias, myelodysplastic syndrome, or promyelocytic leukemia; thyroid follicular cancer; a tumour of mesenchymal origin, for example fibrosarcoma or habdomyosarcoma; a tumour of the central or peripheral nervous system, for example astrocytoma, neuroblastoma, glioma or schwannoma; melanoma; seminoma; teratocarcinoma; osteosarcoma; xeroderma pigmentosum; keratocanthoma; thyroid follicular cancer; or Kaposi's sarcoma.

The cancers may be cancers which are sensitive to inhibition of any one or more cyclin dependent kinases selected from CDK1, CDK2, CDK3, CDK4, CDK5 and CDK6, for example, one or more CDK kinases selected from CDK1, CDK2, CDK4 and CDK5, e.g. CDK1 and/or CDK2.

Whether or not a particular cancer is one which is sensitive to inhibition by a cyclin dependent kinase may be determined by means of a cell growth assay as set out in the examples below or by a method as set out in the section headed "Methods of Diagnosis".

CDKs are also known to play a role in apoptosis, proliferation, differentiation and transcription and therefore CDK inhibitors could also be useful in the treatment of the following diseases other than cancer; viral infections, for example herpes virus, pox virus, Epstein-Barr virus, Sindbis virus, adenovirus, HIV, HPV, HCV and HCMV; prevention of AIDS development in HIV-infected individuals; chronic

inflammatory diseases, for example systemic lupus erythematosus, autoimmune mediated glomerulonephritis, rheumatoid arthritis, psoriasis, inflammatory bowel disease, and autoimmune diabetes mellitus; cardiovascular diseases for example cardiac hypertrophy, restenosis, atherosclerosis; neurodegenerative disorders, for example Alzheimer's disease, AIDS-related dementia, Parkinson's disease, amyotrophic lateral sclerosis, retinitis pigmentosa, spinal muscular atrophy and cerebellar degeneration; glomerulonephritis; myelodysplastic syndromes, ischemic injury associated myocardial infarctions, stroke and reperfusion injury, arrhythmia, atherosclerosis, toxin-induced or alcohol related liver diseases, haematological diseases, for example, chronic anemia and aplastic anemia; degenerative diseases of the musculoskeletal system, for example, osteoporosis and arthritis, aspirin-sensitive rhinosinusitis, cystic fibrosis, multiple sclerosis, kidney diseases and cancer pain.

It has also been discovered that some cyclin-dependent kinase inhibitors can be used in combination with other anticancer agents. For example, the cyclin-dependent kinase inhibitor flavopiridol has been used with other anticancer agents in combination therapy.

Thus, in the pharmaceutical compositions, uses or methods of this invention for treating a disease or condition comprising abnormal cell growth, the disease or condition comprising abnormal cell growth in one embodiment is a cancer.

One group of cancers includes human breast cancers (e.g. primary breast tumours, node-negative breast cancer, invasive duct adenocarcinomas of the breast, non-endometrioid breast cancers); and mantle cell lymphomas. In addition, other cancers are colorectal and endometrial cancers.

Another sub-set of cancers includes hematopoietic tumours of lymphoid lineage, for example leukemia, chronic lymphocytic leukaemia, mantle cell lymphoma and B-cell lymphoma (such as diffuse large B cell lymphoma).

One particular cancer is chronic lymphocytic leukaemia.

Another particular cancer is mantle cell lymphoma.

Another particular cancer is diffuse large B cell lymphoma

Another sub-set of cancers includes breast cancer, ovarian cancer, colon cancer, prostate cancer, oesophageal cancer, squamous cancer and non-small cell lung carcinomas.

- 5 The activity of the compounds of the invention as inhibitors of cyclin dependent kinases and glycogen synthase kinase-3 can be measured using the assays set forth in the examples below and the level of activity exhibited by a given compound can be defined in terms of the  $IC_{50}$  value. Preferred compounds of the present invention are compounds having an  $IC_{50}$  value of less than 1 micromolar, more preferably less  
10 than 0.1 micromolar.

#### Advantages of the Compounds of the Invention

Compounds of the formulae (I) and sub-groups thereof as defined herein have advantages over prior art compounds.

- Potentially the compounds of the invention have physiochemical properties suitable  
15 for oral exposure.

- In particular, compounds of the formula (I) exhibit improved oral bioavailability relative to prior art compounds. Oral bioavailability can be defined as the ratio (F) of the plasma exposure of a compound when dosed by the oral route to the plasma exposure of the compound when dosed by the intravenous (i.v.) route, expressed as  
20 a percentage.

Compounds having an oral bioavailability (F value) of greater than 30%, preferably greater than 40%, and more preferably greater than 60%, are particularly advantageous in that they may be administered orally rather than, or as well as, by parenteral administration.

- 25 Furthermore, some of the compounds of the formula (I) are prodrugs of the corresponding compounds wherein  $R^3$  is an unsubstituted piperidine group, for example the compound 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic

acid piperidin-4-ylamide which is disclosed in our earlier application WO 2005/012256. Prodrugs possess a potential advantage over the parent drugs in terms of:

- Increased efficacy
- 5     • Improved/simpler formulation - reduced need for non standard or less well tolerated formulation excipients
- Increased water solubility
- Reduced side effects – increased therapeutic window
- Increased chemical stability
- 10    • Reduced clearance due to metabolic processes or renal/hepatic clearance unchanged – increased half life.
- Reduced dose level
- Improved tissue targeting – prodrugging groups can be:-
  - used to interact with specific epitopes on the target cells
  - 15    ○ increase transport into target cells
  - be preferentially metabolised to parent in target cells
- Improved physicochemical properties
- Increased bioavailability

In particular the prodrugs of the corresponding compounds wherein R<sup>3</sup> is an unsubstituted piperidine group, for example the compound 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide, have increased bioavailability in particular oral bioavailability.

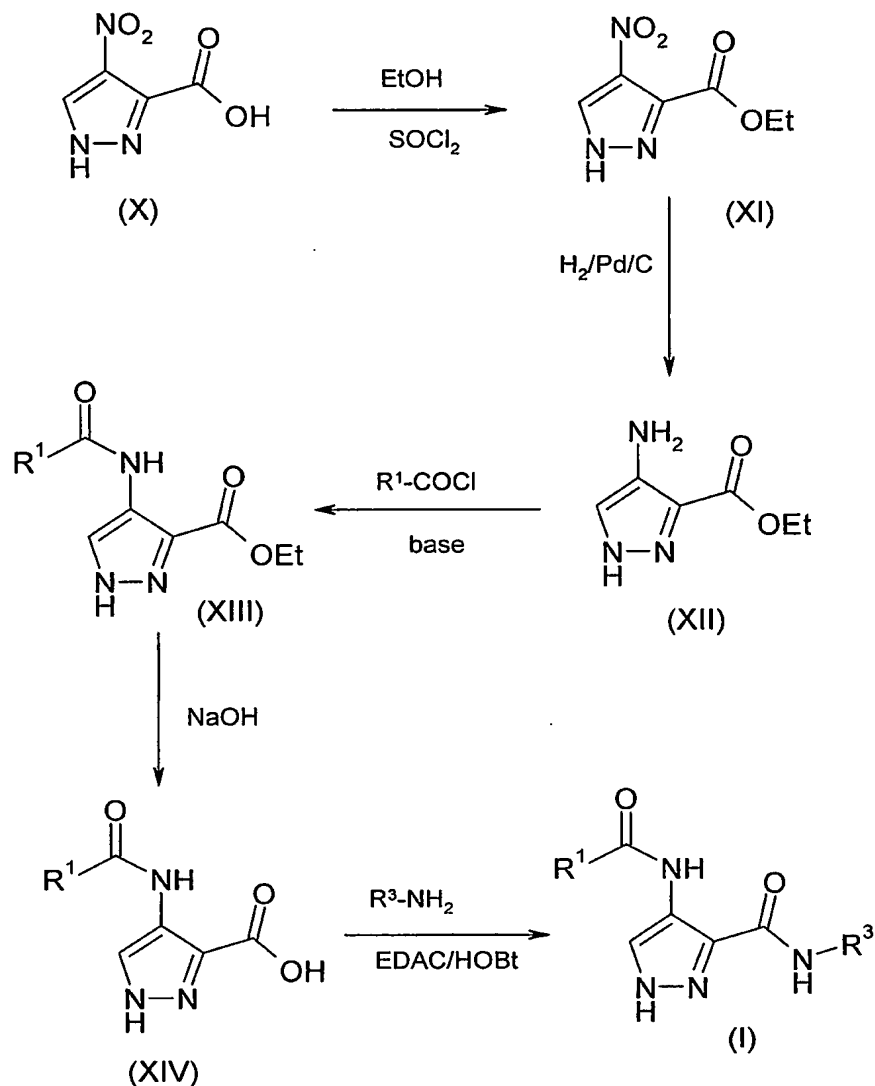
#### **Methods for the Preparation of Compounds of the Formula (I)**

In this section, as in all other sections of this application unless the context indicates otherwise, references to Formula (I) also include all sub-groups and examples thereof as defined herein. Where a reference is made to a group R<sup>1</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>7a</sup> or any other “R” group, the definition of the group in question is as set out above and as set out in the following sections of this application unless the context requires otherwise.

Compounds of the formula (I) can be prepared in accordance with synthetic methods well known to the skilled person, and by methods set out below and as described in our application PCT/GB2004/003179 (WO 2005/012256), the contents of which are incorporated herein by reference.

- 5 For example, compounds of the formula (I) can be prepared by the sequence of reactions shown in Scheme 1.

The starting material for the synthetic route shown in Scheme 1 is the 4-nitro-pyrazole-3-carboxylic acid (X) which can either be obtained commercially or can be prepared by nitration of the corresponding 4-unsubstituted pyrazole carboxy  
10 compound.



Scheme 1

The nitro-pyrazole carboxylic acid (X) is converted to the corresponding ester (XI), for example the methyl or ethyl ester (of which the ethyl ester is shown), by reaction with the appropriate alcohol such as ethanol in the presence of an acid catalyst or thionyl chloride. The reaction may be carried out at ambient temperature using the esterifying alcohol as the solvent.

The nitro-ester (XI) can be reduced to the corresponding amine (XII) by standard methods for converting a nitro group to an amino group. Thus, for example, the

nitro group can be reduced to the amine by hydrogenation over a palladium on charcoal catalyst. The hydrogenation reaction can be carried out in a solvent such as ethanol at ambient temperature.

5 The resulting amine (XII) can be converted to the amide (XIII) by reaction with an acid chloride of the formula  $R^1COCl$  in the presence of a non-interfering base such as triethylamine. The reaction may be carried out at around room temperature in a polar solvent such as dioxan. The acid chloride can be prepared by treatment of the carboxylic acid  $R^1CO_2H$  with thionyl chloride, or by reaction with oxalyl chloride in the presence of a catalytic amount of dimethyl formamide, or by reaction of a  
10 potassium salt of the acid with oxalyl chloride.

As an alternative to using the acid chloride method described above, the amine (XII) can be converted to the amide (XIII) by reaction with the carboxylic acid  $R^1CO_2H$  in the presence of amide coupling reagents of the type commonly used in the formation of peptide linkages. Examples of such reagents include 1,3-  
15 dicyclohexylcarbodiimide (DCC) (Sheehan *et al*, *J. Amer. Chem. Soc.* 1955, 77, 1067), 1-ethyl-3-(3'-dimethylaminopropyl)-carbodiimide (referred to herein either as EDC or EDAC but also known in the art as EDCI and WSCDI) (Sheehan *et al*, *J. Org. Chem.*, 1961, 26, 2525), uronium-based coupling agents such as *O*-(7-azabenzotriazol-1-yl)-*N,N,N',N'*-tetramethyluronium hexafluorophosphate (HATU)  
20 and phosphonium-based coupling agents such as 1-benzo-triazolyloxytris-(pyrrolidino)phosphonium hexafluorophosphate (PyBOP) (Castro *et al*, *Tetrahedron Letters*, 1990, 31, 205). Carbodiimide-based coupling agents are advantageously used in combination with 1-hydroxy-7-azabenzotriazole (HOAt) (L. A. Carpino, *J. Amer. Chem. Soc.*, 1993, 115, 4397) or 1-hydroxybenzotriazole  
25 (HOBt) (Konig *et al*, *Chem. Ber.*, 103, 708, 2024-2034). Preferred coupling reagents include EDC (EDAC) and DCC in combination with HOAt or HOBt.

The coupling reaction is typically carried out in a non-aqueous, non-protic solvent such as acetonitrile, dioxan, dimethylsulphoxide, dichloromethane, dimethylformamide or *N*-methylpyrrolidine, or in an aqueous solvent optionally  
30 together with one or more miscible co-solvents. The reaction can be carried out at

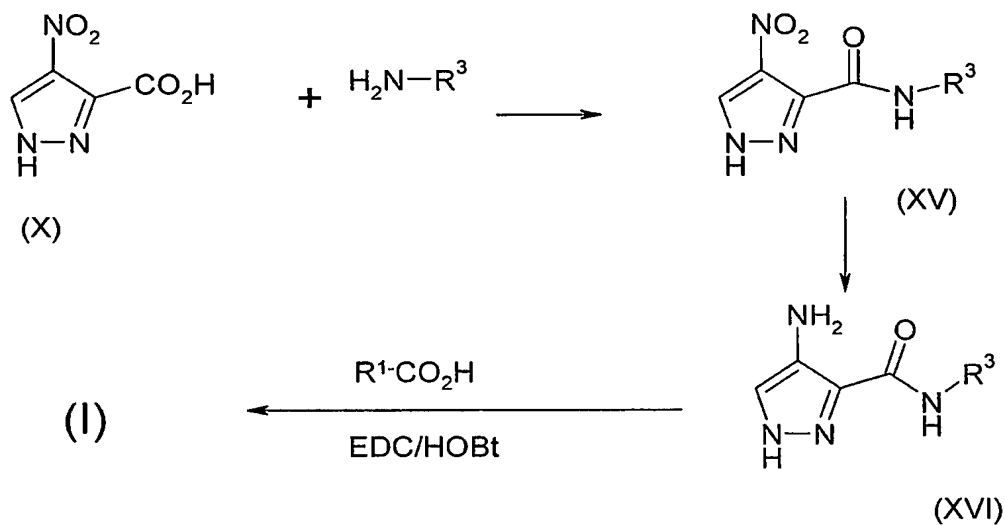


room temperature or, where the reactants are less reactive (for example in the case of electron-poor anilines bearing electron withdrawing groups such as sulphonamide groups) at an appropriately elevated temperature. The reaction may be carried out in the presence of a non-interfering base, for example a tertiary amine  
5 such as triethylamine or *N,N*-diisopropylethylamine.

The amide (XIII) is subsequently hydrolysed to the carboxylic acid (XIV) by treatment with an aqueous alkali metal hydroxide such sodium hydroxide. The saponification reaction may be carried out using an organic co-solvent such as an alcohol (e.g. methanol) and the reaction mixture is typically heated to a non-  
10 extreme temperature, for example up to about 50-60 °C.

The carboxylic acid (XIV) can then be converted to a compound of the formula (I) by reaction with an amine  $R^3-NH_2$  using the amide forming conditions described above. Thus, for example, the amide coupling reaction may be carried out in the presence of EDC and HOBt in a polar solvent such as DMF.

15 An alternative general route to compounds of the formula (I) is shown in Scheme 2.

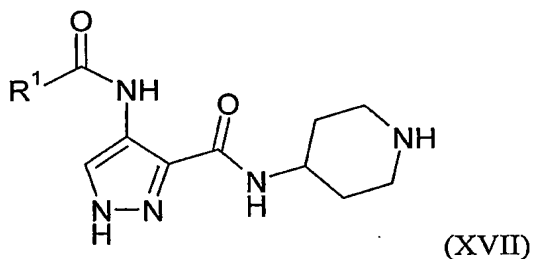


Scheme 2

In Scheme 2, the nitro-pyrazole-carboxylic acid (X), or an activated derivative thereof such as an acid chloride, is reacted with amine  $R^3-NH_2$  using the amide forming conditions described above to give the nitro-pyrazole-amide (XV) which is then reduced to the corresponding amino compound (XVI) using a standard method of reducing nitro groups, for example the method involving hydrogenation over a Pd/C catalyst as described above.

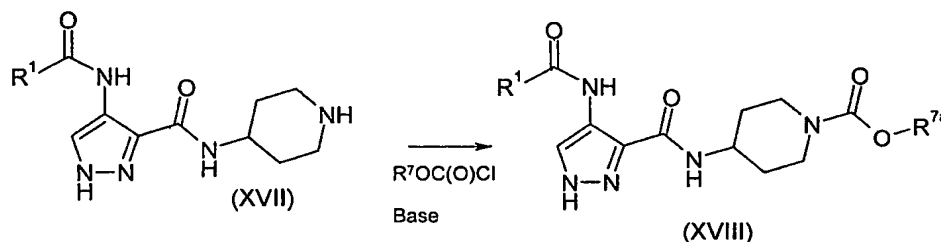
The amine (XVI) is then coupled with a carboxylic acid of the formula  $R^1-CO_2H$  or an activated derivative thereof such as an acid chloride or anhydride under the amide-forming conditions described above in relation to Scheme 1. Thus, for example, as an alternative to using an acid chloride, the coupling reaction can be carried out in the presence of EDAC (EDC) and HOBt in a solvent such as DMF to give a compound of the formula (I).

Compounds of the formula (I) in which  $R^3$  is an acyl piperidine group can be prepared by the methods described above or they can be prepared from a compound of the formula (XVII):



by reaction with an appropriate acylating agent. Thus, for example, carbamate derivatives can be prepared by reacting a compound of the formula (XVII) with the appropriate chloroformate derivative.

Illustrative reaction sequences showing the conversion of a compound of the formula (XVII) into carbamate derivatives of the formula (I) are set out in Scheme 3.



Scheme 3

As shown in Scheme 3, compounds in which R<sup>3</sup> is a piperidine ring bearing a carbamate group -C(O)OR<sup>7a</sup> (i.e. compounds of the formula (XVIII)) can be prepared by the reaction of a compound of the formula (XVII) with a chloroformate of the formula R<sup>7a</sup>-O-C(O)-Cl in a polar solvent such as THF in the presence of a non-interfering base such as diisopropylethylamine, usually at or around room temperature. In a variation on this procedure, the compound of the formula (XVII) can be reacted with a chloroformate in which the group R<sup>7a</sup> contains a bromoalkyl moiety, for example a bromoethyl group. The resulting bromoalkylcarbamate can then be reacted with nucleophiles such as HNR<sup>5</sup>R<sup>6</sup> or methoxylamine or methyl(methoxy)amine to give a compound in which R<sup>7a</sup> contains a group NR<sup>5</sup>R<sup>6</sup> or a methoxylamino or methyl(methoxy)amino group.

In a further variation of the synthetic route shown in Scheme 3, the piperidine compound of formula (XVII) can be reacted with chloromethyl chloroformate and the resulting chloromethylcarbamate intermediate (not shown) treated with potassium acetate to form the acetoxymethyl carbamate compound. The reaction with potassium acetate is typically carried out in a polar solvent such as DMF with heating, for example to an elevated temperature in excess of 100 °C (e.g. up to about 110 °C). Further variations on the synthetic route shown in Scheme 3 can be found in the Examples below.

In many of the reactions described above, it may be necessary to protect one or more groups to prevent reaction from taking place at an undesirable location on the molecule. Examples of protecting groups, and methods of protecting and

deprotecting functional groups, can be found in *Protective Groups in Organic Synthesis* (T. Green and P. Wuts; 3rd Edition; John Wiley and Sons, 1999).

A hydroxy group may be protected, for example, as an ether (-OR) or an ester (-OC(=O)R), for example, as: a t-butyl ether; a benzyl, benzhydryl (diphenylmethyl),  
5 or trityl (triphenylmethyl) ether; a trimethylsilyl or t-butyldimethylsilyl ether; or an acetyl ester (-OC(=O)CH<sub>3</sub>, -OAc). An aldehyde or ketone group may be protected, for example, as an acetal (R-CH(OR)<sub>2</sub>) or ketal (R<sub>2</sub>C(OR)<sub>2</sub>), respectively, in which the carbonyl group (>C=O) is converted to a diether (>C(OR)<sub>2</sub>), by reaction with, for example, a primary alcohol. The aldehyde or ketone group is readily  
10 regenerated by hydrolysis using a large excess of water in the presence of acid. An amine group may be protected, for example, as an amide (-NRCO-R) or a urethane (-NRCO-OR), for example, as: a methyl amide (-NHCO-CH<sub>3</sub>); a benzyloxy amide (-NHCO-OCH<sub>2</sub>C<sub>6</sub>H<sub>5</sub>, -NH-Cbz); as a t-butoxy amide (-NHCO-OC(CH<sub>3</sub>)<sub>3</sub>, -NH-Boc); a 2-biphenyl-2-propoxy amide (-NHCO-OC(CH<sub>3</sub>)<sub>2</sub>C<sub>6</sub>H<sub>4</sub>C<sub>6</sub>H<sub>5</sub>, -NH-Bpoc), as a 9-fluorenylmethoxy amide (-NH-Fmoc), as a 6-nitroveratryloxy amide (-NH-Nvoc), as a 2-trimethylsilylethyloxy amide (-NH-Teoc), as a 2,2,2-trichloroethyloxy amide (-NH-Troc), as an allyloxy amide (-NH-Alloc), or as a 2-(phenylsulphonyl)ethyloxy amide (-NH-Psec). Other protecting groups for amines, such as cyclic amines and heterocyclic N-H groups, include toluenesulphonyl  
20 (tosyl) and methanesulphonyl (mesyl) groups and benzyl groups such as a *para*-methoxybenzyl (PMB) group. A carboxylic acid group may be protected as an ester for example, as: an C<sub>1-7</sub> alkyl ester (e.g., a methyl ester; a t-butyl ester); a C<sub>1-7</sub> haloalkyl ester (e.g., a C<sub>1-7</sub> trihaloalkyl ester); a triC<sub>1-7</sub> alkylsilyl-C<sub>1-7</sub>alkyl ester; or a C<sub>5-20</sub> aryl-C<sub>1-7</sub> alkyl ester (e.g., a benzyl ester; a nitrobenzyl ester); or as an amide,  
25 for example, as a methyl amide. A thiol group may be protected, for example, as a thioether (-SR), for example, as: a benzyl thioether; an acetamidomethyl ether (-S-CH<sub>2</sub>NHC(=O)CH<sub>3</sub>).

Many of the intermediate compounds described above are novel. Accordingly, in a further aspect, the invention provides novel chemical intermediates, for example a  
30 novel compound of the formula (XIII), (XIV), (XVI), (XV) or (XVII) wherein R<sup>1</sup> and R<sup>3</sup> are as defined herein.

### Methods of Purification

The compounds may be isolated and purified by a number of methods well known to those skilled in the art and examples of such methods include chromatographic techniques such as column chromatography (e.g. flash chromatography) and HPLC.

- 5 Preparative LC-MS is a standard and effective method used for the purification of small organic molecules such as the compounds described herein. The methods for the liquid chromatography (LC) and mass spectrometry (MS) can be varied to provide better separation of the crude materials and improved detection of the samples by MS. Optimisation of the preparative gradient LC method will involve
- 10 varying columns, volatile eluents and modifiers, and gradients. Methods are well known in the art for optimising preparative LC-MS methods and then using them to purify compounds. Such methods are described in Rosentreter U, Huber U.; Optimal fraction collecting in preparative LC/MS; *J Comb Chem.*; 2004; 6(2), 159-64 and Leister W, Strauss K, Wisnoski D, Zhao Z, Lindsley C., Development of a
- 15 custom high-throughput preparative liquid chromatography/mass spectrometer platform for the preparative purification and analytical analysis of compound libraries; *J Comb Chem.*; 2003; 5(3); 322-9.

- One such system for purifying compounds via preparative LC-MS is described in the experimental section below although a person skilled in the art will appreciate
- 20 that alternative systems and methods to those described could be used. In particular, normal phase preparative LC based methods might be used in place of the reverse phase methods described here. Most preparative LC-MS systems utilise reverse phase LC and volatile acidic modifiers, since the approach is very effective for the purification of small molecules and because the eluents are compatible with
- 25 positive ion electrospray mass spectrometry. Employing other chromatographic solutions e.g. normal phase LC, alternatively buffered mobile phase, basic modifiers etc as outlined in the analytical methods described above could alternatively be used to purify the compounds.

### Pharmaceutical Formulations

While it is possible for the active compound to be administered alone, it is preferable to present it as a pharmaceutical composition (e.g. formulation) comprising at least one active compound of the invention together with one or more pharmaceutically acceptable carriers, adjuvants, excipients, diluents, fillers, buffers, stabilisers, preservatives, lubricants, or other materials well known to those skilled in the art and optionally other therapeutic or prophylactic agents; for example agents that reduce or alleviate some of the side effects associated with chemotherapy. Particular examples of such agents include anti-emetic agents and agents that prevent or decrease the duration of chemotherapy-associated neutropenia and prevent complications that arise from reduced levels of red blood cells or white blood cells, for example erythropoietin (EPO), granulocyte macrophage-colony stimulating factor (GM-CSF), and granulocyte-colony stimulating factor (G-CSF).

Thus, the present invention further provides pharmaceutical compositions, as defined above, and methods of making a pharmaceutical composition comprising admixing at least one active compound, as defined above, together with one or more pharmaceutically acceptable carriers, excipients, buffers, adjuvants, stabilizers, or other materials, as described herein.

The term “pharmaceutically acceptable” as used herein pertains to compounds, materials, compositions, and/or dosage forms which are, within the scope of sound medical judgment, suitable for use in contact with the tissues of a subject (e.g. human) without excessive toxicity, irritation, allergic response, or other problem or complication, commensurate with a reasonable benefit/risk ratio. Each carrier, excipient, etc. must also be “acceptable” in the sense of being compatible with the other ingredients of the formulation.

Accordingly, in a further aspect, the invention provides compounds of the formula (I) and sub-groups thereof as defined herein in the form of pharmaceutical compositions.

The pharmaceutical compositions can be in any form suitable for oral, parenteral, topical, intranasal, ophthalmic, otic, rectal, intra-vaginal, or transdermal administration. Where the compositions are intended for parenteral administration, they can be formulated for intravenous, intramuscular, intraperitoneal, subcutaneous administration or for direct delivery into a target organ or tissue by injection, infusion or other means of delivery. The delivery can be by bolus injection, short term infusion or longer term infusion and can be via passive delivery or through the utilisation of a suitable infusion pump.

Pharmaceutical formulations adapted for parenteral administration include aqueous and non-aqueous sterile injection solutions which may contain anti-oxidants, buffers, bacteriostats, co-solvents, organic solvent mixtures, cyclodextrin complexation agents, emulsifying agents (for forming and stabilizing emulsion formulations), liposome components for forming liposomes, gellable polymers for forming polymeric gels, lyophilisation protectants and combinations of agents for, *inter alia*, stabilising the active ingredient in a soluble form and rendering the formulation isotonic with the blood of the intended recipient. Pharmaceutical formulations for parenteral administration may also take the form of aqueous and non-aqueous sterile suspensions which may include suspending agents and thickening agents (R. G. Strickly, Solubilizing Excipients in oral and injectable formulations, Pharmaceutical Research, Vol 21(2) 2004, p 201-230).

A drug molecule that is ionizable can be solubilized to the desired concentration by pH adjustment if the drug's  $pK_a$  is sufficiently away from the formulation pH value. The acceptable range is pH 2-12 for intravenous and intramuscular administration, but subcutaneously the range is pH 2.7-9.0. The solution pH is controlled by either the salt form of the drug, strong acids/bases such as hydrochloric acid or sodium hydroxide, or by solutions of buffers which include but are not limited to buffering solutions formed from glycine, citrate, acetate, maleate, succinate, histidine, phosphate, tris(hydroxymethyl)aminomethane (TRIS), or carbonate.

The combination of an aqueous solution and a water-soluble organic solvent/surfactant (i.e., a cosolvent) is often used in injectable formulations. The water-soluble organic

solvents and surfactants used in injectable formulations include but are not limited to propylene glycol, ethanol, polyethylene glycol 300, polyethylene glycol 400, glycerin, dimethylacetamide (DMA), N-methyl-2-pyrrolidone (NMP; Pharmasolve), dimethylsulphoxide (DMSO), Solutol HS 15, Cremophor EL, Cremophor RH 60, and polysorbate 80. Such formulations can usually be, but are not always, diluted prior to injection.

Propylene glycol, PEG 300, ethanol, Cremophor EL, Cremophor RH 60, and polysorbate 80 are the entirely organic water-miscible solvents and surfactants used in commercially available injectable formulations and can be used in combinations with each other. The resulting organic formulations are usually diluted at least 2-fold prior to IV bolus or IV infusion.

Alternatively increased water solubility can be achieved through molecular complexation with cyclodextrins

Liposomes are closed spherical vesicles composed of outer lipid bilayer membranes and an inner aqueous core and with an overall diameter of <100  $\mu\text{m}$ . Depending on the level of hydrophobicity, moderately hydrophobic drugs can be solubilized by liposomes if the drug becomes encapsulated or intercalated within the liposome. Hydrophobic drugs can also be solubilized by liposomes if the drug molecule becomes an integral part of the lipid bilayer membrane, and in this case, the hydrophobic drug is dissolved in the lipid portion of the lipid bilayer. A typical liposome formulation contains water with phospholipid at -5-20 mg/ml, an isotonicifier, a pH 5-8 buffer, and optionally cholesterol.

The formulations may be presented in unit-dose or multi-dose containers, for example sealed ampoules and vials, and may be stored in a freeze-dried (lyophilised) condition requiring only the addition of the sterile liquid carrier, for example water for injections, immediately prior to use.

The pharmaceutical formulation can be prepared by lyophilising a compound of Formula (I) or acid addition salt thereof. Lyophilisation refers to the procedure of



- freeze-drying a composition. Freeze-drying and lyophilisation are therefore used herein as synonyms. A typical process is to solubilise the compound and the resulting formulation is clarified, sterile filtered and aseptically transferred to containers appropriate for lyophilisation (e.g. vials). In the case of vials, they are partially stoppered with lyo-stoppers. The formulation can be cooled to freezing and subjected to lyophilisation under standard conditions and then hermetically capped forming a stable, dry lyophile formulation. The composition will typically have a low residual water content, e.g. less than 5% e.g. less than 1% by weight based on weight of the lyophile.
- 10 The lyophilisation formulation may contain other excipients for example, thickening agents, dispersing agents, buffers, antioxidants, preservatives, and tonicity adjusters. Typical buffers include phosphate, acetate, citrate and glycine. Examples of antioxidants include ascorbic acid, sodium bisulphite, sodium metabisulphite, monothioglycerol, thiourea, butylated hydroxytoluene, butylated hydroxyl anisole, and ethylenediaminetetraacetic acid salts. Preservatives may include benzoic acid and its salts, sorbic acid and its salts, alkyl esters of *para*-hydroxybenzoic acid, phenol, chlorobutanol, benzyl alcohol, thimerosal, benzalkonium chloride and cetylpyridinium chloride. The buffers mentioned previously, as well as dextrose and sodium chloride, can be used for tonicity adjustment if necessary.

- Bulking agents are generally used in lyophilisation technology for facilitating the process and/or providing bulk and/or mechanical integrity to the lyophilized cake. Bulking agent means a freely water soluble, solid particulate diluent that when co-lyophilised with the compound or salt thereof, provides a physically stable lyophilized cake, a more optimal freeze-drying process and rapid and complete reconstitution. The bulking agent may also be utilised to make the solution isotonic.

- The water-soluble bulking agent can be any of the pharmaceutically acceptable inert solid materials typically used for lyophilisation. Such bulking agents include, for example, sugars such as glucose, maltose, sucrose, and lactose; polyalcohols

such as sorbitol or mannitol; amino acids such as glycine; polymers such as polyvinylpyrrolidone; and polysaccharides such as dextran.

The ratio of the weight of the bulking agent to the weight of active compound is typically within the range from about 1 to about 5, for example of about 1 to about 3, e.g. in the range of about 1 to 2.

Alternatively they can be provided in a solution form which may be concentrated and sealed in a suitable vial. Sterilisation of dosage forms may be via filtration or by autoclaving of the vials and their contents at appropriate stages of the formulation process. The supplied formulation may require further dilution or preparation before delivery for example dilution into suitable sterile infusion packs.

Extemporaneous injection solutions and suspensions may be prepared from sterile powders, granules and tablets.

In one preferred embodiment of the invention, the pharmaceutical composition is in a form suitable for i.v. administration, for example by injection or infusion.

Pharmaceutical compositions of the present invention for parenteral injection can also comprise pharmaceutically acceptable sterile aqueous or nonaqueous solutions, dispersions, suspensions or emulsions as well as sterile powders for reconstitution into sterile injectable solutions or dispersions just prior to use. Examples of suitable aqueous and nonaqueous carriers, diluents, solvents or vehicles include water, ethanol, polyols (such as glycerol, propylene glycol, polyethylene glycol, and the like), carboxymethylcellulose and suitable mixtures thereof, vegetable oils (such as olive oil), and injectable organic esters such as ethyl oleate. Proper fluidity can be maintained, for example, by the use of coating materials such as lecithin, by the maintenance of the required particle size in the case of dispersions, and by the use of surfactants.

The compositions of the present invention may also contain adjuvants such as preservatives, wetting agents, emulsifying agents, and dispersing agents. Prevention of the action of microorganisms may be ensured by the inclusion of various

antibacterial and antifungal agents, for example, paraben, chlorobutanol, phenol sorbic acid, and the like. It may also be desirable to include isotonic agents such as sugars, sodium chloride, and the like. Prolonged absorption of the injectable pharmaceutical form may be brought about by the inclusion of agents which delay  
5 absorption such as aluminum monostearate and gelatin.

If a compound is not stable in aqueous media or has low solubility in aqueous media, it can be formulated as a concentrate in organic solvents. The concentrate can then be diluted to a lower concentration in an aqueous system, and can be sufficiently stable for the short period of time during dosing. Therefore in another  
10 aspect, there is provided a pharmaceutical composition comprising a non aqueous solution composed entirely of one or more organic solvents, which can be dosed as is or more commonly diluted with a suitable IV excipient (saline, dextrose; buffered or not buffered) before administration (Solubilizing excipients in oral and injectable formulations, Pharmaceutical Research, 21(2), 2004, p201-230). Examples of  
15 solvents and surfactants are propylene glycol, PEG300, PEG400, ethanol, dimethylacetamide (DMA), N-methyl-2-pyrrolidone (NMP, Pharmasolve), Glycerin, Cremophor EL, Cremophor RH 60 and polysorbate. Particular non aqueous solutions are composed of 70-80% propylene glycol, and 20-30% ethanol. One particular non aqueous solution is composed of 70% propylene glycol, and  
20 30% ethanol. Another is 80% propylene glycol and 20% ethanol. Normally these solvents are used in combination and usually diluted at least 2-fold before IV bolus or IV infusion. The typical amounts for bolus IV formulations are ~50% for Glycerin, propylene glycol, PEG300, PEG400, and ~20% for ethanol. The typical amounts for IV infusion formulations are ~15% for Glycerin, 3% for DMA, and  
25 ~10% for propylene glycol, PEG300, PEG400 and ethanol.

In one preferred embodiment of the invention, the pharmaceutical composition is in a form suitable for i.v. administration, for example by injection or infusion. For intravenous administration, the solution can be dosed as is, or can be injected into an infusion bag (containing a pharmaceutically acceptable excipient, such as 0.9%  
30 saline or 5% dextrose), before administration.

In another preferred embodiment, the pharmaceutical composition is in a form suitable for sub-cutaneous (s.c.) administration.

Pharmaceutical dosage forms suitable for oral administration include tablets, capsules, caplets, pills, lozenges, syrups, solutions, powders, granules, elixirs and  
5 suspensions, sublingual tablets, wafers or patches and buccal patches.

Pharmaceutical compositions containing compounds of the formula (I) can be formulated in accordance with known techniques, see for example, Remington's Pharmaceutical Sciences, Mack Publishing Company, Easton, PA, USA.

Thus, tablet compositions can contain a unit dosage of active compound together  
10 with an inert diluent or carrier such as a sugar or sugar alcohol, eg; lactose, sucrose, sorbitol or mannitol; and/or a non-sugar derived diluent such as sodium carbonate, calcium phosphate, calcium carbonate, or a cellulose or derivative thereof such as methyl cellulose, ethyl cellulose, hydroxypropyl methyl cellulose, and starches such  
15 as corn starch. Tablets may also contain such standard ingredients as binding and granulating agents such as polyvinylpyrrolidone, disintegrants (e.g. swellable crosslinked polymers such as crosslinked carboxymethylcellulose), lubricating agents (e.g. stearates), preservatives (e.g. parabens), antioxidants (e.g. BHT), buffering agents (for example phosphate or citrate buffers), and effervescent agents such as citrate/bicarbonate mixtures. Such excipients are well known and do not  
20 need to be discussed in detail here.

Capsule formulations may be of the hard gelatin or soft gelatin variety and can contain the active component in solid, semi-solid, or liquid form. Gelatin capsules can be formed from animal gelatin or synthetic or plant derived equivalents thereof.

The solid dosage forms (eg; tablets, capsules etc.) can be coated or un-coated, but  
25 typically have a coating, for example a protective film coating (e.g. a wax or varnish) or a release controlling coating. The coating (e.g. a Eudragit <sup>TM</sup> type polymer) can be designed to release the active component at a desired location within the gastro-intestinal tract. Thus, the coating can be selected so as to degrade

under certain pH conditions within the gastrointestinal tract, thereby selectively release the compound in the stomach or in the ileum or duodenum.

Instead of, or in addition to, a coating, the drug can be presented in a solid matrix comprising a release controlling agent, for example a release delaying agent which  
5 may be adapted to selectively release the compound under conditions of varying acidity or alkalinity in the gastrointestinal tract. Alternatively, the matrix material or release retarding coating can take the form of an erodible polymer (e.g. a maleic anhydride polymer) which is substantially continuously eroded as the dosage form passes through the gastrointestinal tract. As a further alternative, the active  
10 compound can be formulated in a delivery system that provides osmotic control of the release of the compound. Osmotic release and other delayed release or sustained release formulations may be prepared in accordance with methods well known to those skilled in the art.

The pharmaceutical compositions comprise from approximately 1% to  
15 approximately 95%, preferably from approximately 20% to approximately 90%, active ingredient. Pharmaceutical compositions according to the invention may be, for example, in unit dose form, such as in the form of ampoules, vials, suppositories, dragées, tablets or capsules.

Pharmaceutical compositions for oral administration can be obtained by combining  
20 the active ingredient with solid carriers, if desired granulating a resulting mixture, and processing the mixture, if desired or necessary, after the addition of appropriate excipients, into tablets, dragee cores or capsules. It is also possible for them to be incorporated into plastics carriers that allow the active ingredients to diffuse or be released in measured amounts.

25 The compounds of the invention can also be formulated as solid dispersions. Solid dispersions are homogeneous extremely fine disperse phases of two or more solids. Solid solutions (molecularly disperse systems), one type of solid dispersion, are well known for use in pharmaceutical technology (see (Chiou and Riegelman, J.

Pharm. Sci., 60, 1281-1300 (1971)) and are useful in increasing dissolution rates and increasing the bioavailability of poorly water-soluble drugs.

Solid dispersions of drugs are generally produced by melt or solvent evaporation methods. For melt processing, the materials (excipients) which are usually  
5 semisolid and waxy in nature, are heated to cause melting and dissolution of the drug substance, followed by hardening by cooling to very low temperatures. The solid dispersion can then be pulverized, sieved, mixed with excipients, and encapsulated into hard gelatin capsules or compressed into tablets. Alternatively the use of surface-active and self-emulsifying carriers allows the encapsulation of solid  
10 dispersions directly into hard gelatin capsules as melts. Solid plugs are formed inside the capsules when the melts are cooled to room temperature.

Solid solutions can also be manufactured by dissolving the drug and the required excipient in either an aqueous solution or a pharmaceutically acceptable organic solvent, followed by removal of the solvent, using a pharmaceutically acceptable  
15 method, such as spray drying. The resulting solid can be particle sized if required, optionally mixed with excipients and either made into tablets or filled into capsules.

A particularly suitable polymeric auxiliary for producing such solid dispersions or solid solutions is polyvinylpyrrolidone (PVP).

The present invention provides a pharmaceutical composition comprising a  
20 substantially amorphous solid solution, said solid solution comprising  
(a) a compound of the formula (I), for example the compound of Example 1; and  
(b) a polymer selected from the group consisting of:

polyvinylpyrrolidone (povidone), crosslinked polyvinylpyrrolidone (crospovidone),  
hydroxypropyl methylcellulose, hydroxypropylcellulose, polyethylene oxide,  
25 gelatin, crosslinked polyacrylic acid (carbomer), carboxymethylcellulose,  
crosslinked carboxymethylcellulose (croscarmellose), methylcellulose, methacrylic acid copolymer, methacrylate copolymer, and water soluble salts such as sodium and ammonium salts of methacrylic acid and methacrylate copolymers, cellulose

acetate phthalate, hydroxypropylmethylcellulose phthalate and propylene glycol alginate;

wherein the ratio of said compound to said polymer is about 1:1 to about 1:6, for example a 1:3 ratio, spray dried from a mixture of one of chloroform or

5 dichloromethane and one of methanol or ethanol, preferably dichloromethane/ethanol in a 1:1 ratio.

This invention also provides solid dosage forms comprising the solid solution described above. Solid dosage forms include tablets, capsules and chewable tablets.

Known excipients can be blended with the solid solution to provide the desired  
10 dosage form. For example, a capsule can contain the solid solution blended with (a) a disintegrant and a lubricant, or (b) a disintegrant, a lubricant and a surfactant. A tablet can contain the solid solution blended with at least one disintegrant, a lubricant, a surfactant, and a glidant. The chewable tablet can contain the solid solution blended with a bulking agent, a lubricant, and if desired an additional  
15 sweetening agent (such as an artificial sweetener), and suitable flavours.

The pharmaceutical formulations may be presented to a patient in "patient packs" containing an entire course of treatment in a single package, usually a blister pack. Patient packs have an advantage over traditional prescriptions, where a pharmacist divides a patient's supply of a pharmaceutical from a bulk supply, in that the patient  
20 always has access to the package insert contained in the patient pack, normally missing in patient prescriptions. The inclusion of a package insert has been shown to improve patient compliance with the physician's instructions.

Compositions for topical use include ointments, creams, sprays, patches, gels, liquid drops and inserts (for example intraocular inserts). Such compositions can be  
25 formulated in accordance with known methods.

Compositions for parenteral administration are typically presented as sterile aqueous or oily solutions or fine suspensions, or may be provided in finely divided sterile powder form for making up extemporaneously with sterile water for injection.

Examples of formulations for rectal or intra-vaginal administration include pessaries and suppositories which may be, for example, formed from a shaped moldable or waxy material containing the active compound.

5 Compositions for administration by inhalation may take the form of inhalable powder compositions or liquid or powder sprays, and can be administered in standard form using powder inhaler devices or aerosol dispensing devices. Such devices are well known. For administration by inhalation, the powdered formulations typically comprise the active compound together with an inert solid powdered diluent such as lactose.

10 The compounds of the formula (I) will generally be presented in unit dosage form and, as such, will typically contain sufficient compound to provide a desired level of biological activity. For example, a formulation may contain from 1 nanogram to 2 grams of active ingredient, e.g. from 1 nanogram to 2 milligrams of active ingredient. Within this range, particular sub-ranges of compound are 0.1  
15 milligrams to 2 grams of active ingredient (more usually from 10 milligrams to 1 gram, e.g. 50 milligrams to 500 milligrams), or 1 microgram to 20 milligrams (for example 1 microgram to 10 milligrams, e.g. 0.1 milligrams to 2 milligrams of active ingredient).

For oral compositions, a unit dosage form may contain from 1 milligram to 2  
20 grams, more typically 10 milligrams to 1 gram, for example 50 milligrams to 1 gram, e.g. 100 milligrams to 1 gram, of active compound.

The active compound will be administered to a patient in need thereof (for example a human or animal patient) in an amount sufficient to achieve the desired therapeutic effect.

## 25 **Methods of Treatment**

It is envisaged that the compounds of the formula (I) and sub-groups as defined herein will be useful in the prophylaxis or treatment of a range of disease states or



conditions mediated by cyclin dependent kinases and glycogen synthase kinase-3. Examples of such disease states and conditions are set out above.

The compounds are generally administered to a subject in need of such administration, for example a human or animal patient, preferably a human.

- 5 The compounds will typically be administered in amounts that are therapeutically or prophylactically useful and which generally are non-toxic. However, in certain situations (for example in the case of life threatening diseases), the benefits of administering a compound of the formula (I) may outweigh the disadvantages of any toxic effects or side effects, in which case it may be considered desirable to  
10 administer compounds in amounts that are associated with a degree of toxicity.

The compounds may be administered over a prolonged term to maintain beneficial therapeutic effects or may be administered for a short period only. Alternatively they may be administered in a pulsatile or continuous manner.

- A typical daily dose of the compound of formula (I) can be in the range from 100  
15 picograms to 100 milligrams per kilogram of body weight, more typically 5 nanograms to 25 milligrams per kilogram of bodyweight, and more usually 10 nanograms to 15 milligrams per kilogram (e.g. 10 nanograms to 10 milligrams, and more typically 1 microgram per kilogram to 20 milligrams per kilogram, for example 1 microgram to 10 milligrams per kilogram) per kilogram of bodyweight  
20 although higher or lower doses may be administered where required. The compound of the formula (I) can be administered on a daily basis or on a repeat basis every 2, or 3, or 4, or 5, or 6, or 7, or 10 or 14, or 21, or 28 days for example.

- The compounds of the invention may be administered orally in a range of doses, for example 1 to 1500 mg, 2 to 800 mg, or 5 to 500 mg, e.g. 2 to 200 mg or 10 to 1000  
25 mg, particular examples of doses including 10, 20, 50 and 80 mg. The compound may be administered once or more than once each day. The compound can be administered continuously (i.e. taken every day without a break for the duration of the treatment regimen). Alternatively, the compound can be administered intermittently (i.e. taken continuously for a given period such as a week, then

discontinued for a period such as a week and then taken continuously for another period such as a week and so on throughout the duration of the treatment regimen. Examples of treatment regimens involving intermittent administration include regimens wherein administration is in cycles of one week on, one week off; or two  
5 weeks on, one week off; or three weeks on, one week off; or two weeks on, two weeks off; or four weeks on two weeks off; or one week on three weeks off - for one or more cycles, e.g. 2, 3, 4, 5, 6, 7, 8, 9 or 10 or more cycles.

An example of a dosage for i.v administration for a 60 kilogram person comprises administering a compound of the formula (I) as defined herein at a starting dosage  
10 of 4.5-10.8 mg/60 kg/day (equivalent to 75-180 µg/kg/day) and subsequently by an efficacious dose of 44-97 mg/60 kg/day (equivalent to 0.7-1.6 mg/kg/day) or an efficacious dose of 72-274 mg/60 kg/day (equivalent to 1.2-4.6 mg/kg/day) although higher or lower doses may be administered where required. The mg/kg dose would scale pro-rata for any given body weight.

15 In one particular dosing schedule, a patient will be given an infusion of a compound of the formula (I) for periods of one hour daily for up to ten days in particular up to five days for one week, and the treatment repeated at a desired interval such as two to four weeks, in particular every three weeks.

More particularly, a patient may be given an infusion of a compound of the formula  
20 (I) for periods of one hour daily for 5 days and the treatment repeated every three weeks.

In another particular dosing schedule, a patient is given an infusion over 30 minutes to 1 hour followed by maintenance infusions of variable duration, for example 1 to 5 hours, e.g. 3 hours.

25 In a further particular dosing schedule, a patient is given a continuous infusion for a period of 12 hours to 5 days, in particular a continuous infusion of 24 hours to 72 hours.

Ultimately, however, the quantity of compound administered and the type of composition used will be commensurate with the nature of the disease or physiological condition being treated and will be at the discretion of the physician.

- The compounds of formula (I) and sub-groups as defined herein can be administered as the sole therapeutic agent or they can be administered in combination therapy with one of more other compounds for treatment of a particular disease state, for example a neoplastic disease such as a cancer as hereinbefore defined. Examples of other therapeutic agents or therapies that may be administered or used together (whether concurrently or at different time intervals) with the compounds of the invention include but are not limited to topoisomerase inhibitors, alkylating agents, antimetabolites, DNA binders, microtubule inhibitors (tubulin targeting agents), monoclonal antibodies and signal transduction inhibitors, particular examples being cisplatin, cyclophosphamide, doxorubicin, irinotecan, fludarabine, 5FU, taxanes, mitomycin C and radiotherapy.
- For the case of CDK inhibitors combined with other therapies, the two or more treatments may be given in individually varying dose schedules and via different routes.

- Where the compound of the formula (I) is administered in combination therapy with one, two, three, four or more other therapeutic agents (preferably one or two, more preferably one), the compounds can be administered simultaneously or sequentially. When administered sequentially, they can be administered at closely spaced intervals (for example over a period of 5-10 minutes) or at longer intervals (for example 1, 2, 3, 4 or more hours apart, or even longer periods apart where required), the precise dosage regimen being commensurate with the properties of the therapeutic agent(s).

The compounds of the invention may also be administered in conjunction with non-chemotherapeutic treatments such as radiotherapy, photodynamic therapy, gene therapy; surgery and controlled diets.

For use in combination therapy with another chemotherapeutic agent, the compound of the formula (I) and one, two, three, four or more other therapeutic agents can be, for example, formulated together in a dosage form containing two, three, four or more therapeutic agents. In an alternative, the individual therapeutic agents may be formulated separately and presented together in the form of a kit,  
5 optionally with instructions for their use.

A person skilled in the art would know through his or her common general knowledge the dosing regimes and combination therapies to use.

### **Methods of Diagnosis**

10 Prior to administration of a compound of the formula (I), a patient may be screened to determine whether a disease or condition from which the patient is or may be suffering is one which would be susceptible to treatment with a compound having activity against cyclin dependent kinases.

For example, a biological sample taken from a patient may be analysed to  
15 determine whether a condition or disease, such as cancer, that the patient is or may be suffering from is one which is characterised by a genetic abnormality or abnormal protein expression which leads to over-activation of CDKs or to sensitisation of a pathway to normal CDK activity. Examples of such abnormalities that result in activation or sensitisation of the CDK2 signal include up-regulation of  
20 cyclin E, (Harwell RM, Mull BB, Porter DC, Keyomarsi K.; J Biol Chem. 2004 Mar 26;279(13):12695-705) or loss of p21 or p27, or presence of CDC4 variants (Rajagopalan H, Jallepalli PV, Rago C, Velculescu VE, Kinzler KW, Vogelstein B, Lengauer C.; Nature. 2004 Mar 4;428(6978):77-81). Tumours with mutants of CDC4 or up-regulation, in particular over-expression, of cyclin E or loss of p21 or  
25 p27 may be particularly sensitive to CDK inhibitors. The term up-regulation includes elevated expression or over-expression, including gene amplification (i.e. multiple gene copies) and increased expression by a transcriptional effect, and hyperactivity and activation, including activation by mutations.

Thus, the patient may be subjected to a diagnostic test to detect a marker characteristic of up-regulation of cyclin E, or loss of p21 or p27, or presence of CDC4 variants. The term diagnosis includes screening. By marker we include genetic markers including, for example, the measurement of DNA composition to  
5 identify mutations of CDC4. The term marker also includes markers which are characteristic of up regulation of cyclin E, including enzyme activity, enzyme levels, enzyme state (e.g. phosphorylated or not) and mRNA levels of the aforementioned proteins. Tumours with upregulation of cyclin E, or loss of p21 or p27 may be particularly sensitive to CDK inhibitors. Tumours may preferentially be  
10 screened for upregulation of cyclin E, or loss of p21 or p27 prior to treatment. Thus, the patient may be subjected to a diagnostic test to detect a marker characteristic of up-regulation of cyclin E, or loss of p21 or p27.

The diagnostic tests are typically conducted on a biological sample selected from tumour biopsy samples, blood samples (isolation and enrichment of shed tumour  
15 cells), stool biopsies, sputum, chromosome analysis, pleural fluid, peritoneal fluid, or urine.

It has been found, Rajagopalan et al (Nature. 2004 Mar 4;428(6978):77-81), that there were mutations present in CDC4 (also known as Fbw7 or Archipelago) in human colorectal cancers and endometrial cancers (Spruck et al, Cancer Res. 2002  
20 Aug 15;62(16):4535-9). Identification of individual carrying a mutation in CDC4 may mean that the patient would be particularly suitable for treatment with a CDK inhibitor. Tumours may preferentially be screened for presence of a CDC4 variant prior to treatment. The screening process will typically involve direct sequencing, oligonucleotide microarray analysis, or a mutant specific antibody.

25 Methods of identification and analysis of mutations and up-regulation of proteins are well known to a person skilled in the art. Screening methods could include, but are not limited to, standard methods such as reverse-transcriptase polymerase chain reaction (RT-PCR) or in-situ hybridisation.

In screening by RT-PCR, the level of mRNA in the tumour is assessed by creating a cDNA copy of the mRNA followed by amplification of the cDNA by PCR.

Methods of PCR amplification, the selection of primers, and conditions for amplification, are known to a person skilled in the art. Nucleic acid manipulations and PCR are carried out by standard methods, as described for example in Ausubel, F.M. et al., eds. *Current Protocols in Molecular Biology*, 2004, John Wiley & Sons Inc., or Innis, M.A. et al., eds. *PCR Protocols: a guide to methods and applications*, 1990, Academic Press, San Diego. Reactions and manipulations involving nucleic acid techniques are also described in Sambrook et al., 2001, 3<sup>rd</sup> Ed, *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory Press.

Alternatively a commercially available kit for RT-PCR (for example Roche Molecular Biochemicals) may be used, or methodology as set forth in United States patents 4,666,828; 4,683,202; 4,801,531; 5,192,659, 5,272,057, 5,882,864, and 6,218,529 and incorporated herein by reference.

An example of an in-situ hybridisation technique for assessing mRNA expression would be fluorescence in-situ hybridisation (FISH) (see Angerer, 1987 *Meth. Enzymol.*, 152: 649).

Generally, in situ hybridization comprises the following major steps: (1) fixation of tissue to be analyzed; (2) prehybridization treatment of the sample to increase accessibility of target nucleic acid, and to reduce nonspecific binding; (3) hybridization of the mixture of nucleic acids to the nucleic acid in the biological structure or tissue; (4) post-hybridization washes to remove nucleic acid fragments not bound in the hybridization, and (5) detection of the hybridized nucleic acid fragments. The probes used in such applications are typically labeled, for example, with radioisotopes or fluorescent reporters. Preferred probes are sufficiently long, for example, from about 50, 100, or 200 nucleotides to about 1000 or more nucleotides, to enable specific hybridization with the target nucleic acid(s) under stringent conditions. Standard methods for carrying out FISH are described in Ausubel, F.M. et al., eds. *Current Protocols in Molecular Biology*, 2004, John Wiley & Sons Inc and *Fluorescence In Situ Hybridization: Technical Overview* by

John M. S. Bartlett in *Molecular Diagnosis of Cancer, Methods and Protocols*, 2nd ed.; ISBN: 1-59259-760-2; March 2004, pps. 077-088; Series: *Methods in Molecular Medicine*.

Alternatively, the protein products expressed from the mRNAs may be assayed by  
5 immunohistochemistry of tumour samples, solid phase immunoassay with  
microtiter plates, Western blotting, 2-dimensional SDS-polyacrylamide gel  
electrophoresis, ELISA, flow cytometry and other methods known in the art for  
detection of specific proteins. Detection methods would include the use of site  
specific antibodies. The skilled person will recognize that all such well-known  
10 techniques for detection of upregulation of cyclin E, or loss of p21 or p27, or  
detection of CDC4 variants could be applicable in the present case.

Therefore, all of these techniques could also be used to identify tumours  
particularly suitable for treatment with the compounds of the invention.

Tumours with mutants of CDC4 or up-regulation, in particular over-expression, of  
15 cyclin E or loss of p21 or p27 may be particularly sensitive to CDK inhibitors.  
Tumours may preferentially be screened for up-regulation, in particular over-  
expression, of cyclin E (Harwell RM, Mull BB, Porter DC, Keyomarsi K.; *J Biol*  
*Chem.* 2004 Mar 26;279(13):12695-705) or loss of p21 or p27 or for CDC4 variants  
prior to treatment (Rajagopalan H, Jallepalli PV, Rago C, Velculescu VE, Kinzler  
20 KW, Vogelstein B, Lengauer C.; *Nature.* 2004 Mar 4;428(6978):77-81).

Patients with mantle cell lymphoma (MCL) could be selected for treatment with a  
compound of the invention using diagnostic tests outlined herein. MCL is a distinct  
clinicopathologic entity of non-Hodgkin's lymphoma, characterized by proliferation  
of small to medium-sized lymphocytes with co-expression of CD5 and CD20, an  
25 aggressive and incurable clinical course, and frequent t(11;14)(q13;q32)  
translocation. Over-expression of cyclin D1 mRNA, found in mantle cell  
lymphoma (MCL), is a critical diagnostic marker. Yatabe et al (*Blood.* 2000 Apr  
1;95(7):2253-61) proposed that cyclin D1-positivity should be included as one of  
the standard criteria for MCL, and that innovative therapies for this incurable

disease should be explored on the basis of the new criteria. Jones et al (J Mol Diagn. 2004 May;6(2):84-9) developed a real-time, quantitative, reverse transcription PCR assay for cyclin D1 (CCND1) expression to aid in the diagnosis of mantle cell lymphoma (MCL). Howe et al (Clin Chem. 2004 Jan;50(1):80-7) used real-time quantitative RT-PCR to evaluate cyclin D1 mRNA expression and found that quantitative RT-PCR for cyclin D1 mRNA normalized to CD19 mRNA can be used in the diagnosis of MCL in blood, marrow, and tissue. Alternatively, patients with breast cancer could be selected for treatment with a CDK inhibitor using diagnostic tests outline above. Tumour cells commonly overexpress cyclin E and it has been shown that cyclin E is over-expressed in breast cancer (Harwell et al, Cancer Res, 2000, 60, 481-489). Therefore breast cancer may in particular be treated with a CDK inhibitor as provided herein.

#### **Antifungal Use**

In a further aspect, the invention provides the use of the compounds of the formula (I) and sub-groups thereof as defined herein as antifungal agents.

The compounds of the formula (I) and sub-groups thereof as defined herein may be used in animal medicine (for example in the treatment of mammals such as humans), or in the treatment of plants (e.g. in agriculture and horticulture), or as general antifungal agents, for example as preservatives and disinfectants.

In one embodiment, the invention provides a compound of the formula (I) and sub-groups thereof as defined herein for use in the prophylaxis or treatment of a fungal infection in a mammal such as a human.

Also provided is the use of a compound of the formula (I) and sub-groups thereof as defined herein for the manufacture of a medicament for use in the prophylaxis or treatment of a fungal infection in a mammal such as a human.

For example, compounds of the invention may be administered to human patients suffering from, or at risk of infection by, topical fungal infections caused by among other organisms, species of *Candida*, *Trichophyton*, *Microsporum* or



Epidermophyton, or in mucosal infections caused by *Candida albicans* (e.g. thrush and vaginal candidiasis). The compounds of the invention can also be administered for the treatment or prophylaxis of systemic fungal infections caused by, for example, *Candida albicans*, *Cryptococcus neoformans*, *Aspergillus flavus*,  
5 *Aspergillus fumigatus*, *Coccidioides*, *Paracoccidioides*, *Histoplasma* or *Blastomyces*.

In another aspect, the invention provides an antifungal composition for agricultural (including horticultural) use, comprising a compound of the formulae (I) and sub-groups thereof as defined herein together with an agriculturally acceptable diluent  
10 or carrier.

The invention further provides a method of treating an animal (including a mammal such as a human), plant or seed having a fungal infection, which comprises treating said animal, plant or seed, or the locus of said plant or seed, with an effective amount of a compound of the formula (I) and sub-groups thereof as defined herein.

15 The invention also provides a method of treating a fungal infection in a plant or seed which comprises treating the plant or seed with an antifungally effective amount of a fungicidal composition containing a compound of the formula (I) and sub-groups thereof as defined herein.

Differential screening assays may be used to select for those compounds of the  
20 present invention with specificity for non-human CDK enzymes. Compounds which act specifically on the CDK enzymes of eukaryotic pathogens can be used as anti-fungal or anti-parasitic agents. Inhibitors of the *Candida* CDK kinase, CKSI, can be used in the treatment of candidiasis. Antifungal agents can be used against infections of the type hereinbefore defined, or opportunistic infections that  
25 commonly occur in debilitated and immunosuppressed patients such as patients with leukemias and lymphomas, people who are receiving immunosuppressive therapy, and patients with predisposing conditions such as diabetes mellitus or AIDS, as well as for non-immunosuppressed patients.

Assays described in the art can be used to screen for agents which may be useful for inhibiting at least one fungus implicated in mycosis such as candidiasis, aspergillosis, mucormycosis, blastomycosis, geotrichosis, cryptococcosis, chromoblastomycosis, coccidioidomycosis, conidiosporosis, histoplasmosis, 5 maduromycosis, rhinosporidosis, nocardiosis, para-actinomycosis, penicilliosis, monoliasis, or sporotrichosis. The differential screening assays can be used to identify anti-fungal agents which may have therapeutic value in the treatment of aspergillosis by making use of the CDK genes cloned from yeast such as *Aspergillus fumigatus*, *Aspergillus flavus*, *Aspergillus niger*, *Aspergillus nidulans*, 10 or *Aspergillus terreus*, or where the mycotic infection is mucormycosis, the CDK assay can be derived from yeast such as *Rhizopus arrhizus*, *Rhizopus oryzae*, *Absidia corymbifera*, *Absidia ramosa*, or *Mucorpusillus*. Sources of other CDK enzymes include the pathogen *Pneumocystis carinii*.

By way of example, *in vitro* evaluation of the antifungal activity of the compounds 15 can be performed by determining the minimum inhibitory concentration (M.I.C.) which is the concentration of the test compounds, in a suitable medium, at which growth of the particular microorganism fails to occur. In practice, a series of agar plates, each having the test compound incorporated at a particular concentration is inoculated with a standard culture of, for example, *Candida albicans* and each plate 20 is then incubated for an appropriate period at 37 °C. The plates are then examined for the presence or absence of growth of the fungus and the appropriate M.I.C. value is noted. Alternatively, a turbidity assay in liquid cultures can be performed and a protocol outlining an example of this assay can be found in the Examples below.

25 The *in vivo* evaluation of the compounds can be carried out at a series of dose levels by intraperitoneal or intravenous injection or by oral administration, to mice that have been inoculated with a fungus, e.g., a strain of *Candida albicans* or *Aspergillus flavus*. The activity of the compounds can be assessed by monitoring the growth of the fungal infection in groups of treated and untreated mice (by histology or by 30 retrieving fungi from the infection). The activity may be measured in terms of the

dose level at which the compound provides 50% protection against the lethal effect of the infection (PD<sub>50</sub>).

- For human antifungal use, the compounds of the formula (I) and sub-groups thereof as defined herein can be administered alone or in admixture with a pharmaceutical carrier selected in accordance with the intended route of administration and standard pharmaceutical practice. Thus, for example, they may be administered orally, parenterally, intravenously, intramuscularly or subcutaneously by means of the formulations described above in the section headed "Pharmaceutical Formulations".
- For oral and parenteral administration to human patients, the daily dosage level of the antifungal compounds of the invention can be from 0.01 to 10 mg/kg (in divided doses), depending on *inter alia* the potency of the compounds when administered by either the oral or parenteral route. Tablets or capsules of the compounds may contain, for example, from 5 mg to 0.5 g of active compound for administration singly or two or more at a time as appropriate. The physician in any event will determine the actual dosage (effective amount) which will be most suitable for an individual patient and it will vary with the age, weight and response of the particular patient.

- Alternatively, the antifungal compounds can be administered in the form of a suppository or pessary, or they may be applied topically in the form of a lotion, solution, cream, ointment or dusting powder. For example, they can be incorporated into a cream consisting of an aqueous emulsion of polyethylene glycols or liquid paraffin; or they can be incorporated, at a concentration between 1 and 10%, into an ointment consisting of a white wax or white soft paraffin base together with such stabilizers and preservatives as may be required.

In addition to the therapeutic uses described above, anti-fungal agents developed with such differential screening assays can be used, for example, as preservatives in foodstuff, feed supplement for promoting weight gain in livestock, or in disinfectant formulations for treatment of non-living matter, e.g., for decontaminating hospital

equipment and rooms. In similar fashion, side by side comparison of inhibition of a mammalian CDK and an insect CDK, such as the *Drosophila* CDK5 gene (Hellmich et al. (1994) FEBS Lett 356:317-21), will permit selection amongst the compounds herein of inhibitors which discriminate between the human/mammalian and insect enzymes. Accordingly, the present invention expressly contemplates the use and formulation of the compounds of the invention in insecticides, such as for use in management of insects like the fruit fly.

In yet another embodiment, certain of the subject CDK inhibitors can be selected on the basis of inhibitory specificity for plant CDK's relative to the mammalian enzyme. For example, a plant CDK can be disposed in a differential screen with one or more of the human enzymes to select those compounds of greatest selectivity for inhibiting the plant enzyme. Thus, the present invention specifically contemplates formulations of the subject CDK inhibitors for agricultural applications, such as in the form of a defoliant or the like.

For agricultural and horticultural purposes the compounds of the invention may be used in the form of a composition formulated as appropriate to the particular use and intended purpose. Thus the compounds may be applied in the form of dusting powders, or granules, seed dressings, aqueous solutions, dispersions or emulsions, dips, sprays, aerosols or smokes. Compositions may also be supplied in the form of dispersible powders, granules or grains, or concentrates for dilution prior to use. Such compositions may contain such conventional carriers, diluents or adjuvants as are known and acceptable in agriculture and horticulture and they can be manufactured in accordance with conventional procedures. The compositions may also incorporate other active ingredients, for example, compounds having herbicidal or insecticidal activity or a further fungicide. The compounds and compositions can be applied in a number of ways, for example they can be applied directly to the plant foliage, stems, branches, seeds or roots or to the soil or other growing medium, and they may be used not only to eradicate disease, but also prophylactically to protect the plants or seeds from attack. By way of example, the compositions may contain from 0.01 to 1 wt.% of the active ingredient. For field

use, likely application rates of the active ingredient may be from 50 to 5000 g/hectare.

The invention also contemplates the use of the compounds of the formula (I) and sub-groups thereof as defined herein in the control of wood decaying fungi and in  
5 the treatment of soil where plants grow, paddy fields for seedlings, or water for perfusion. Also contemplated by the invention is the use of the compounds of the formula (I) and sub-groups thereof as defined herein to protect stored grain and other non-plant loci from fungal infestation.

### **EXAMPLES**

10 The invention will now be illustrated, but not limited, by reference to the specific embodiments described in the following examples.

In the examples, the following abbreviations may be used.

	AcOH	acetic acid
	BOC	<i>tert</i> -butyloxycarbonyl
15	CDI	1,1-carbonyldiimidazole
	DMAW90	Solvent mixture: DCM: MeOH, AcOH, H <sub>2</sub> O (90:18:3:2)
	DMAW120	Solvent mixture: DCM: MeOH, AcOH, H <sub>2</sub> O (120:18:3:2)
	DMAW240	Solvent mixture: DCM: MeOH, AcOH, H <sub>2</sub> O (240:20:3:2)
	DCM	dichloromethane
20	DMF	dimethylformamide
	DMSO	dimethyl sulphoxide
	EDC	1-ethyl-3-(3'-dimethylaminopropyl)-carbodiimide
	Et <sub>3</sub> N	triethylamine
	EtOAc	ethyl acetate
25	Et <sub>2</sub> O	diethyl ether
	HOAt	1-hydroxyazabenzotriazole
	HOBt	1-hydroxybenzotriazole
	MeCN	acetonitrile
	MeOH	methanol

	P.E.	petroleum ether
	SiO <sub>2</sub>	silica
	TBTU	N,N,N',N'-tetramethyl-O-(benzotriazol-1-yl)uronium tetrafluoroborate
5	THF	tetrahydrofuran

#### Analytical LC-MS System and Method Description

In the examples, the compounds prepared were characterised by liquid chromatography and mass spectroscopy using the systems and operating conditions set out below. Where atoms with different isotopes are present, and a single mass  
10 quoted, the mass quoted for the compound is the monoisotopic mass (i.e. <sup>35</sup>Cl; <sup>79</sup>Br etc.). Several systems were used, as described below, and these were equipped with, and were set up to run under, closely similar operating conditions. The operating conditions used are also described below.

#### Waters Platform LC-MS system:

15	HPLC System:	Waters 2795
	Mass Spec Detector:	Micromass Platform LC
	PDA Detector:	Waters 2996 PDA

#### Analytical Acidic conditions:

	Eluent A:	H <sub>2</sub> O (0.1% Formic Acid)
20	Eluent B:	CH <sub>3</sub> CN (0.1% Formic Acid)
	Gradient:	5-95% eluent B over 3.5 minutes
	Flow:	0.8 ml/min
	Column:	Phenomenex Synergi 4μ MAX-RP 80A, 2.0 x 50 mm

#### Analytical Basic conditions:

25	Eluent A:	H <sub>2</sub> O (10mM NH <sub>4</sub> HCO <sub>3</sub> buffer adjusted to pH=9.2 with NH <sub>4</sub> OH)
	Eluent B:	CH <sub>3</sub> CN
	Gradient:	05-95% eluent B over 3.5 minutes
	Flow:	0.8 ml/min

Column: Phenomenex Luna C18(2) 5 $\mu$ m 2.0 x 50 mm

**Analytical Polar conditions:**

Eluent A: H<sub>2</sub>O (0.1% Formic Acid)

Eluent B: CH<sub>3</sub>CN (0.1% Formic Acid)

5 Gradient: 00-50% eluent B over 3 minutes

Flow: 0.8 ml/min

Column: Phenomenex Synergi 4 $\mu$  MAX-RP 80A, 2.0 x 50 mm

**Analytical Lipophilic conditions:**

Eluent A: H<sub>2</sub>O (0.1% Formic Acid)

10 Eluent B: CH<sub>3</sub>CN (0.1% Formic Acid)

Gradient: 55-95% eluent B over 3.5 minutes

Flow: 0.8 ml/min

Column: Phenomenex Synergi 4 $\mu$  MAX-RP 80A, 2.0 x 50 mm

**Analytical Long Acidic conditions:**

15 Eluent A: H<sub>2</sub>O (0.1% Formic Acid)

Eluent B: CH<sub>3</sub>CN (0.1% Formic Acid)

Gradient: 05-95% eluent B over 15 minutes

Flow: 0.4 ml/min

Column: Phenomenex Synergi 4 $\mu$  MAX-RP 80A, 2.0 x 150 mm

20 **Analytical Long Basic Conditions:**

Eluent A: H<sub>2</sub>O (10mM NH<sub>4</sub>HCO<sub>3</sub> buffer adjusted to pH=9.2 with NH<sub>4</sub>OH)

Eluent B: CH<sub>3</sub>CN

Gradient: 05-95% eluent B over 15 minutes

Flow: 0.8 ml/min

25 Column: Phenomenex Luna C18(2) 5 $\mu$ m 2.0 x 50 mm

**Platform MS conditions:**

Capillary voltage: 3.6 kV (3.40 kV on ES negative)

Cone voltage: 25 V  
Source Temperature: 120 °C  
Scan Range: 100-800 amu  
Ionisation Mode: ElectroSpray Positive or  
5 ElectroSpray Negative or  
ElectroSpray Positive & Negative

**Waters Fractionlynx LC-MS system:**

HPLC System: 2767 autosampler – 2525 binary gradient pump  
Mass Spec Detector: Waters ZQ  
10 PDA Detector: Waters 2996 PDA

**Analytical Acidic conditions:**

Eluent A: H<sub>2</sub>O (0.1% Formic Acid)  
Eluent B: CH<sub>3</sub>CN (0.1% Formic Acid)  
Gradient: 5-95% eluent B over 4 minutes  
15 Flow: 2.0 ml/min  
Column: Phenomenex Synergi 4μ MAX-RP 80A, 4.6 x 50 mm

**Analytical Polar conditions:**

Eluent A: H<sub>2</sub>O (0.1% Formic Acid)  
Eluent B: CH<sub>3</sub>CN (0.1% Formic Acid)  
20 Gradient: 00-50% eluent B over 4 minutes  
Flow: 2.0 ml/min  
Column: Phenomenex Synergi 4μ MAX-RP 80A, 4.6 x 50 mm

**Analytical Lipophilic conditions:**

Eluent A: H<sub>2</sub>O (0.1% Formic Acid)  
25 Eluent B: CH<sub>3</sub>CN (0.1% Formic Acid)  
Gradient: 55-95% eluent B over 4 minutes  
Flow: 2.0 ml/min  
Column: Phenomenex Synergi 4μ MAX-RP 80A, 4.6 x 50 mm



**Fractionlynx MS conditions:**

	Capillary voltage:	3.5 kV (3.2 kV on ES negative)
	Cone voltage:	25 V (30 V on ES negative)
	Source Temperature:	120 °C
5	Scan Range:	100-800 amu
	Ionisation Mode:	ElectroSpray Positive <u>or</u> ElectroSpray Negative <u>or</u> ElectroSpray Positive & Negative

**Mass Directed Purification LC-MS System**

- 10 Preparative LC-MS is a standard and effective method used for the purification of small organic molecules such as the compounds described herein. The methods for the liquid chromatography (LC) and mass spectrometry (MS) can be varied to provide better separation of the crude materials and improved detection of the samples by MS. Optimisation of the preparative gradient LC method will involve
- 15 varying columns, volatile eluents and modifiers, and gradients. Methods are well known in the art for optimising preparative LC-MS methods and then using them to purify compounds. Such methods are described in Rosentreter U, Huber U.; Optimal fraction collecting in preparative LC/MS; *J Comb Chem.*; 2004; 6(2), 159-64 and Leister W, Strauss K, Wisnoski D, Zhao Z, Lindsley C., Development of a
- 20 custom high-throughput preparative liquid chromatography/mass spectrometer platform for the preparative purification and analytical analysis of compound libraries; *J Comb Chem.*; 2003; 5(3); 322-9.

- One such system for purifying compounds via preparative LC-MS is described below although a person skilled in the art will appreciate that alternative systems
- 25 and methods to those described could be used. In particular, normal phase preparative LC based methods might be used in place of the reverse phase methods described here. Most preparative LC-MS systems utilise reverse phase LC and volatile acidic modifiers, since the approach is very effective for the purification of small molecules and because the eluents are compatible with positive ion
- 30 electrospray mass spectrometry. Employing other chromatographic solutions e.g.

normal phase LC, alternatively buffered mobile phase, basic modifiers etc as outlined in the analytical methods described above could alternatively be used to purify the compounds.

**Preparative LC-MS Systems:**

5 **Waters Fractionlynx System:**

• **Hardware:**

2767 Dual Loop Autosampler/Fraction Collector

2525 preparative pump

CFO (column fluidic organiser) for column selection

10 RMA (Waters reagent manager) as make up pump

Waters ZQ Mass Spectrometer

Waters 2996 Photo Diode Array detector

Waters ZQ Mass Spectrometer

• **Software:**

15 Masslynx 4.0

• **Waters MS running conditions:**

Capillary voltage: 3.5 kV (3.2 kV on ES Negative)

Cone voltage: 25 V

Source Temperature: 120 °C

20 Multiplier: 500 V

Scan Range: 125-800 amu

Ionisation Mode: ElectroSpray Positive or  
ElectroSpray Negative

**Agilent 1100 LC-MS preparative system:**

25 • **Hardware:**

Autosampler: 1100 series "prepALS"

Pump: 1100 series "PrepPump" for preparative flow gradient and 1100 series "QuatPump" for pumping modifier in prep flow

UV detector: 1100 series "MWD" Multi Wavelength Detector

MS detector: 1100 series "LC-MSD VL"

Fraction Collector: 2 x "Prep-FC"

Make Up pump: "Waters RMA"

5 Agilent Active Splitter

- **Software:**

Chemstation: Chem32

- **Agilent MS running conditions:**

Capillary voltage: 4000 V (3500 V on ES Negative)

10 Fragmentor/Gain: 150/1

Drying gas flow: 13.0 L/min

Gas Temperature: 350 °C

Nebuliser Pressure: 50 psig

Scan Range: 125-800 amu

15 Ionisation Mode: ElectroSpray Positive or  
ElectroSpray Negative

**Chromatographic Conditions :**

- **Columns:**

1. Low pH chromatography:

20 Phenomenex Synergy MAX-RP, 10 $\mu$ , 100 x 21.2mm

(alternatively used Thermo Hypersil-Keystone HyPurity Aquastar, 5 $\mu$ , 100 x 21.2mm for more polar compounds)

2. High pH chromatography:

Phenomenex Luna C18 (2), 10 $\mu$ , 100 x 21.2mm

25 (alternatively used Phenomenex Gemini, 5 $\mu$ , 100 x 21.2mm)

- **Eluents:**

1. Low pH chromatography:

Solvent A: H<sub>2</sub>O + 0.1% Formic Acid, pH~1.5

Solvent B: CH<sub>3</sub>CN + 0.1% Formic Acid

2. High pH chromatography:

Solvent A: H<sub>2</sub>O + 10 mM NH<sub>4</sub>HCO<sub>3</sub> + NH<sub>4</sub>OH, pH=9.2

Solvent B: CH<sub>3</sub>CN

5 3. Make up solvent:

MeOH + 0.2% Formic Acid (for both chromatography type)

- **Methods:**

According to the analytical trace the most appropriate preparative chromatography type was chosen. A typical routine was to run an analytical LC-MS using the type  
10 of chromatography (low or high pH) most suited for compound structure. Once the analytical trace showed good chromatography a suitable preparative method of the same type was chosen. Typical running condition for both low and high pH chromatography methods were:

Flow rate: 24 ml/min

15 Gradient: Generally all gradients had an initial 0.4 min step with 95% A + 5% B. Then according to analytical trace a 3.6 min gradient was chosen in order to achieve good separation (e.g. from 5% to 50% B for early retaining compounds; from 35% to 80% B for middle retaining compounds and so on)

Wash: 1.2 minute wash step was performed at the end of the gradient

20 Re-equilibration: 2.1 minutes re-equilibration step was ran to prepare the system for the next run

Make Up flow rate: 1 ml/min

- **Solvent:**

All compounds were usually dissolved in 100% MeOH or 100% DMSO

25 From the information provided someone skilled in the art could purify the compounds described herein by preparative LC-MS.

The starting materials for each of the Examples are commercially available unless otherwise specified.

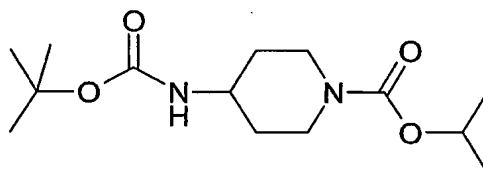
## Preparation of Starting Materials

### Preparation I

#### Synthesis of 4-amino-piperidine-1-carboxylic acid isopropyl ester

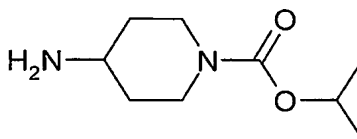
##### Step 1. Synthesis of 4-tert-butoxycarbonylamino-piperidine-1-carboxylic acid

##### 5 isopropyl ester



To a mixture of 4-(*N*-BOC-amino)piperidine (200 mg, 1.0 mmol) in dioxane (5 ml) was added triethylamine (180  $\mu$ l, 1.3 mmol) followed by isopropylchloroformate (1M in toluene) (1.2 ml, 1.2 mmol). The mixture was stirred at ambient  
10 temperature for 16 hours, then reduced *in vacuo*. The residue was partitioned between EtOAc and water and the organic portion then washed with brine, dried (MgSO<sub>4</sub>) and reduced *in vacuo* to give the title compound as a white solid (282 mg).

##### Step 2. Synthesis of 4-amino-piperidine-1-carboxylic acid isopropyl ester



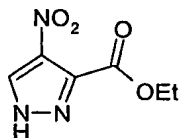
15

A mixture of 4-tert-butoxycarbonylamino-piperidine-1-carboxylic acid isopropyl ester (140 mg) in trifluoroacetic acid (2 ml) and DCM (2 ml) was stirred at ambient temperature for 30 minutes, then reduced *in vacuo* azeotroping with toluene (x3) to give the title compound as a yellow oil (90 mg).

### 20 Preparation II

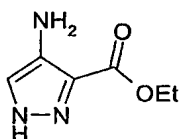
#### Synthesis of 4-amino-1H-pyrazole-3-carboxylic acid ethyl ester

##### Step 1. 4-Nitro-1H-pyrazole-3-carboxylic acid ethyl ester



- Thionyl chloride (2.90 ml, 39.8 mmol) was slowly added to a mixture of 4-nitro-3-pyrazolecarboxylic acid (5.68 g, 36.2 mmol) in EtOH (100 ml) at ambient temperature and the mixture stirred for 48 hours. The mixture was reduced *in vacuo* and dried through azeotrope with toluene to afford 4-nitro-1H-pyrazole-3-carboxylic acid ethyl ester as a white solid (6.42 g, 96%). (<sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 14.4 (s, 1H), 9.0 (s, 1H), 4.4 (q, 2H), 1.3 (t, 3H)).

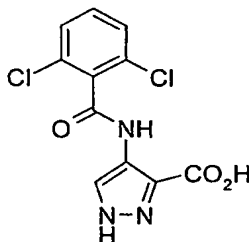
Step 2. 4-Amino-1H-pyrazole-3-carboxylic acid ethyl ester



- A mixture of 4-nitro-1H-pyrazole-3-carboxylic acid ethyl ester (6.40 g, 34.6 mmol) and 10% Pd/C (650 mg) in EtOH (150ml) was stirred under an atmosphere of hydrogen for 20 hours. The mixture was filtered through a plug of Celite, reduced *in vacuo* and dried through azeotrope with toluene to afford 4-amino-1H-pyrazole-3-carboxylic acid ethyl ester as a pink solid (5.28 g, 98%). (<sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 12.7 (s, 1H), 7.1 (s, 1H), 4.8 (s, 2H), 4.3 (q, 2H), 1.3 (t, 3H)).

Preparation III

Synthesis of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid



2,6-dichlorobenzoyl chloride (8.2 g; 39.05 mmol) was added cautiously to a solution of 4-amino-1H-pyrazole-3-carboxylic acid methyl ester (prepared in a manner analogous to Preparation II) (5 g; 35.5 mmol) and triethylamine (5.95 ml; 42.6 mmol) in dioxane (50 ml) then stirred at room temperature for 5 hours. The reaction mixture was filtered and the filtrate treated with methanol (50 ml) and 2M sodium hydroxide solution (100 ml), heated at 50 °C for 4 hours, and then evaporated. 100 ml of water was added to the residue then acidified with concentrated hydrochloric acid. The solid was collected by filtration, washed with water (100 ml) and sucked dry to give 10.05 g of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid as a pale violet solid. (LC/MS:  $R_t$  2.26,  $[M+H]^+$  300 / 302).

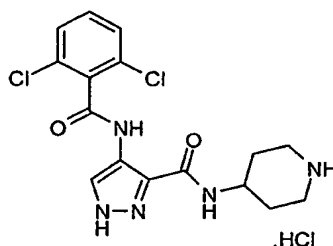
#### Preparation IV

##### Preparation of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide hydrochloride

##### Step 1. Preparation of 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid *tert*-butyl ester

A mixture of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid (6.5 g, 21.6 mmol) (Preparation III), 4-amino-1-BOC-piperidine (4.76 g, 23.8 mmol), EDC (5.0 g, 25.9 mmol) and HOBt (3.5 g, 25.9 mmol) in DMF (75 ml) was stirred at room temperature for 20 hours. The reaction mixture was reduced *in vacuo* and the residue partitioned between ethyl acetate (100 ml) and saturated aqueous sodium bicarbonate solution (100 ml). The organic layer was washed with brine, dried ( $MgSO_4$ ) and reduced *in vacuo*. The residue was taken up in 5 % MeOH-DCM (~30 ml). The insoluble material was collected by filtration and, washed with DCM and dried *in vacuo* to give 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid *tert*-butyl ester (5.38 g) as a white solid. The filtrate was reduced *in vacuo* and the residue purified by column chromatography using gradient elution 1:2 EtOAc / hexane to EtOAc to give further 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid *tert*-butyl ester (2.54 g) as a white solid.

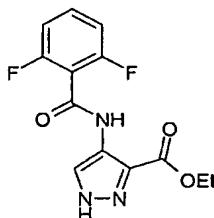
Step 2. 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide hydrochloride



A solution of 4-{{4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-  
5 piperidine-1-carboxylic acid tert-butyl ester (7.9 g) in MeOH (50 mL) and EtOAc (50ml) was treated with sat. HCl-EtOAc (40 mL) then stirred at r.t. overnight. The product did not crystallise due to the presence of methanol, and therefore the reaction mixture was evaporated and the residue triturated with EtOAc. The resulting off white solid was collected by filtration, washed with EtOAc and sucked  
10 dry on the sinter to give 6.3g of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide as the hydrochloride salt. (LC/MS:  $R_t$  5.89,  $[M+H]^+$  382 / 384).

Preparation V

Step 1. Synthesis of 4-(2,6-difluoro-benzoylamino)-1H-pyrazole-3-carboxylic acid  
15 ethyl ester

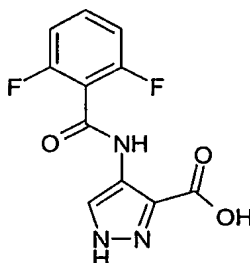


A mixture of 2,6-difluorobenzoic acid (6.32 g, 40.0 mmol), 4-amino-1H-pyrazole-3-carboxylic acid ethyl ester (5.96 g, 38.4 mmol), EDC (8.83 g, 46.1 mmol) and HOBT (6.23 g, 46.1 mmol) in DMF (100 ml) was stirred at ambient temperature for  
20 6 h. The mixture was reduced *in vacuo*, water added and the solid formed collected by filtration and air-dried to give 4-(2,6-difluoro-benzoylamino)-1H-pyrazole-3-



carboxylic acid ethyl ester as the major component of a mixture (15.3 g). (LC/MS:  $R_t$  3.11,  $[M+H]^+$  295.99).

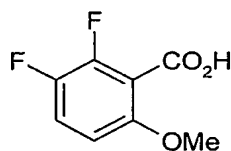
Step 2. Synthesis of 4-(2,6-difluoro-benzoylamino)-1H-pyrazole-3-carboxylic acid



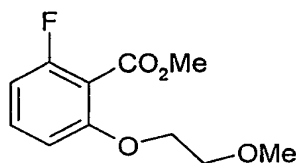
- 5 A mixture of 4-(2,6-difluoro-benzoylamino)-1H-pyrazole-3-carboxylic acid ethyl ester (10.2 g) in 2 M aqueous NaOH/MeOH (1:1, 250 ml) was stirred at ambient temperature for 14 h. Volatile materials were removed *in vacuo*, water (300 ml) added and the mixture taken to pH 5 using 1M aqueous HCl. The resultant precipitate was collected by filtration and dried through azeotrope with toluene to
- 10 afford 4-(2,6-difluoro-benzoylamino)-1H-pyrazole-3-carboxylic acid as a pink solid (5.70 g). (LC/MS:  $R_t$  2.33,  $[M+H]^+$  267.96).

Preparation VI

Synthesis of 2,3-difluoro-6-methoxy-benzoic acid

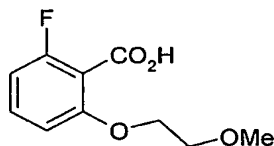


- 15 To a suspension of 2,3-difluoro-6-methoxybenzaldehyde (0.5 g, 2.91 mmol) in potassium hydroxide solution (3 g of KOH in 20 ml of water) was added hydrogen peroxide solution (27.5% w/w, 4 ml) and then heated at 70 °C for 2 hours. The reaction mixture was acidified to pH 2 with concentrated HCl, and then washed
- 20 with ethyl acetate. The organic portion was dried (MgSO<sub>4</sub>), filtered, evaporated *in vacuo* and then azeotoped with toluene to give 2,3-difluoro-6-methoxy-benzoic acid as a white solid (500 mg, 91%). (LC/MS:  $R_t$  2.08, no molecular ion observed).

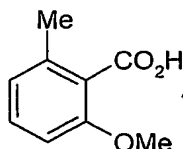
Preparation VIISynthesis of 2-fluoro-6-(2-methoxy-ethoxy)-benzoic acidStep 1: Synthesis of 2-fluoro-6-(2-methoxy-ethoxy)-benzoic acid methyl ester

- 5 To a stirred solution of methyl-6-fluorosalicylic acid (1 g, 5.88 mmoles) in DMF (10 ml) under nitrogen was added sodium hydride (282 mg, 7.06 mmoles). The resultant solution was stirred at ambient temperature for 10 minutes. 2-Chloroethyl methyl ether (591  $\mu$ l, 6.47 mmoles) was added to the reaction mixture and the resultant solution heated at 85 °C for 24 hours. The reaction mixture was diluted
- 10 with ethyl acetate, and then washed sequentially with sodium hydroxide solution (2N, twice), water (twice) and then brine solution. The organic portion was dried ( $\text{MgSO}_4$ ), filtered and evaporated *in vacuo* to give 2-fluoro-6-(2-methoxy-ethoxy)-benzoic acid methyl ester as a colourless oil (600 mg, 45%). (LC/MS:  $R_t$  2.73,  $[\text{M}+\text{H}]^+$  229.17).

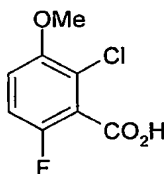
15 Step 2: Synthesis of 2-fluoro-6-(2-methoxy-ethoxy)-benzoic acid



- To a stirred solution of 2-fluoro-6-(2-methoxy-ethoxy)-benzoic acid methyl ester (600 mg, 2.63mmoles) in methanol (10 ml) was added a solution of sodium hydroxide (2N, 10 ml) and the resultant solution was heated at 50 °C for 2 hours.
- 20 The methanol was evaporated *in vacuo*. The residue was partitioned between EtOAc and water. The aqueous portion was acidified to pH 2 with HCl solution (2N) and then washed with EtOAc. This organic portion was dried ( $\text{MgSO}_4$ ), filtered and evaporated *in vacuo* to give 2-fluoro-6-(2-methoxy-ethoxy)-benzoic acid as a colourless oil (400 mg, 71%). (LC/MS:  $R_t$  2.13,  $[\text{M}+\text{H}]^+$  215.17).

Preparation VIIISynthesis of 2-methoxy-6-methyl-benzoic acid

To a solution of ethyl-2-methoxy-6-methyl-benzoate (5 g, 25.77 mmoles) in ethanol  
5 (20 ml) was added a solution of sodium hydroxide (2N, 20 ml). The reaction  
mixture was heated at 70 °C for 24 hours. Sodium hydroxide (10 g, 0.25 mmoles)  
was added to the reaction mixture and the resultant solution heated at 70 °C for  
another 4 hours. The ethanol was removed *in vacuo*. The residue was partitioned  
between ethyl acetate and water. The aqueous portion was acidified with  
10 concentrated HCl to pH 2 and then washed with ethyl acetate. This organic portion  
was dried (MgSO<sub>4</sub>), filtered and evaporated *in vacuo* to give 2-methoxy-6-methyl-  
benzoic acid as a pale yellow solid (3 g, 70%). (LC/MS: R<sub>t</sub> 2.21, [M+H]<sup>+</sup> 167.11).

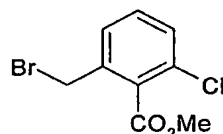
Preparation IXSynthesis of 2-chloro-6-fluoro-3-methoxy-benzoic acid.

15 To a solution of 2-chloro-4-fluoroanisole (1.9 ml, 15 mmoles) in THF (50ml) under  
nitrogen at -70 °C was added a solution of n-BuLi (1.6M, 13 ml, 21 mmoles)  
dropwise. After the addition the reaction mixture was stirred for a further 1.5 hours  
at -70 °C. Several pellets of dry ice were added to the reaction mixture and stirred  
20 for 10 minutes. The reaction mixture was then poured into a 250 ml beaker half-  
filled with dry ice. The reaction mixture was then allowed to warm to room  
temperature and partitioned between ethyl acetate and sodium hydroxide solution  
(2N). The aqueous portion was acidified with concentrated HCl to pH 2 and then

washed with ethyl acetate. This organic portion was dried ( $\text{MgSO}_4$ ), filtered and evaporated *in vacuo*. The residue was azeotroped with toluene *in vacuo* to give 2-chloro-6-fluoro-3-methoxy-benzoic acid as a white solid (2.9 g, 95%). (LC/MS:  $R_t$  1.91, no molecular ion observed).

5 Preparation X: 2-chloro-6-dimethylaminomethyl-benzoic acid.

Step 1. Synthesis of 2-bromomethyl-6-chloro-benzoic acid methyl ester.

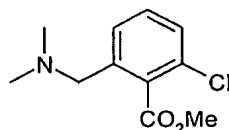


2-Chloro-6-methyl benzoic acid (5.8 g, 34.0 mmoles) was suspended in dichloromethane (100 ml). To the suspension was added DMF (250 mg, 3.4 mmoles) and then dropwise oxalyl chloride (3.9 ml, 44.2 mmoles). The resultant solution was stirred at ambient temperature for 24 hours. Further DMF (250 mg, 3.4 mmoles) and oxalyl chloride (3.9ml, 44.2 mmoles) was added to the reaction mixture, and the resultant solution stirred for a further 24 hours at ambient temperature. The reaction mixture was concentrated *in vacuo*. The residue was dissolved in methanol (100 ml) and stirred at ambient temperature for 3 hours. The reaction mixture was concentrated *in vacuo*. The residue was partitioned between ethyl acetate and sodium hydroxide solution (2N). The organic portion was washed with sodium hydroxide solution (2N), and then brine, dried ( $\text{MgSO}_4$ ), filtered and the concentrated *in vacuo*. The residue was purified by flash chromatography (eluent 3:5 EtOAc:Petrol to give 2-chloro-6-methyl-benzoic acid methyl ester as a yellow oil (4.5g, 72%).

To a solution of 2-chloro-6-methyl-benzoic acid methyl ester (4.5 g, 24.4 mmoles) in  $\text{CCl}_4$  (50 ml) was added N-bromosuccinimide (4.3 g, 24.4 mmoles) and benzoyl peroxide (50 mg, 0.2 mmoles), and the resultant suspension was heated at 70 °C for 24 hours. Further benzoyl chloride (50 mg, 0.2 mmoles) was added to the reaction mixture and stirred at 70 °C for a further 3 hours. The reaction mixture was cooled to ambient temperature and filtered. The filtrate was concentrated *in vacuo*. The residue was purified by flash chromatography (Biotage SP4, 40M, flow rate 40

ml/min, gradient Petrol to 2:3 EtOAc:Petrol) to give 2-bromomethyl-6-chloro-benzoic acid methyl ester as a yellow oil (6.2g, 97%).

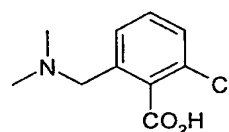
Step 2. Synthesis of 2-chloro-6-dimethylaminomethyl-benzoic acid methyl ester.



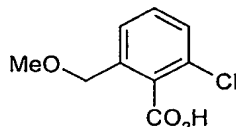
- 5 A solution of 2-bromomethyl-6-chloro-benzoic acid methyl ester (2 g, 7.6 mmoles) in an ethanolic solution of dimethylamine (5.6M, 13.6 ml) was stirred at ambient temperature for 24 hours. The reaction mixture was concentrated *in vacuo*. The residue was partitioned between ethyl acetate and hydrochloric acid solution (1N). The aqueous phase was basified with sodium hydroxide solution (2N) to pH 12 and  
10 then partitioned against ethyl acetate. The organic portion was dried (MgSO<sub>4</sub>), filtered and concentrated *in vacuo* to give 2-chloro-6-dimethylaminomethyl-benzoic acid methyl ester as a colourless oil (300 mg, 17%). (LC/MS: R<sub>t</sub> 1.55, [M+H]<sup>+</sup>228.10).

Step 3. Synthesis of 2-chloro-6-dimethylaminomethyl-benzoic acid.

15



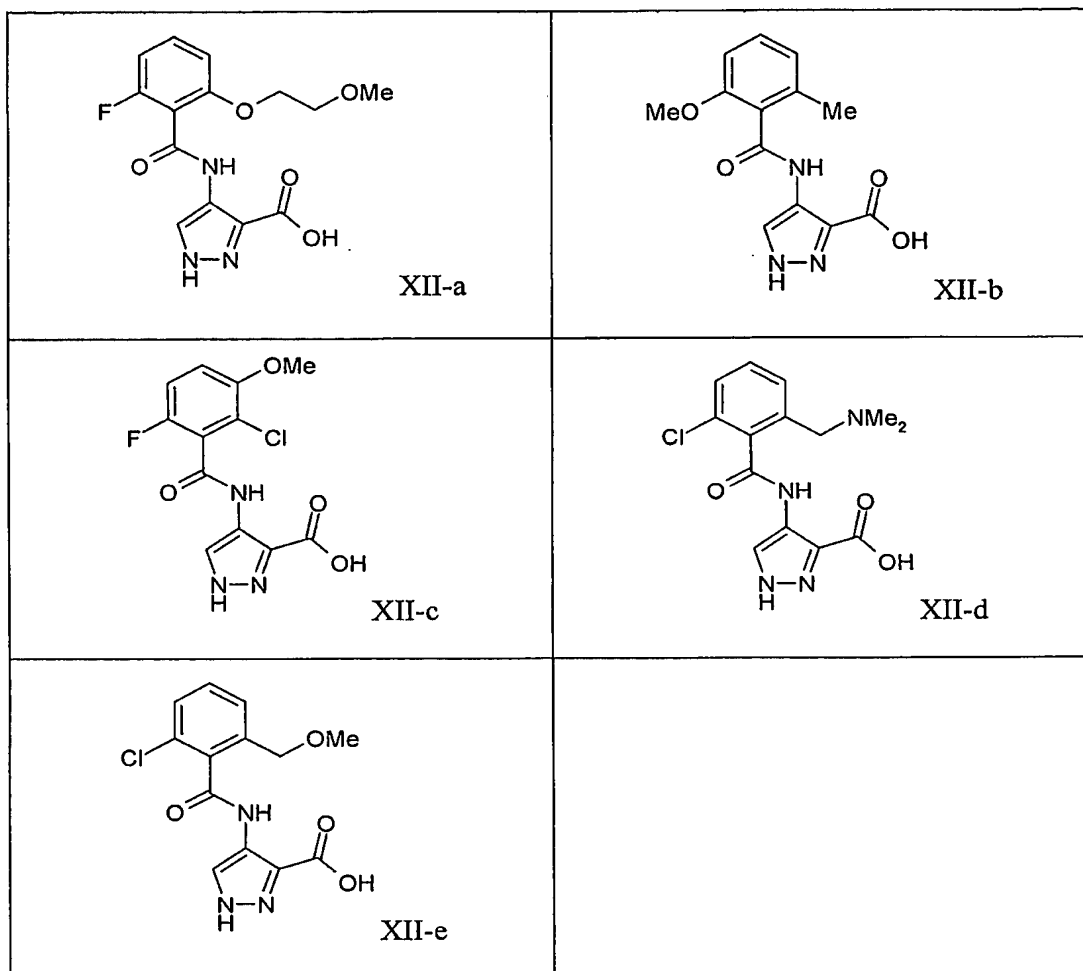
- To a solution of 2-chloro-6-dimethylaminomethyl-benzoic acid methyl ester (300 mg, 1.32 mmoles) in methanol (10 ml) was added sodium hydroxide solution (2N, 10 ml), and the resultant solution was stirred at ambient temperature for 1 hour, and  
20 then at 50 °C for 72 hours. Methanol was evaporated *in vacuo*, the residue was acidified to pH 4 with hydrochloric acid (2N) and then concentrated *in vacuo*. The residue was co-evaporated *in vacuo* with methanol and toluene. The residue was triturated with methanol and filtered. The filtrate was evaporated *in vacuo*, triturated with 1:4 MeOH:EtOAc and then filtered. The filtrate was evaporated *in vacuo* to give 2-chloro-6-dimethylaminomethyl-benzoic acid as a white solid (200  
25 mg, 71%).

Preparation XI: 2-chloro-6-methoxymethyl-benzoic acid.

To a solution of 2-bromomethyl-6-chloro-benzoic acid methyl ester (2 g, 7.60  
5 mmoles) in methanol (20 ml) under nitrogen was added sodium hydride (912 mg,  
22.80 mmoles). The reaction mixture was heated at 50 °C for 2 hours. After cooling  
to ambient temperature the reaction mixture was partitioned between ethyl acetate  
and water. The organic portion was dried (MgSO<sub>4</sub>), filtered and evaporated *in*  
*vacuo*. The residue was purified by flash chromatography (Biotage SP4, 40S, flow  
10 rate 40 ml/min, gradient 3:17 EtOAc:Petrol to 1:1 EtOAc:Petrol) to give 2-chloro-  
6-methoxymethyl-benzoic acid methyl ester as a colourless oil (400 mg, 25%).  
To a solution of 2-chloro-6-methoxymethyl-benzoic acid methyl ester (400 mg,  
1.86 mmoles) in methanol (10 ml) was added a solution of sodium hydroxide (2N,  
10 ml) and the resultant solution stirred at 50 °C for 24 hours. Further sodium  
15 hydroxide solution (2N, 10 ml) was added and the reaction mixture heated at 50 °C  
for a further 24 hours. Methanol was removed by evaporation *in vacuo*. The residue  
was partitioned between ethyl acetate and water. The aqueous portion was acidified  
to pH 2 with concentrated hydrochloric acid and then partitioned against ethyl  
acetate. The organic portion was dried (MgSO<sub>4</sub>), filtered and evaporated *in vacuo* to  
20 give 2-chloro-6-methoxymethyl-benzoic acid as a white solid (340 mg, 91%).  
(LC/MS: R<sub>t</sub> 2.23, [M+Na]<sup>+</sup> 223.11).

Preparations XII-a to XII-e:

The substituted benzoic acids of Preparations VII to XI can be reacted with 4-  
amino-1H-pyrazole-3-carboxylic acid ethyl ester, in the presence of EDC and HOBt  
25 in DMF in the manner described in Preparation V to give the respective amide  
esters which can then be subjected to hydrolysis as described in Preparation V, step  
2 to give the carboxylic acids XII-a to XII-e below.

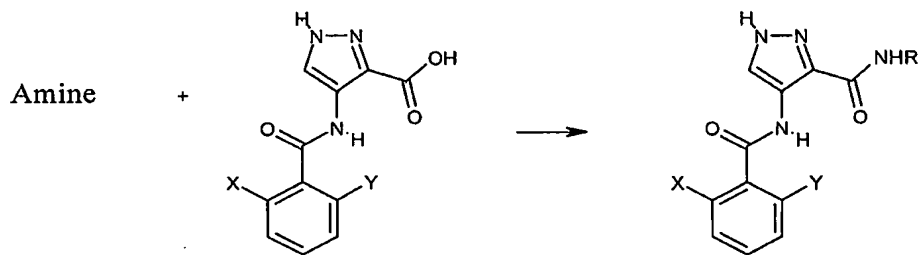


The carboxylic acids XII-a to XII-e can be used in General Procedure A below to make compounds of the formula (I). Alternatively, they can be converted to the corresponding piperidin-4-ylamide by the method of Preparation IV above and then further converted to compounds of the formula (I) by following the methods described in General Procedure B and the Examples below.

## **General Procedures**

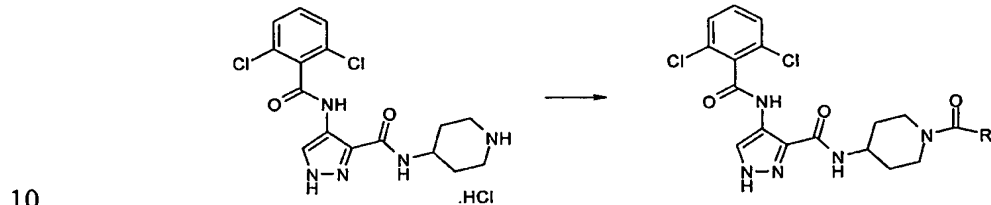
### **General Procedure A**

#### **Preparation of Amide from Pyrazole Carboxylic Acid**



- A mixture of the appropriate benzoylamino-1H-pyrazole-3-carboxylic acid (0.50 mmol), EDAC (104 mg, 0.54 mmol), HOBt (73.0 mg, 0.54 mmol) and the corresponding amine (0.45 mmol) in DMF (3 ml) was stirred at ambient temperature for 16 hours. The mixture was reduced *in vacuo*, the residue taken up in EtOAc and washed successively with saturated aqueous sodium bicarbonate, water and brine. The organic portion was dried (MgSO<sub>4</sub>) and reduced *in vacuo* to give the desired product.

#### General Procedure B



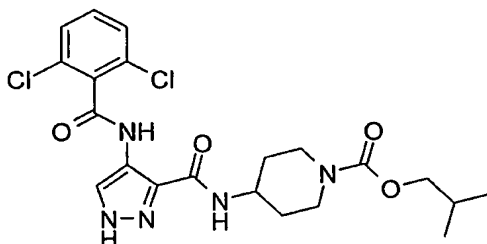
- To a mixture of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide hydrochloride (Preparation IV) (1 mmol) in acetonitrile (10 ml) was added diisopropylethylamine (2.2 mmol) followed by the appropriate acid chloride (1 mmol). The mixture was stirred at ambient temperature for 16 hours then reduced *in vacuo*. The residue was partitioned between ethyl acetate and water, the layers separated and the organic portion washed with brine, dried (MgSO<sub>4</sub>) and reduced *in vacuo* to give the desired amide derivative.

#### EXAMPLES

##### EXAMPLE 1



Synthesis of 4-{{4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid isobutyl ester

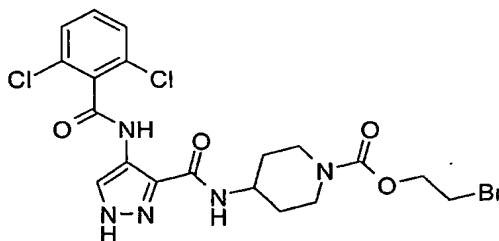


To a suspension of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid  
5 piperidin-4-ylamide hydrochloride (Preparation IV) (0.5 g, 1.2 mmol), N,N-diisopropylethylamine (0.418 ml, 2.4 mmol) in THF (3 ml) was added isobutyl chloroformate (0.156 ml, 1.2 mmol) at room temperature. The reaction mixture was stirred for 3 hours and evaporated *in vacuo*. The crude residue was diluted with EtOAc (30ml), washed with water (x3), dried (MgSO<sub>4</sub>), filtered and evaporated *in*  
10 *vacuo*. The crude product was purified by flash column chromatography on silica eluting with ethyl acetate: hexane (1:1) to give 4-{{4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid isobutyl ester as a white solid (0.18 g, 31% ). (LC/MS: R<sub>t</sub> 3.39, [M+H]<sup>+</sup> 482).

EXAMPLE 2

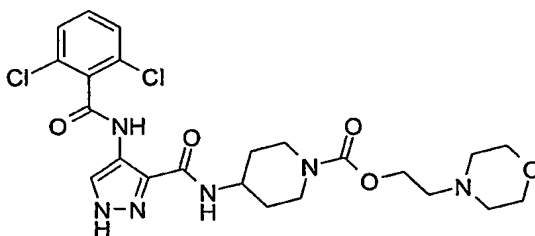
15 Synthesis of 4-{{4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-morpholin-4-yl-ethyl ester

2A. Synthesis of 4-{{4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-bromo-ethyl ester



The experiment was carried out in a manner analogous to that of Example 1 using 2-bromoethyl chloroformate (0.761 ml, 7.1 mmol) as a reagent. The title product was isolated as a white solid (3.7 g, 98%). (LC/MS:  $R_t$  3.20,  $[M+H]^+$  534).

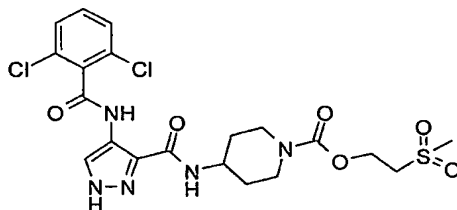
5 2B. Synthesis of 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-morpholin-4-yl-ethyl ester



4-{[4-(2,6-Dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-bromo-ethyl ester (0.5g, 0.93 mmol) was dissolved in THF (3 ml), and then diisopropylethylamine (0.243 ml, 1.4 mmol) was added followed by morpholine (0.081 ml, 0.93 mmol). The mixture was refluxed for 19 hours and then filtered to remove salts, and the crude product was purified by flash chromatography on silica eluting with DMAW 240 to afford the title compound as white solid (0.2g, 40%) (LC/MS:  $R_t$  2.23,  $[M+H]^+$  539).

EXAMPLE 3

15 Synthesis of 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-methanesulphonyl-ethyl ester

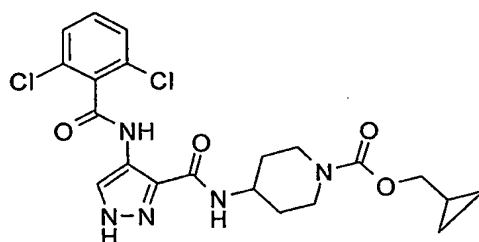


The experiment was carried out in a manner analogous to that of Example 1 using 2-(methyl sulphonyl-ethyl-4-nitro-phenyl) carbamate (214 mg, 0.71 mmol) as a

reagent in place of chloroformate. The title compound was isolated as a white solid (0.3 g, 80%). (LC/MS:  $R_t$  2.58,  $[M+H]^+$  532).

#### EXAMPLE 4

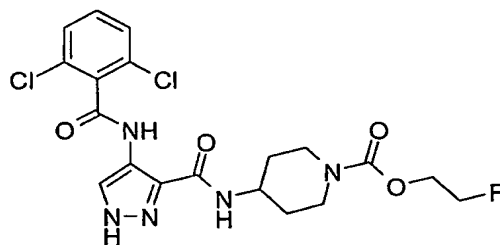
Synthesis of 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid cyclopropyl methyl ester



Cyclopropyl carbinol (0.049 ml, 0.71 mmol) was dissolved in THF (4ml), and triethylamine (0.320 ml, 2.13 mmol) was added followed by 4-nitro-phenyl chloroformate (0.143 g, 0.71 mmol). The reaction mixture was stirred for 20 hours and then 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide hydrochloride (Preparation IV) (0.3g, 0.71mmol) was added. The mixture was stirred at room temperature for another 2 hours. The resulting solid was filtered off from solution and the filtrate was evaporated *in vacuo* and purified by preparative LC/MS to afford the title compound as a white solid (0.1 g, 30%). (LC/MS:  $R_t$  3.09,  $[M+H]^+$  480).

#### EXAMPLE 5

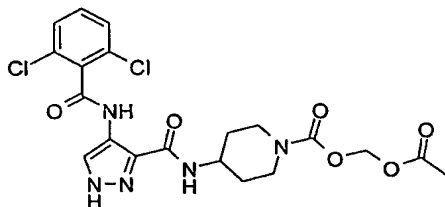
Synthesis of 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-fluoro-ethyl ester



4-{{[4-(2,6-Dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 2-bromo-ethyl ester (Example 2A) (0.3 g, 0.56mmol) was dissolved in THF (4 ml) and tetrabutylammonium fluoride (1M in THF, 5% wt water), (0.933 ml, 0.84 mmol) and Hunnig's base (0.098 ml, 0.56 mmol) were added, and the mixture was refluxed for 2 hours before evaporating the solvent *in vacuo*. The reaction mixture was diluted with EtOAc (50 ml) and washed with water (x3), brine, dried (MgSO<sub>4</sub>), filtered and evaporated *in vacuo*. The residue was purified by preparative LCMS to afford the title compound as a white solid (0.08 g, 30% yield) (LC/MS: R<sub>t</sub> 2.48, [M+H]<sup>+</sup> 470/472).

#### 10 EXAMPLE 6

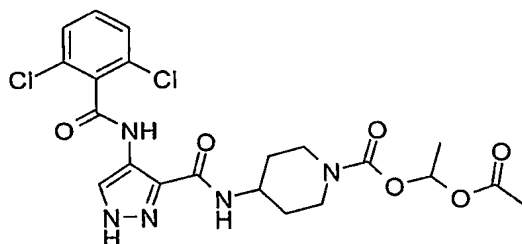
Synthesis of 4-{{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid acetoxymethyl ester}



To a suspension of 4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carboxylic acid piperidin-4-ylamide hydrochloride (Preparation IV) (0.3 g, 0.71 mmol), N,N-diisopropylethylamine (0.371 ml, 2.13 mmol) in THF (3 ml) was added chloromethyl chloroformate (0.092 ml, 0.71 mmol) at room temperature. The reaction mixture was stirred for 1 hour, the solvent was reduced *in vacuo* and then potassium acetate (anhydrous) (0.209 g, 2.13 mmol) was added to the crude dissolved in DMF (5 ml) and heated to 110 °C for a period of 20 hours. After reducing the solvent *in vacuo*, the reaction mixture was diluted with EtOAc (50 ml) and washed with water (x2), brine, dried (MgSO<sub>4</sub>), filtered and evaporated *in vacuo*. The residue was purified by preparative LCMS to afford the title compound as a white solid (0.08 g, 22% yield) (LC/MS: R<sub>t</sub> 2.45, [M+H]<sup>+</sup> 424).

#### 25 EXAMPLE 7

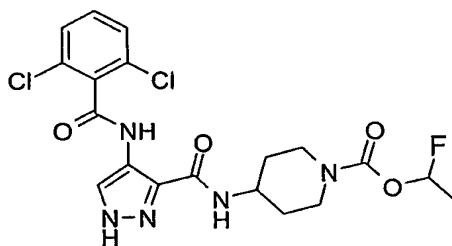
Synthesis of 4-{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 1-acetoxy-ethyl ester



4-{[4-(2,6-Dichloro-benzoylamino)-1-H-pyrazole-3-carbonyl]-amino}-piperidine-  
 5 1-carboxylic acid 1-chloro-ethyl ester (prepared as for intermediate in Example 6  
 but using triethylamine and 1-chloroethylformate at 60°C instead of N,N-  
 diisopropylethylamine and chloromethyl chloroformate) (0.1 g, 0.2 mmol) was  
 dissolved in acetic acid (5ml), mercuric acetate (0.51 g, 1.6 mmol) was added and  
 the reaction mixture was heated for a period of 3 hours. The solvent was then  
 10 removed *in vacuo* and the crude product was partitioned between EtOAc and water,  
 following which the organic phase was dried over MgSO<sub>4</sub>, filtered and evaporated  
*in vacuo*. The residue was purified by by flash chromatography over silica eluting  
 with EtOAc: Hexane 1:1 to afford the title compound as white solid (0.04 g, 40%)  
 (LC/MS: R<sub>t</sub> 2.91, [M+H]<sup>+</sup> 512).

15 EXAMPLE 8

Synthesis of 4-{[4-(2,6-dichloro-benzoylamino)-1-H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 1-fluoro-ethyl ester

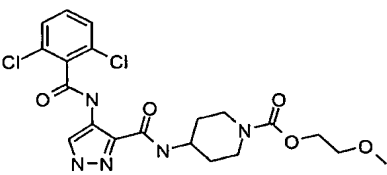
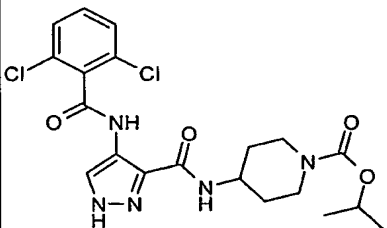


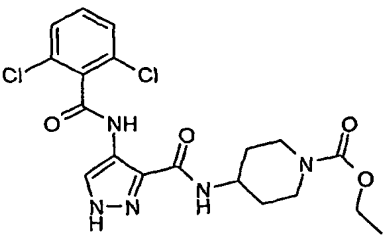
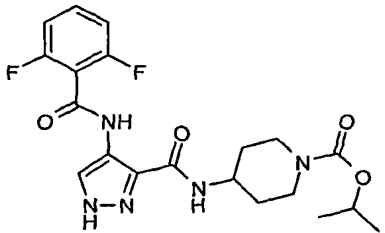
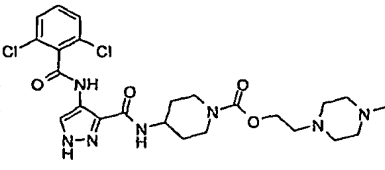
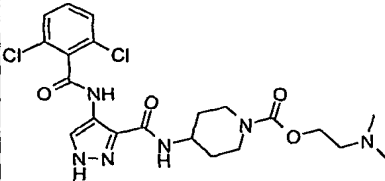
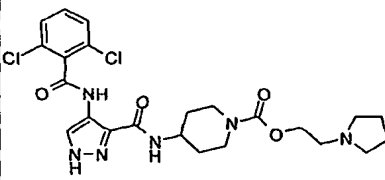
4-{[4-(2,6-Dichloro-benzoylamino)-1-H-pyrazole-3-carbonyl]-amino}-piperidine-  
 20 1-carboxylic acid 1-chloro-ethyl ester (prepared as in Example 7) (0.1 g, 0.2 mmol)

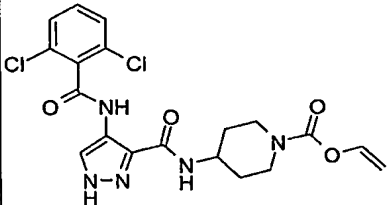
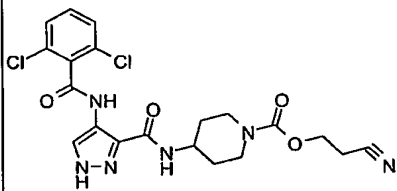
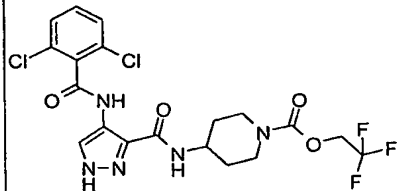
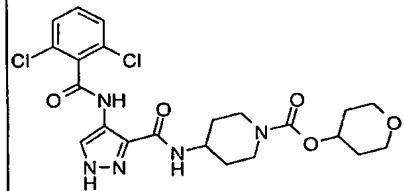
- was dissolved in THF (4ml), and 1 ml of tetrabutylammonium fluoride (1M in THF, 5% wt water) was added. The reaction mixture was heated at 60 °C for a period of 2 hours, and then the solvent was removed *in vacuo*. The crude product was dissolved in EtOAc, solids were removed by filtration and the filtrate was
- 5 evaporated *in vacuo* to give a residue which was purified by by flash chromatography on silica eluting with EtOAc: hexane 1:2 to afford the title compound as white solid (0.02 g, 21%) (LC/MS:  $R_t$  2.95,  $[M+H]^+$  572).

### EXAMPLES 9 – 37

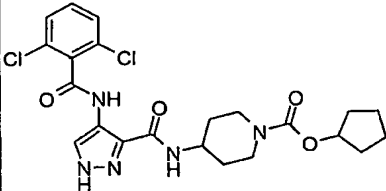
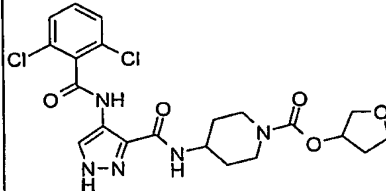
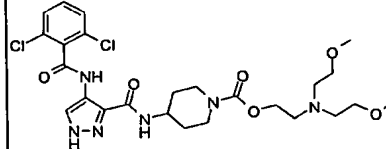
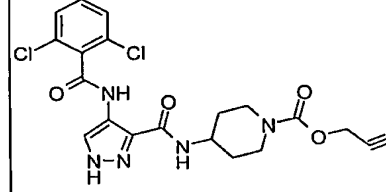
- By using the methods set out above, the compounds of Examples 9 to 37 were
- 10 prepared. In the Table below, the general synthetic route used in each case, together with any modifications (if any) to the reactants and conditions, are given for each example.

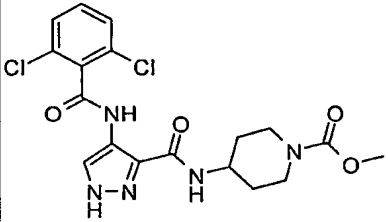
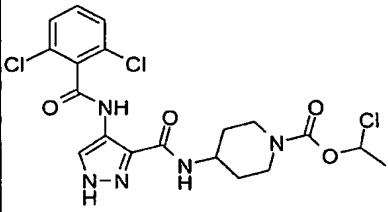
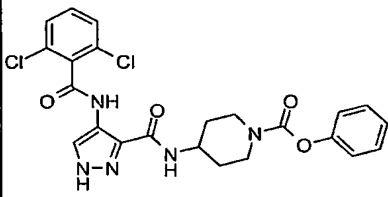
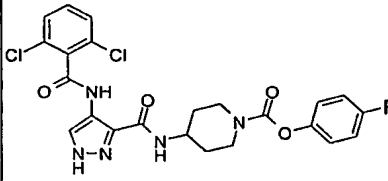
Example	Structure	Method of Preparation	LCMS
9		General procedure B (using 2-methoxyethyl chloroformate).  Purification by column chromatography MeOH / DCM (2% then 5%)	$[M+H]^+$ 484  $R_t$ 2.72
10		General Procedure A using 4-amino-piperidine-1-carboxylic acid isopropyl ester (Preparation I).  Purification by preparative LC/MS	$[M+H]^+$ 468  $R_t$ 3.06

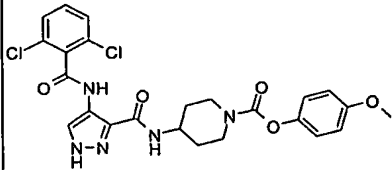
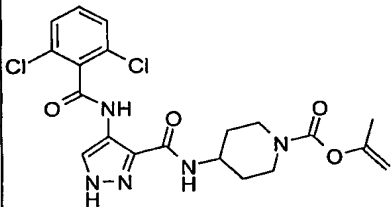
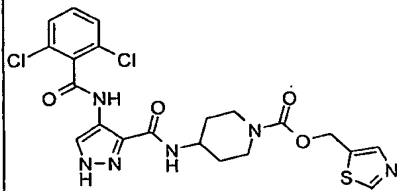
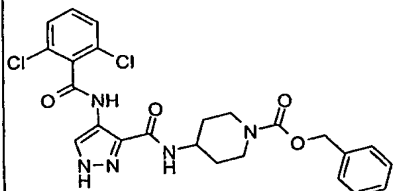
Example	Structure	Method of Preparation	LCMS
11		General Procedure A using 4-amino-piperidine-1-carboxylic acid ethyl ester.  Purification by column chromatography [P.E.-EtOAc (1:1 – 0:1)]	$[M+H]^+$ 454 $R_t$ 2.90
12		General Procedure A using 4-amino-piperidine-1-carboxylic acid isopropyl ester (Preparation I).  Purification by preparative LC/MS	$[M+H]^+$ 436 $R_t$ 2.87
13		As per Example 2 but using N-methylpiperazine.  Purification by preparative LCMS	$[M+H]^+$ 551 $R_t$ 2.78
14		As per Example 2 but using dimethylamine.  Purification by preparative LCMS	$[M+H]^+$ 496 $R_t$ 1.97
15		As per Example 2 but using pyrrolidine.  Purification by preparative LCMS	$[M+H]^+$ 522 $R_t$ 2.04

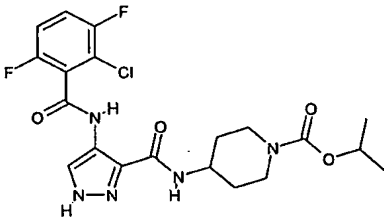
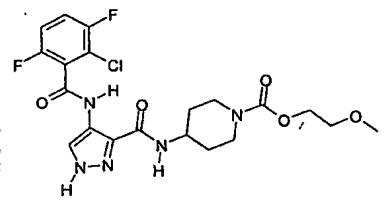
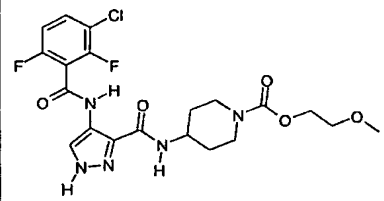
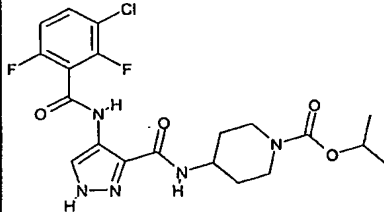
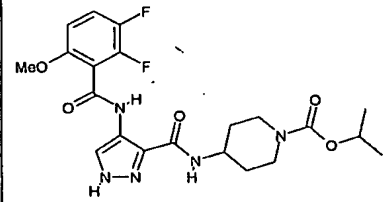
Example	Structure	Method of Preparation	LCMS
16		As per Example 1 using vinyl chloroformate.	$[M+H]^+$ 452 $R_t$ 2.98
17		As per Example 4 using 3-hydroxypropionitrile.	$[M+H]^+$ 479 $R_t$ 2.72
18		As per Example 4 using 2,2,2-trifluoroethanol.  The crude product was partitioned between EtOAc and 2N NaOH, and the organic phase was washed twice with water - no further purification was needed	$[M+H]^+$ 508 $R_t$ 11.67
19		As per Example 4 using 4-hydroxytetrahydropyran.	$[M+H]^+$ 510 $R_t$ 2.80

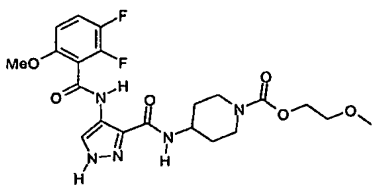


Example	Structure	Method of Preparation	LCMS
20		As per Example 4 using cyclopentanol.  The crude product was partitioned between EtOAc and 2N NaOH, and the organic phase was washed twice with water - no further purification was needed	$[M+H]^+$ 494 $R_t$ 3.23
21		As per Example 4 using 3-hydroxytetrahydrofuran. The crude product was partitioned between EtOAc and 2N NaOH, and the organic phase was washed twice with water - further purification was carried out by preparative LC/MS	$[M+H]^+$ 496 $R_t$ 2.72
22		As per Example 2 using bis-(2-methoxyethyl)amine.  purification by preparative LC/MS	$[M+H]^+$ 552 $R_t$ 2.78
23		As per Example 4 using 2-hydroxyacetonitrile.  The crude product was partitioned between EtOAc and 2N NaOH, and the organic phase was washed twice with water - no further purification was needed	$[M+H]^+$ 465 $R_t$ 2.78

Example	Structure	Method of Preparation	LCMS
24		As per Example 1 using methyl chloroformate.	$[M+H]^+$ 440 $R_t$ 2.92
25		As per Example 1 using 1-chloroethyl chloroformate. $Et_3N$ was used as a base, and the reaction mixture was refluxed for 20 hours	$[M+H]^+$ 488 $R_t$ 2.30
26		As per Example 1 using phenyl chloroformate. purification by preparative LCMS	$[M+H]^+$ 502 $R_t$ 3.13
27		As per Example 1 using 4-fluorophenyl chloroformate. purification by preparative LCMS	$[M+H]^+$ 520 $R_t$ 3.18

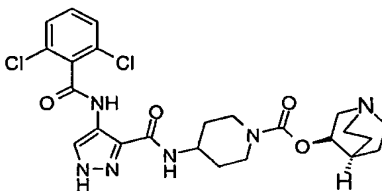
Example	Structure	Method of Preparation	LCMS
28		As per Example 4 using 4-methoxyphenol.  The crude product was partitioned between EtOAc and 2N NaOH, and the organic phase was washed twice with water and dried over MgSO <sub>4</sub> .  Purification by column chromatography  [P.E.-EtOAc (1:1 )]	[M+H] <sup>+</sup> 532 R <sub>t</sub> 3.11
29		As per Example 1 using (1-methyl)vinyl chloroformate.  purification by preparative LCMS	[M+H] <sup>+</sup> 466 R <sub>t</sub> 2.95
30		As per Example 4 using thiazole-5-methanol.  The crude product was partitioned between EtOAc and 2N NaOH, and the organic phase was washed twice with water and dried over MgSO <sub>4</sub> .  Purification by column chromatography  [P.E.-EtOAc (2:1- 1:1 )]	[M+H] <sup>+</sup> 523 R <sub>t</sub> 9.98
31		As per Example 1 using benzyl chloroformate.  Purification by column chromatography  [P.E.-EtOAc (1:1 )]	[M+H] <sup>+</sup> 516 R <sub>t</sub> 3.20

Example	Structure	Method of Preparation	LCMS
32		Preparation III then IV, except using 2-chloro-3,6-difluorobenzoyl chloride, followed by Example 1, except using isopropylchloroformate, and DMF used instead of THF	[M+H] <sup>+</sup> 470.17 Rt 3.04
33		Preparation III then IV, except using 2-chloro-3,6-difluorobenzoyl chloride, followed by Example 1, except using 2-methoxyethylchloroformate, and DMF used instead of THF	[M+H] <sup>+</sup> 486.15 Rt 2.71
34		Preparation III then IV, except using 3-chloro-2,6-difluorobenzoyl chloride, followed by Example 1, except using 2-methoxyethylchloroformate, and DMF used instead of THF	[M+H] <sup>+</sup> 486.15 Rt 2.81
35		Preparation III then IV, except using 3-chloro-2,6-difluorobenzoyl chloride, followed by Example 1, except using isopropyl chloroformate, and DMF used instead of THF	[M+H] <sup>+</sup> 470.14 Rt 3.11
36		Preparation V then IV, except using 2,3-difluoro-6-methoxy-benzoic acid (Preparation XIV), followed by Example 1, except using isopropyl chloroformate, and DMF used instead of THF	[M+H] <sup>+</sup> 466.18 Rt 2.94

Example	Structure	Method of Preparation	LCMS
37		Preparation V then IV, except using 2,3-difluoro-6-methoxy-benzoic acid (Preparation XIV), followed by Example 1, except using 2-methoxyethylchloroformate, and DMF used instead of THF	[M+H] <sup>+</sup> 482.17 Rt 2.64

EXAMPLE 38

4-({[4-(2,6-Dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-piperidine-1-carboxylic acid 1-aza-bicyclo[2.2.2]oct-3-yl ester



- 5 The title compound can be prepared by the method of Example 4 but using R-3-quinuclidinol instead of cyclopropyl carbinol. Purification can be carried out by column chromatography using P.E.-EtOAc (1:1 ) as the eluent.

BIOLOGICAL ACTIVITYEXAMPLE 3910 Measurement of Activated CDK2/CyclinA Kinase Inhibitory Activity Assay (IC<sub>50</sub>)

Compounds of the invention were tested for kinase inhibitory activity using the following protocol.

Activated CDK2/CyclinA (Brown et al, Nat. Cell Biol., 1, pp438-443, 1999; Lowe, E.D., et al Biochemistry, 41, pp15625-15634, 2002) is diluted to 125pM in 2.5X

- 15 strength assay buffer (50mM MOPS pH 7.2, 62.5 mM β-glycerophosphate, 12.5mM EDTA, 37.5mM MgCl<sub>2</sub>, 112.5 mM ATP, 2.5 mM DTT, 2.5 mM sodium

orthovanadate, 0.25 mg/ml bovine serum albumin), and 10  $\mu$ l mixed with 10  $\mu$ l of histone substrate mix (60  $\mu$ l bovine histone H1 (Upstate Biotechnology, 5 mg/ml), 940  $\mu$ l H<sub>2</sub>O, 35  $\mu$ Ci  $\gamma^{33}$ P-ATP) and added to 96 well plates along with 5  $\mu$ l of various dilutions of the test compound in DMSO (up to 2.5%). The reaction is  
5 allowed to proceed for 2 to 4 hours before being stopped with an excess of orthophosphoric acid (5  $\mu$ l at 2%).  $\gamma^{33}$ P-ATP which remains unincorporated into the histone H1 is separated from phosphorylated histone H1 on a Millipore MAPH filter plate. The wells of the MAPH plate are wetted with 0.5% orthophosphoric acid, and then the results of the reaction are filtered with a Millipore vacuum  
10 filtration unit through the wells. Following filtration, the residue is washed twice with 200  $\mu$ l of 0.5% orthophosphoric acid. Once the filters have dried, 20  $\mu$ l of Microscint 20 scintillant is added, and then counted on a Packard Topcount for 30 seconds.

The % inhibition of the CDK2 activity is calculated and plotted in order to  
15 determine the concentration of test compound required to inhibit 50% of the CDK2 activity (IC<sub>50</sub>).

#### EXAMPLE 40

##### Measurement of Activated CDK1/CyclinB Kinase Inhibitory Activity Assay (IC<sub>50</sub>)

CDK1/CyclinB assay is identical to the CDK2/CyclinA above except that  
20 CDK1/CyclinB (Upstate Discovery) is used and the enzyme is diluted to 6.25nM.

Compounds of invention have IC<sub>50</sub> values less than 20  $\mu$ M or provide at least 50% inhibition of the CDK2 activity at a concentration of 10  $\mu$ M. Preferred compounds of invention have IC<sub>50</sub> values of less than 1  $\mu$ M in the CDK2 or CDK1 assay.

#### EXAMPLE 41

##### GSK3-B Kinase Inhibitory Activity Assay

25 GSK3- $\beta$  (Upstate Discovery) are diluted to 7.5nM in 25mM MOPS, pH 7.00, 25mg/ml BSA, 0.0025% Brij-35, 1.25% glycerol, 0.5mM EDTA, 25mM MgCl<sub>2</sub>, 0.025%  $\beta$ -mercaptoethanol, 37.5mM ATP and and 10  $\mu$ l mixed with 10  $\mu$ l of

substrate mix. The substrate mix for GSK3- $\beta$  is 12.5  $\mu$ M phospho-glycogen synthase peptide-2 (Upstate Discovery) in 1ml of water with 35  $\mu$ Ci  $\gamma^{33}\text{P}$ -ATP. Enzyme and substrate are added to 96 well plates along with 5  $\mu$ l of various dilutions of the test compound in DMSO (up to 2.5%). The reaction is allowed to proceed for 3 hours (GSK3- $\beta$ ) before being stopped with an excess of ortho-phosphoric acid (5  $\mu$ l at 2%). The filtration procedure is as for Activated CDK2/CyclinA assay above.

#### EXAMPLE 42

##### Anti-proliferative Activity

10 The anti-proliferative activities of compounds of the invention can be determined by measuring the ability of the compounds to inhibition of cell growth in a number of cell lines. Inhibition of cell growth is measured using the Alamar Blue assay (Nociari, M. M, Shalev, A., Benias, P., Russo, C. *Journal of Immunological Methods* 1998, 213, 157-167). The method is based on the ability of viable cells to reduce resazurin to its fluorescent product resorufin. For each proliferation assay 15 cells are plated onto 96 well plates and allowed to recover for 16 hours prior to the addition of inhibitor compounds for a further 72 hours. At the end of the incubation period 10% (v/v) Alamar Blue is added and incubated for a further 6 hours prior to determination of fluorescent product at 535nm ex / 590nm em. In the case of the 20 non-proliferating cell assay cells are maintained at confluence for 96 hour prior to the addition of inhibitor compounds for a further 72 hours. The number of viable cells is determined by Alamar Blue assay as before. Cell lines can be obtained from the ECACC (European Collection of cell Cultures).

In particular, compounds of the invention were tested against the HCT-116 cell line 25 (ECACC Reference: 91091005) derived from human colon carcinoma.

Many compounds of the invention were found to have  $\text{IC}_{50}$  values of less than 20  $\mu$ M in this assay and preferred compounds have  $\text{IC}_{50}$  values of less than 1  $\mu$ M.

#### EXAMPLE 43

### Determination of Oral Bioavailability

The oral bioavailability of the compounds of formula (I) may be determined as follows.

The test compound is administered as a solution both I.V. and orally to balb/c mice  
5 at the following dose level and dose formulations;

- 1mg/kg IV formulated in 10%DMSO/90% (2-hydroxypropyl)- $\beta$ -cyclodextrin (25% w/v); and
- 5mg/kg PO formulated in 10% DMSO/20%water/70%PEG200.

At various time points after dosing, blood samples are taken in heparinised tubes  
10 and the plasma fraction is collected for analysis. The analysis is undertaken by LC-MS/MS after protein precipitation and the samples are quantified by comparison with a standard calibration line constructed for the test compound. The area under the curve (AUC) is calculated from the plasma level vs time profile by standard methods. The oral bioavailability as a percentage is calculated from the following  
15 equation:

$$\frac{AUC_{po}}{AUC_{iv}} \times \frac{dose_{IV}}{dose_{PO}} \times 100$$

### EXAMPLE 44

#### PHARMACEUTICAL FORMULATIONS

##### 20 (i) Tablet Formulation

A tablet composition containing a compound of the formula (I) is prepared by mixing 50 mg of the compound with 197 mg of lactose (BP) as diluent, and 3 mg magnesium stearate as a lubricant and compressing to form a tablet in known manner.

##### 25 (ii) Capsule Formulation



A capsule formulation is prepared by mixing 100 mg of a compound of the formula (I) with 100 mg lactose and filling the resulting mixture into standard opaque hard gelatin capsules.

(iii) Injectable Formulation I

- 5 A parenteral composition for administration by injection can be prepared by dissolving a compound of the formula (I) (e.g. in a salt form) in water containing 10% propylene glycol to give a concentration of active compound of 1.5 % by weight. The solution is then sterilised by filtration, filled into an ampoule and sealed.

10 (iv) Injectable Formulation II

A parenteral composition for injection is prepared by dissolving in water a compound of the formula (I) (e.g. in salt form) (2 mg/ml) and mannitol (50 mg/ml), sterile filtering the solution and filling into sealable 1 ml vials or ampoules.

v) Injectable formulation III

- 15 A formulation for i.v. delivery by injection or infusion can be prepared by dissolving the compound of formula (I) (e.g. in a salt form) in water at 20 mg/ml. The vial is then sealed and sterilised by autoclaving.

vi) Injectable formulation IV

- 20 A formulation for i.v. delivery by injection or infusion can be prepared by dissolving the compound of formula (I) (e.g. in a salt form) in water containing a buffer (e.g. 0.2 M acetate pH 4.6) at 20mg/ml. The vial is then sealed and sterilised by autoclaving.

(vii) Subcutaneous Injection Formulation

- 25 A composition for sub-cutaneous administration is prepared by mixing a compound of the formula (I) with pharmaceutical grade corn oil to give a concentration of 5 mg/ml. The composition is sterilised and filled into a suitable container.

viii) Lyophilised formulation

- Aliquots of formulated compound of formula (I) are put into 50 mL vials and lyophilized. During lyophilisation, the compositions are frozen using a one-step freezing protocol at (−45 °C). The temperature is raised to −10 °C for annealing, then lowered to freezing at −45 °C, followed by primary drying at +25 °C for approximately 3400 minutes, followed by a secondary drying with increased steps if temperature to 50 °C. The pressure during primary and secondary drying is set at 80 millitor.

(ix) Solid Solution Formulation

- 10 The compound of formula (I) is dissolved in dichloromethane/ethanol (1:1) at a concentration of 5 to 50 % (for example 16 or 20 %) and the solution is spray dried using conditions corresponding to those set out in the table below. The data given in the table include the concentration of the compound of Formula (I), and the inlet and outlet temperatures of the spray drier.

conc. sol. w/vol	temperature of inlet	temperature of outlet
16 %	140 °C	80 °C
16 %	180 °C	80 °C
20 %	160 °C	80 °C
20 %	180 °C	100 °C

- 15 A solid solution of the compound of formula (I) and PVP can either be filled directly into hard gelatin or HPMC (hydroxypropylmethyl cellulose) capsules, or be mixed with pharmaceutically acceptable excipients such as bulking agents, glidants or dispersants. The capsules could contain the compound of formula (I) in amounts of between 2 mg and 200 mg, for example 10, 20 and 80 mg.

20 EXAMPLE 45

Determination of Antifungal Activity

The antifungal activity of the compounds of the formula (I) can be determined using the following protocol.

The compounds are tested against a panel of fungi including *Candida parapsilosis*, *Candida tropicalis*, *Candida albicans*-ATCC 36082 and *Cryptococcus neoformans*.

- 5 The test organisms are maintained on Sabourahd Dextrose Agar slants at 4 °C. Singlet suspensions of each organism are prepared by growing the yeast overnight at 27 °C on a rotating drum in yeast-nitrogen base broth (YNB) with amino acids (Difco, Detroit, Mich.), pH 7.0 with 0.05 M morpholine propanesulphonic acid (MOPS). The suspension is then centrifuged and washed twice with 0.85% NaCl
- 10 before sonicating the washed cell suspension for 4 seconds (Branson Sonifier, model 350, Danbury, Conn.). The singlet blastospores are counted in a haemocytometer and adjusted to the desired concentration in 0.85% NaCl.

- The activity of the test compounds is determined using a modification of a broth microdilution technique. Test compounds are diluted in DMSO to a 1.0 mg/ml ratio
- 15 then diluted to 64 µg/ml in YNB broth, pH 7.0 with MOPS (Fluconazole is used as the control) to provide a working solution of each compound. Using a 96-well plate, wells 1 and 3 through 12 are prepared with YNB broth, ten fold dilutions of the compound solution are made in wells 2 to 11 (concentration ranges are 64 to 0.125 µg/ml). Well 1 serves as a sterility control and blank for the spectrophotometric
- 20 assays. Well 12 serves as a growth control. The microtitre plates are inoculated with 10 µl in each of well 2 to 11 (final inoculum size is 10<sup>4</sup> organisms/ml). Inoculated plates are incubated for 48 hours at 35 °C. The IC<sub>50</sub> values are determined spectrophotometrically by measuring the absorbance at 420 nm (Automatic Microplate Reader, DuPont Instruments, Wilmington, Del.) after agitation of the
- 25 plates for 2 minutes with a vortex-mixer (Vorte-Genie 2 Mixer, Scientific Industries, Inc., Bolemia, N.Y.). The IC<sub>50</sub> endpoint is defined as the lowest drug concentration exhibiting approximately 50% (or more) reduction of the growth compared with the control well. With the turbidity assay this is defined as the lowest drug concentration at which turbidity in the well is <50% of the control
- 30 (IC<sub>50</sub>). Minimal Cytolytic Concentrations (MCC) are determined by sub-culturing

all wells from the 96-well plate onto a Sabourahd Dextrose Agar (SDA) plate, incubating for 1 to 2 days at 35 °C and then checking viability.

#### EXAMPLE 46

##### Protocol for the Biological Evaluation of Control of in vivo Whole Plant Fungal

##### 5 Infection

Compounds of the formula (I) are dissolved in acetone, with subsequent serial dilutions in acetone to obtain a range of desired concentrations. Final treatment volumes are obtained by adding 9 volumes of 0.05% aqueous Tween-20™ or 0.01% Triton X-100™, depending upon the pathogen.

- 10 The compositions are then used to test the activity of the compounds of the invention against tomato blight (*Phytophthora infestans*) using the following protocol. Tomatoes (cultivar Rutgers) are grown from seed in a soil-less peat-based potting mixture until the seedlings are 10-20 cm tall. The plants are then sprayed to run-off with the test compound at a rate of 100 ppm. After 24 hours the test plants  
15 are inoculated by spraying with an aqueous sporangia suspension of *Phytophthora infestans*, and kept in a dew chamber overnight. The plants are then transferred to the greenhouse until disease develops on the untreated control plants.

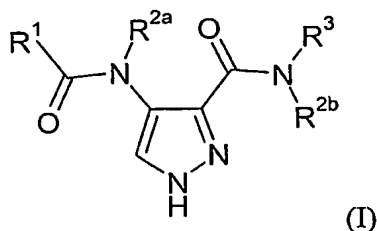
- Similar protocols are also used to test the activity of the compounds of the invention in combatting Brown Rust of Wheat (*Puccinia*), Powdery Mildew of Wheat  
20 (*Erysiphe graminis*), Wheat (cultivar Monon), Leaf Blotch of Wheat (*Septoria tritici*), and Glume Blotch of Wheat (*Leptosphaeria nodorum*).

#### Equivalents

- The foregoing examples are presented for the purpose of illustrating the invention and should not be construed as imposing any limitation on the scope of the  
25 invention. It will readily be apparent that numerous modifications and alterations may be made to the specific embodiments of the invention described above and illustrated in the examples without departing from the principles underlying the invention. All such modifications and alterations are intended to be embraced by this application.

**CLAIMS**

1. A compound of the formula (I):



or a salt, tautomer, solvate or N-oxides thereof;

wherein:

R<sup>1</sup> is selected from:

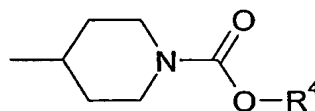
- (a) 2,6-dichlorophenyl;
- (b) 2,6-difluorophenyl;
- (c) a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; and
- (d) a group R<sup>0</sup> wherein R<sup>0</sup> is a carbocyclic or heterocyclic group having from 3 to 12 ring members; or a C<sub>1-8</sub> hydrocarbyl group optionally substituted by one or more substituents selected from fluorine, hydroxy, cyano; C<sub>1-4</sub> hydrocarbyloxy, amino, mono- or di-C<sub>1-4</sub> hydrocarbylamino, and carbocyclic or heterocyclic groups having from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may optionally be replaced by an atom or group selected from O, S, NH, SO, SO<sub>2</sub>;

R<sup>2a</sup> and R<sup>2b</sup> are each hydrogen or methyl;

and wherein:

- A. when R<sup>1</sup> is (a) 2,6-dichlorophenyl and R<sup>2a</sup> and R<sup>2b</sup> are both hydrogen; then R<sup>3</sup> can be:

- (i) a group



where R<sup>4</sup> is C<sub>1-4</sub> alkyl; and

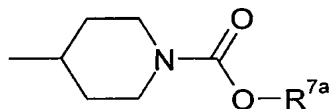
B. when  $R^1$  is (b) 2,6-difluorophenyl and  $R^{2a}$  and  $R^{2b}$  are both hydrogen; then  $R^3$  can be:

(ii) an N-substituted 4-piperidinyll group wherein the N-substituent is  $C_{1-4}$  alkoxy carbonyl; and

5 C. when  $R^1$  is (c) a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; and  $R^{2a}$  and  $R^{2b}$  are both hydrogen; then  $R^3$  can be selected from groups (i) and (iii) as defined herein;

10 D. when  $R^1$  is (d), a group  $R^0$ , where  $R^0$  is a carbocyclic or heterocyclic group having from 3 to 12 ring members; or a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from fluorine, hydroxy, cyano;  $C_{1-4}$  hydrocarbyloxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, and carbocyclic or heterocyclic groups having from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may optionally be replaced by an atom or group selected from O, S, NH, SO,  $SO_2$ ; then  $R^3$  can be:

(iii) a group



where  $R^{7a}$  is selected from:

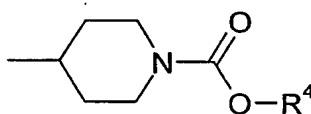
- 20
- unsubstituted  $C_{1-4}$  hydrocarbyl other than  $C_{1-4}$  alkyl;
  - $C_{1-4}$  hydrocarbyl substituted by one or more substituents chosen from  $C_{3-6}$  cycloalkyl, fluorine, chlorine, methylsulphonyl, acetoxy, cyano, methoxy; and a group  $NR^5R^6$ ; and
  - 25 • a group  $-(CH_2)_n-R^8$  where n is 0 or 1 and  $R^8$  is selected from  $C_{3-6}$  cycloalkyl; oxa- $C_{4-6}$  cycloalkyl; phenyl optionally substituted by one or more substituents selected from fluorine, chlorine, methoxy, cyano, methyl and trifluoromethyl; an aza-bicycloalkyl group; and a 5-
  - 30 membered heteroaryl group containing one or two

heteroatom ring members selected from O, N and S and being optionally substituted by methyl, methoxy, fluorine, chlorine, or a group  $\text{NR}^5\text{R}^6$ ;

but excluding the compound 4- $\{[4-(2,6\text{-dichloro-benzoylamino})\text{-}1\text{H-pyrazole-3-carbonyl}]\text{-amino}\}$ -piperidine-1-carboxylic acid tert-butyl ester.

5

2. A compound according to claim 1 wherein  $\text{R}^1$  is (a), 2,6-dichlorophenyl,  $\text{R}^{2a}$  and  $\text{R}^{2b}$  are both hydrogen; and  $\text{R}^3$  is (i) a group:

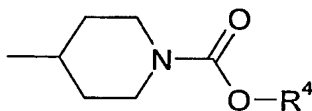


where  $\text{R}^4$  is  $\text{C}_{1-4}$  alkyl; but excluding the compound 4- $\{[4-(2,6\text{-dichloro-benzoylamino})\text{-}1\text{H-pyrazole-3-carbonyl}]\text{-amino}\}$ -piperidine-1-carboxylic acid tert-butyl ester.

10

3. A compound according to claim 2 wherein  $\text{R}^4$  is selected from methyl, ethyl, *i*-propyl, *n*-butyl and *i*-butyl groups.
4. A compound according to claim 3 wherein  $\text{R}^4$  is ethyl or isopropyl.
- 15 5. A compound according to claim 3 wherein  $\text{R}^4$  is a methyl group.
6. A compound according to claim 1 wherein  $\text{R}^1$  is 2,6-difluorophenyl,  $\text{R}^{2a}$  and  $\text{R}^{2b}$  are both hydrogen and  $\text{R}^3$  is an N-substituted 4-piperidiny group wherein the N-substituent is  $\text{C}_{1-4}$  alkoxycarbonyl.
7. A compound according to claim 1 wherein  $\text{R}^1$  is a 2,3,6-trisubstituted phenyl group wherein the substituents for the phenyl group are selected from fluorine, chlorine, methyl and methoxy; and  $\text{R}^{2a}$  and  $\text{R}^{2b}$  are both hydrogen; and  $\text{R}^3$  is selected from groups (i) and (iii) as defined in claim 1.
- 20 8. A compound according to claim 5 wherein the 2,3,6-trisubstituted phenyl group has a fluorine, chlorine, methyl or methoxy group in the 2-position.

9. A compound according to claim 6 wherein the 2,3,6-trisubstituted phenyl group has at least two substituents present that are chosen from fluorine and chlorine.
10. A compound according to claim 9 wherein the 2,3,6-trisubstituted phenyl group is selected from 2,3,6-trichlorophenyl, 2,3,6-trifluorophenyl, 2,3-difluoro-6-chlorophenyl, 2,3-difluoro-6-methoxyphenyl, 2,3-difluoro-6-methylphenyl, 3-chloro-2,6-difluorophenyl, 3-methyl-2,6-difluorophenyl, 2-chloro-3,6-difluorophenyl, 2-fluoro-3-methyl-6-chlorophenyl, 2-chloro-3-methyl-6-fluorophenyl, 2-chloro-3-methoxy-6-fluorophenyl and 2-methoxy-3-fluoro-6-chlorophenyl groups.
11. A compound according to claim 10 wherein the 2,3,6-trisubstituted phenyl group is selected from 2,3,6-trichlorophenyl, 2,3,6-trifluorophenyl, 2,3-difluoro-6-chlorophenyl, 2,3-difluoro-6-methylphenyl, 3-chloro-2,6-difluorophenyl, 2-chloro-3,6-difluorophenyl, 2-chloro-3-methoxy-6-fluorophenyl and 2-methoxy-3-fluoro-6-chlorophenyl groups.
12. A compound according to claim 11 wherein the 2,3,6-trisubstituted phenyl group is selected from 2,3-difluoro-6-methoxyphenyl, 3-chloro-2,6-difluorophenyl, and 2-chloro-3,6-difluorophenyl groups.
13. A compound according to any one of claims 7 to 12 wherein R<sup>3</sup> is a group:

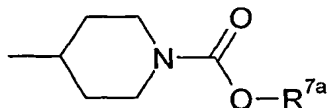


where R<sup>4</sup> is a C<sub>1-4</sub> alkyl group.

14. A compound according to claim 14 wherein R<sup>4</sup> is a methyl, ethyl, isopropyl or *tert*-butyl group.
15. A compound according to claim 15 wherein R<sup>4</sup> is isopropyl.
16. A compound according to claim 15 wherein R<sup>4</sup> is methyl.



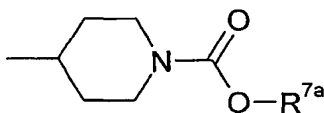
17. A compound according to any one of claims 7 to 12 wherein  $R^3$  is (iii) a group:



where  $R^{7a}$  is as defined in claim 1.

- 5 18. A compound according to claim 17 wherein  $R^{7a}$  is an unsubstituted  $C_{2-4}$  alkenyl group such as vinyl and 2-propenyl.
19. A compound according to claim 18 wherein  $R^{7a}$  is vinyl.
20. A compound according to claim 17 wherein  $R^{7a}$  is a  $C_{1-4}$  hydrocarbyl group substituted by one or more substituents chosen from  $C_{3-6}$  cycloalkyl, fluorine, chlorine, methylsulphonyl, acetoxy, cyano, methoxy; and a group  
10  $NR^5R^6$ .
21. A compound according to claim 20 wherein  $R^{7a}$  is a substituted methyl group, 1-substituted ethyl group or a 2-substituted ethyl group, preferably a 2-substituted ethyl group, for example a 2-substituted ethyl group wherein  
15 the 2-substituent is a single substituent such as methoxy.
22. A compound according to claim 20 or claim 21 wherein the substituted  $C_{1-4}$  hydrocarbyl group is substituted by  $NR^5R^6$  and  $NR^5R^6$  is dimethylamino or a heterocyclic ring selected from morpholine, piperidine, piperazine, N-methylpiperazine, pyrrolidine and thiazolidine.
- 20 23. A compound according to claim 17 wherein  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where n is 0 or 1, and  $R^8$  is a  $C_{3-6}$  cycloalkyl group such as cyclopropyl, cyclopentyl, or an oxa- $C_{4-6}$  cycloalkyl group such as tetrahydrofuranyl and tetrahydropyranyl.
24. A compound according to claim 23 wherein n is 0.
- 25 25. A compound according to claim 23 wherein n is 1.

26. A compound according to claim 17 wherein  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where  $n$  is 0 or 1 and  $R^8$  is phenyl optionally substituted by one or more substituents selected from fluorine, chlorine, methoxy, cyano, methyl and trifluoromethyl.
- 5 27. A compound according to claim 26 wherein (i)  $n$  is 0 and the optionally substituted phenyl group (e.g. an unsubstituted phenyl or 4-fluorophenyl group) is attached directly to the oxygen atom of the carbamate; or (ii)  $n$  is 1 and hence the optionally substituted phenyl group forms part of a benzyl group (e.g. unsubstituted benzyl).
- 10 28. A compound according to claim 17 wherein  $R^{7a}$  is a group  $-(CH_2)_n-R^8$  where  $n$  is 0 or 1 and  $R^8$  is a 5-membered heteroaryl group containing one or two heteroatom ring members selected from O, N and S and being optionally substituted by methyl, methoxy, fluorine, chlorine, or a group  $NR^5R^6$ .
- 15 29. A compound according to claim 1 wherein  $R^1$  is (d), a group  $R^0$ , where  $R^0$  is a carbocyclic or heterocyclic group having from 3 to 12 ring members; or a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from fluorine, hydroxy, cyano;  $C_{1-4}$  hydrocarbyloxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, and carbocyclic or heterocyclic groups having
- 20 from 3 to 12 ring members, and wherein 1 or 2 of the carbon atoms of the hydrocarbyl group may optionally be replaced by an atom or group selected from O, S, NH, SO,  $SO_2$ ; and  $R^3$  is (iii) a group:



where  $R^{7a}$  is as defined in claim 1.

- 25 30. A compound according to claim 29 wherein  $R^0$  is an aryl or heteroaryl group.

31. A compound according to claim 30 wherein  $R^0$  is a phenyl group which is unsubstituted or substituted by one or more substituents  $R^{15}$  selected from halogen, hydroxy, trifluoromethyl, cyano, nitro, carboxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, carbocyclic and heterocyclic groups having from 3 to 12 ring members; a group  $R^a-R^b$  wherein  $R^a$  is a bond, O, CO,  $X^1C(X^2)$ ,  $C(X^2)X^1$ ,  $X^1C(X^2)X^1$ , S, SO,  $SO_2$ ,  $NR^c$ ,  $SO_2NR^c$  or  $NR^cSO_2$ ; and  $R^b$  is selected from hydrogen, carbocyclic and heterocyclic groups having from 3 to 12 ring members, and a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from hydroxy, oxo, halogen, cyano, nitro, carboxy, amino, mono- or di- $C_{1-4}$  hydrocarbylamino, carbocyclic and heterocyclic groups having from 3 to 12 ring members and wherein one or more carbon atoms of the  $C_{1-8}$  hydrocarbyl group may optionally be replaced by O, S, SO,  $SO_2$ ,  $NR^c$ ,  $X^1C(X^2)$ ,  $C(X^2)X^1$  or  $X^1C(X^2)X^1$ ;  $R^c$  is selected from hydrogen and  $C_{1-4}$  hydrocarbyl; and  $X^1$  is O, S or  $NR^c$  and  $X^2$  is =O, =S or = $NR^c$ .
32. A compound according to claim 31 wherein the substituents on  $R^0$  are selected from the group  $R^{15a}$  consisting of halogen, hydroxy, trifluoromethyl, cyano, nitro, carboxy, a group  $R^a-R^b$  wherein  $R^a$  is a bond, O, CO,  $X^3C(X^4)$ ,  $C(X^4)X^3$ ,  $X^3C(X^4)X^3$ , S, SO, or  $SO_2$ , and  $R^b$  is selected from hydrogen and a  $C_{1-8}$  hydrocarbyl group optionally substituted by one or more substituents selected from hydroxy, oxo, halogen, cyano, nitro, carboxy and monocyclic non-aromatic carbocyclic or heterocyclic groups having from 3 to 6 ring members; wherein one or more carbon atoms of the  $C_{1-8}$  hydrocarbyl group may optionally be replaced by O, S, SO,  $SO_2$ ,  $X^3C(X^4)$ ,  $C(X^4)X^3$  or  $X^3C(X^4)X^3$ ;  $X^3$  is O or S; and  $X^4$  is =O or =S.
33. A compound according to claim 29 wherein  $R^0$  together with the carbonyl group to which it is attached forms a moiety  $R^0-CO$  selected from groups set out in Table I herein.
34. A compound according to claim 33 wherein the moiety  $R^0-CO$  is selected from (i) groups A to BS in Table 1; or (ii) groups AJ, AX, BQ, BS and BAI;

or (iii) groups AJ, BQ and BS; or (iv) groups AJ and BQ; or (v) groups BBD, BBI and BBJ

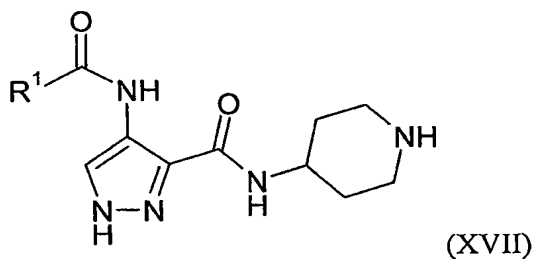
35. A compound according to any one of claims 29 to 34 wherein R<sup>7a</sup> is as defined in any one of claims 17 to 28
- 5 36. A compound according to claim 1 selected from:  
4-{{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-  
piperidine-1-carboxylic acid ethyl ester;  
4-{{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-  
piperidine-1-carboxylic acid isopropyl ester;  
10 4-{{[4-(2,6-dichloro-benzoylamino)-1H-pyrazole-3-carbonyl]-amino}-  
piperidine-1-carboxylic acid vinyl ester; and salts, solvates, tautomers and  
N-oxides thereof.
37. A compound according to any one of claims 1 to 36 in the form of a salt,  
solvate or N-oxide.
- 15 38. A compound according to any one of claims 1 to 37 for use in the  
prophylaxis or treatment of a disease state or condition mediated by a cyclin  
dependent kinase or glycogen synthase kinase-3.
39. A method for the prophylaxis or treatment of a disease state or condition  
mediated by a cyclin dependent kinase or glycogen synthase kinase-3,  
20 which method comprises administering to a subject in need thereof a  
compound according to any one of claims 1 to 37.
40. A method for alleviating or reducing the incidence of a disease state or  
condition mediated by a cyclin dependent kinase or glycogen synthase  
kinase-3, which method comprises administering to a subject in need thereof  
25 a compound according to any one of claims 1 to 37.
41. A method for treating a disease or condition comprising or arising from  
abnormal cell growth in a mammal, which method comprises administering

to the mammal a compound according to any one of claims 1 to 37 in an amount effective in inhibiting abnormal cell growth.

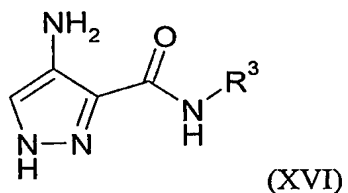
42. A method for alleviating or reducing the incidence of a disease or condition comprising or arising from abnormal cell growth in a mammal, which  
5 method comprises administering to the mammal a compound according to any one of claims 1 to 37 in an amount effective in inhibiting abnormal cell growth.
43. A method for treating a disease or condition comprising or arising from abnormal cell growth in a mammal, the method comprising administering to  
10 the mammal a compound according to any one of claims 1 to 37 in an amount effective to inhibit a cdk kinase (such as cdk1 or cdk2) or glycogen synthase kinase-3 activity.
44. A method for alleviating or reducing the incidence of a disease or condition comprising or arising from abnormal cell growth in a mammal, the method  
15 comprising administering to the mammal a compound according to any one of claims 1 to 37 in an amount effective to inhibit a cdk kinase (such as cdk1 or cdk2) or glycogen synthase kinase-3 activity.
45. A method of inhibiting a cyclin dependent kinase or glycogen synthase kinase-3, which method comprises contacting the kinase with a kinase-  
20 inhibiting compound according to any one of claims 1 to 37.
46. A method of modulating a cellular process (for example cell division) by inhibiting the activity of a cyclin dependent kinase or glycogen synthase kinase-3 using a compound according to any one of claims 1 to 37.
47. A compound according to any one of claims 1 to 37 for use in the  
25 prophylaxis or treatment of a disease state as described herein.

48. The use of a compound according to any one of claims 1 to 37 for the manufacture of a medicament, wherein the medicament is for any one or more of the uses defined herein.
49. A pharmaceutical composition comprising a compound according to any one of claims 1 to 37 and a pharmaceutically acceptable carrier.
50. A pharmaceutical composition comprising a compound according to any one of claims 1 to 37 and a pharmaceutically acceptable carrier in a form suitable for oral administration.
51. A compound according to any one of claims 1 to 37 for use in medicine.
52. A compound according to any one of claims 1 to 37 for any of the uses and methods set forth above, and as described elsewhere herein.
53. A method for the diagnosis and treatment of a disease state or condition mediated by a cyclin dependent kinase, which method comprises (i) screening a patient to determine whether a disease or condition from which the patient is or may be suffering is one which would be susceptible to treatment with a compound having activity against cyclin dependent kinases; and (ii) where it is indicated that the disease or condition from which the patient is thus susceptible, thereafter administering to the patient a compound according to any one of claims 1 to 37.
54. The use of a compound according to any one of claims 1 to 37 for the manufacture of a medicament for the treatment or prophylaxis of a disease state or condition in a patient who has been screened and has been determined as suffering from, or being at risk of suffering from, a disease or condition which would be susceptible to treatment with a compound having activity against cyclin dependent kinase.
55. A compound according to any one of claims 1 to 37 for use in inhibiting tumour growth in a mammal.

56. A compound according to any one of claims 1 to 37 for use in inhibiting the growth of tumour cells (e.g. in a mammal).
57. A method of inhibiting tumour growth in a mammal (e.g. a human), which method comprises administering to the mammal (e.g. a human) an effective tumour growth-inhibiting amount of a compound according to any one of claims 1 to 37.
58. A method of inhibiting the growth of tumour cells (e.g. tumour cells present in a mammal such as a human), which method comprises contacting the tumour cells with an effective tumour cell growth-inhibiting amount of a compound according to any one of claims 1 to 37.
59. A process for the preparation of a compound as defined in any one of claims 1 to 37, which process comprises:
- (i) the reaction of a compound of the formula (XVII):



- with an appropriate chloroformate derivative;
- (ii) the reaction of a compound of the formula (XVI):



with a compound of the formula R<sup>1</sup>CO<sub>2</sub>H under amide coupling conditions.

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/GB2006/000196

## A. CLASSIFICATION OF SUBJECT MATTER

C07D453/02 C07D401/14 C07D401/12 A61K31/44 A61K31/4545  
A61K31/506 A61P35/00 A01N43/56

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C07D A61K A61P A01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2004/014864 A (ASTEX TECHNOLOGY LIMITED; BERDINI, VALERIO; PADOVA, ALESSANDRO; SAXTY,) 19 February 2004 (2004-02-19) page 1, line 2 - page 1, line 7; claims; examples 30,34,38	1-59

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the International filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the International filing date but later than the priority date claimed

- "T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the International search

14 March 2006

Date of mailing of the International search report

27/03/2006

Name and mailing address of the ISA/

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Authorized officer

Schmid, A



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB2006/000196

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  

Although claims 39-46, 53,57 and 58 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/GB2006/000196

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
W0 2004014864 A	19-02-2004	AU 2003255779 A1	25-02-2004
		EP 1534685 A1	01-06-2005
		JP 2006500348 T	05-01-2006
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